

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Buchanan, Theresa C.	2. Court or Organization U.S. District Court, EDVA	3. Date of Report 06/25/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 401 Courthouse Square Alexandria, Virginia 22314		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee (see VIII)	Trust # 1
2. Directory/Secretary/Vice President (see VIII)	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2013

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Investment Property "P" - [REDACTED]	E	Rent	N	S					
2. Rental Investment Property "G" - [REDACTED]	D	Rent	N	S	Buy	08/01/13	N		[REDACTED]
3. Rental Investment Property - [REDACTED]	E	Rent	O	S					
4. Partnership Share		None	N	V					
5. Amgen, Inc.	A	Dividend	K	T					
6. AM. Wash. Mutual Inv. Fund	C	Dividend	M	T					
7. Merrill Lynch CBA Money Fund	A	Interest	J	T					Balance varies
8. Microsoft Corp.	A	Dividend	K	T					
9. Intel Corp.	A	Dividend	J	T					
10. General Electric Corp.	A	Dividend	J	T					
11. EMC Corp. Mass	A	Dividend	J	T					
12. Johnson & Johnson	A	Dividend	K	T					
13. Nortel Networks Corp.		None	J	T					
14. Delaware Group Div/Inc Fund	A	Dividend	J	T					
15. Growth Fund of America	A	Dividend	M	T					
16. Texas Instruments	A	Dividend	J	T					
17. Qualcomm, Inc.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Nuveen VA Muni. Bonds	B	Dividend	L	T					
19. Pinco Real Return Bonds	A	Dividend	L	T					
20. Disney	A	Dividend	J	T					
21. [REDACTED] Corporation [REDACTED]	A	Dividend	K	U					[REDACTED]
22. Burke & Herbert Bank	A	Interest	J	T					Balance varies
23. AMCAP Fund [REDACTED]		None			Sold	12/14/13	J	B	
24. Investment Co of America [REDACTED]		None			Sold	12/14/13	J	B	
25. Income fund of America [REDACTED]		None			Sold	12/14/13	J	B	
26. Growth Fund of America [REDACTED]		None			Sold	12/14/13	J	B	
27. Black Rock Munivest Fund	A	Dividend	J	T					
28. Alliance Bernstein		None	J	T					
29. Blackrock Int'l	A	Dividend	J	T					
30. Calamos Growth		None	K	T					
31. Columbia Marisco Growth		None	K	T					
32. Davis NY Venture		None	K	T					
33. JP Morgan Value	A	Dividend	K	T					
34. Columbia Seligman		None	K	T	Buy	01/01/13	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. John Hancock Regional Bank Fund	A	Dividend	K	T	Buy	01/01/13	K		
36. Henderson Global Equity Income Fund	B	Dividend	K	T	Buy	01/01/13	K		
37. Hennessy Focus Fund		None	K	T	Buy	01/01/13	K		
38. Matthews Pacific Tiger Fund	A	Dividend	K	T	Buy	01/01/13	K		
39. Oppenheimer Developing Markets Fund	A	Dividend	K	T	Buy	01/01/13	K		
40. RS Global Natural Resources Fund		None	K	T	Buy	01/01/13	K		
41. Satuit Capital US Emerging Companies Fund		None	L	T	Buy	01/01/13	L		
42. Touchstone Sands		None	K	T	Buy	01/01/13	K		
43. Virtus RealEstate Securites Fund	A	Dividend	K	T	Buy	01/01/13	K		
44. Schwab Govt. Money Fund		None	J	T	Buy	01/01/13	J		
45. Newport News Bond	A	Interest	J	T	Buy	01/01/13	J		
46. VA Polytechnic Bond	A	Interest	J	T	Buy	01/01/13	J		
47. Virginia College Bond	A	Interest	K	T	Buy	04/01/13	K		
48. Lord Abbett Intern Tax	A	Dividend	K	T	Buy	04/01/13	K		
49. Heartland Value Ford Inv.		None	J	T	Buy	04/01/13	J		
50. Jensen Quality Growth Fund		None	J	T	Buy	04/01/13	J		
51. Oakmark Select Fund		None	K	T	Buy	04/01/13	K		

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52. Schwab Health Care Fund		None	J	T	Buy	04/01/13	J		
53. Ishares MSCI Emerg. Mkt Fund		None	J	T	Buy	04/01/13	J		
54. Ishares TR MSCI EAFE		None	K	T	Buy	04/01/13	K		
55. SPDR S&P500 ETF TR	A	Dividend	J	T	Buy	04/01/13	J		
56. SPDR Nureen Barclay EFT		None	K	T	Buy	04/01/13	K		
57. Vanguard Charlotte ETF		None	J	T	Buy	04/01/13	J		
58. Vanguard Info. Technology		None	J	T	Buy	04/01/13	J		
59. Vanguard Int'l Equity Fund		None	J	T	Buy	04/01/13	J		
60. Vanguard Small Cap		None	L	T	Buy	04/01/13	L		
61.									
62.									
63.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. ** [REDACTED] no longer reveals his separate investments nor the assets of the family trust to me. I have no idea what he owned, bought, or sold during 2013. I am not beneficiary of the [REDACTED] trust.

1. The Trust #1 is a life insurance trust created estate planning purposes.

2. [REDACTED]

VII. 1. Assessed value of [REDACTED] property "P" was \$289,733 in 2013.

2. Assessed value of [REDACTED] property "G" was \$449,436 in 2013.

3. Assessed value of [REDACTED] was \$464,500 in 2013.

4. This partnership share refers to Part III B, line 1. The value is equal to the dollar amount invested.

VIII. In response to the statutory conditions of 5 U.S.C. app. SEC 102 (e)(1)(E):

1. [REDACTED] has sole financial responsibility for these funds and I have no knowledge about them.

2. The separate assets are derived solely from [REDACTED] employment.

3. I do not derive, nor expect to derive, any financial or economic benefit from these separate funds as I have substantial separate assets of my own. I am not a beneficiary of the trust.

4. Our [REDACTED] tax returns do not reflect any of these separate assets as they are all invested in retirement accounts of one sort or another so that any gains or losses are not reportable. The trust files a separate return.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Theresa C. Buchanan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544