

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Manion, Daniel A.	2. Court or Organization USCA-7	3. Date of Report 05/13/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 301 Grant Federal Building 204 S. Main Street South Bend, IN 46601		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☒ **NONE** *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ **NONE** *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Key Bank checking	A	Interest	K	T					
2. Key Bank checking #2		None	J	T					
3. First Opportunity Fund		None	J	T					
4. UBS deposit account	A	Distribution	M	T					
5. Diamonds		None	J	W					
6. Boeing Company common	A	Dividend	L	T					
7. Nuveen High Yield	C	Interest	L	T					
8. Blackrock Muni 2018 Trust	B	Dividend	J	T					
9. Barclays Bank preferred	A	Dividend	J	T					
10. Credit Suisse preferred	A	Dividend	J	T					
11. Deutsche Bank preferred	A	Dividend	J	T					
12. ING Group preferred	A	Dividend	J	T					
13. Bank of America preferred	B	Dividend	K	T					
14. South Bend Redev. Bond	A	Interest	J	T					
15. Indiana St. Dev. Bond	A	Interest	J	T					
16. Chicago IL O'Hare bond	A	Interest	J	T					
17. Brownsville TX bond	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Indianapolis IN loc bond	A	Interest	J	T					
19. Indianapolis IN gas bond	A	Interest	J	T					
20. Hamilton Co. OH bond	A	Interest	J	T					
21. Kane/DeKalb Co. IL bond	B	Interest	K	T					
22. University Akron bond	A	Interest	J	T					
23. Keycorp common (Dan)	B	Dividend			Donated				
24. UBS deposit account	A	Distribution	L	T					
25. IRAs -- items 26-48									
26. UBS Bank USA dep (liquid assets fund)	A	Distribution							transferred to Baird #38
27. General Electric	A	Dividend	J	T					
28. Davis NY Venture Fund	A	Distribution	K	T					
29. Franklin Balance Sheet	C	Distribution	L	T					
30. Franklin Income Fund	A	Distribution	K	T					
31. Raytheon	B	Dividend			Sold	12/17/12	L		
32. American Mutual Fund	A	Distribution	J	T	Buy	12/28/12	K		
33. Powershares S&P 500 Fund	A	Distribution	K	T	Buy	12/19/12	K		
34. Lord Abbott Fund	A	Distribution	K	T	Buy	12/17/12	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Vanguard Intermediate Bond Fund	A	Distribution	K	T	Buy	12/18/12	K		
36. Wells Fargo Bond Fund	A	Distribution	J	T	Buy	12/17/12	J		
37. Rowe T Price Growth Fund	A	Distribution	J	T	Buy	12/2/12	J		
38. Baird Gen Mkt Fund	A	Int./Div.	M	T					trans from UBS #97
39. SPDR Series Trust Fund	A	Distribution	J	T	Buy	12/13/12	J		
40. Permanent Portfolio Fund	A	Distribution	J	T	Buy	12/18/12	J		
41. State of Indiana retirement		None	J	T					
42. Growth Fund America		None	K	T					
43. Amedisys, Inc.		None			Sold	12/17/12	J		loss
44. Conocophillips	A	Dividend	K	T					
45. Medtronic	B	Dividend			Sold	12/19/12	J	B	
46. Microsoft Corp	A	Dividend	K	T					
47. Nucor Corp	A	Dividend			Sold	12/13/12	J	B	
48. 20/20 Fund ()	C	Distribution	L	T					
49. Northwestern Mutual Life Insurance		None	N	T					
50. Unimproved real estate St. Joseph Cty, IN		None	L	W					
51. Baird Trust Acct: items 52-87									

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52. Automatic Data Processing (ADP)	B	Dividend	L	T					
53. Target Corp. common	B	Dividend	L	T	Sold (part)	09/20/12	J	D	
54. Kellogg Company	A	Dividend	K	T					
55. Blackrock Inc.	A	Dividend	K	T	Buy	01/05/12	K		
56. Express Scripps Holding	A	Dividend	K	T		04/03/12	K		merger
57. Factset Research Systems	A	Dividend	K	T	Buy	06/13/12	K		
58. Windstream Corp.	C	Dividend			Sold		K		loss/various sell dates
59. Illinois Tool Works common	B	Dividend	K	T					
60. Intel Corp common	A	Dividend	J	T	Sold (part)	10/16/12	J	C	
61. Varian Medical		None	K	T	Buy	02/14/12	K		
62. Johnson & Johnson	B	Dividend	K	T					
63. Procter & Gamble common	C	Dividend	L	T					
64. Dreyfus Muni Money Market	A	Distribution	N	T					
65. Fiserv Inc.		None	M	T					
66. Becton Dickinson & Co.	A	Dividend	K	T					
67. Ecolab common	A	Dividend	L	T					
68. Patterson Companies common	C	Dividend	M	T	Sold (part)		K	E	various sell dates

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69. Fastenal common	B	Dividend	L	T					
70. Permanent Portfolio Fund	A	Dividend	K	T					
71. Stericycle Inc.		None	K	T					
72. Techne Corp.	A	Dividend	K	T					
73. Powershares Global	A	Dividend	K	T					
74. Medco Health Solutions		None				04/03/12	L	E	became Express Scripps
75. BP PLC common	C	Dividend	L	T					
76. Century Link Inc.	D	Dividend	M	T					
77. Valmont Industries	A	Dividend	L	T					
78. Cisco Systems common	A	Dividend	K	T					
79. Gilead Sciences common		None	K	T					
80. Harley Davidson common	A	Dividend	L	T					
81. Pepsico Inc.	B	Dividend	K	T					
82. Emerson Electric	B	Dividend	K	T					
83. EQT Corp.	A	Dividend	L	T					
84. Microsoft Corp	B	Dividend	L	T					
85. McCormick & Co.	A	Dividend	L	T					

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86. General Electric	A	Dividend	K	T					
87. Harris Corp.	B	Dividend	K	T	Sold (part)	09/14/12	J	D	
88. General Electric	B	Dividend	K	T					
89. Pfizer Inc.	A	Dividend	J	T					
90. NYSE Euronext	A	Dividend	K	T	Buy	05/18/12	K		
91. Peabody Energy Corp.	A	Dividend	J	T	Buy	06/15/12	J		
92. Corning	A	Dividend	J	T					
93. Limelight Networks		None	J	T					
94. Dupont	B	Dividend	K	T					
95. Frontier Communications	A	Dividend	J	T					
96. JM Smucker	A	Dividend	J	T					
97. UBS deposit account	A	Dividend					M		transf to Baird #38
98. Ohio State Higher Educ. Fund muni	A	Interest	K	T					
99. UBS custodial accts:Fidelity Adv. dividend growth	A	Int./Div.	J	T					
100. Alliance College Bound Fund		None	L	T					
101. American Funds College America		None	M	T			L		w/draw tuition payments
102. College Choice 529 plan		None	K	T	Buy	12/13/12	J		

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103. OppenheimerFunds Legacy Program-now US Charitable Gift Fund	A	Distribution	L	T					
104. Notre Dame Federal Credit Union savings	A	Dividend	K	T					
105. FT Franklin Fed interim mutual fund	C	Interest	M	T					
106. Energy Transfers Partners	A	Int./Div.	K	T	Buy	06/15/12	K		
107. Fernandina Beach FL muni bond	A	Interest	K	T					
108. Cape Coral FL muni bond	A	Interest	K	T					
109. MTA NYS Trans Rev muni bond	A	Interest			Sold	11/15/12	J		
110. Kane McKenry muni bond	B	Interest	K	T					
111. Indiana St Fin Auth muni bond	A	Interest	J	T					
112. Washoe County Nev muni bond	A	Interest	J	T					
113. AAG Holding Co. Inc. senior notes	A	Interest			Sold	07/13/12	J		loss
114. Nuveen high yield municipal bond fund	B	Interest	K	T					
115. Suntrust Capital	A	Dividend			Sold	11/15/12	J		loss

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 103: Self-directed charitable foundation (value donated to charity reduced corpus in fund)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Daniel A. Manion**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

Daniel A. Manion
Circuit Judge