

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) TREADWELL, MARC T.	2. Court or Organization UNITED STATES DISTRICT COURT, MIDDLE DISTRICT OF GEORGIA	3. Date of Report 05/10/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. DISTRICT JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address P. O. BOX 65 MACON, GA 31202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member of Board of Trustees	Mercer University
2. Member of Board of Trustees	The Peyton Anderson Foundation
3. Member of Board of Trustees	Walter F. George School of Law Foundation, Inc.
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	Mercer Law School - Salary	\$2,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Self-employed landscape architect (d/b/a WT Designs)
2. 2017	Self-employed real estate professional (d/b/a WT Development, LLC)
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Peyton Anderson Foundation	September 14-17, 2017	Orlando, Florida	Annual meeting of the Southeastern Council of Foundations	Hotel, meals, travel
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Trust #2	Mortgage on rental property #1, Fripp Island, SC (Part VII, line 3)	M
2.	Merrill Lynch	Line of Credit-assets of Brkrq #1 (Part VII, lns 8-11) and Brkrq #3 (Part VII, lns 26-63) are collateral.	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. State Bank and Trust Company Accounts		None	J	T					
2. Bank of America (Y)									
3. Rental Property #1, Fripp Island, South Carolina	D	Rent	O	W					
4. Rental Property #2, Macon, Georgia	D	Rent	M	W					
5. Rental Property #3, Macon, Georgia	D	Rent	L	W					
6. Rental Property #4, Auburn, Alabama	E	Rent	M	W					
7. Lincoln National Life - Survivorship universal life policy		None	L	V					
8. Brokerage #1 (H)									
9. -Merrill Lynch Cash Management Account	A	Interest	J	T					
10. -SP500 Stepup Issuer BAC		None			Sold	05/30/17	J	B	
11. -Apple Inc. (common)	A	Dividend	K	T					
12. Brokerage #2 (H)									
13. -Merrill Lynch Cash Management Account	A	Interest	J	T					
14. -Amazon Com Inc (common)		None	J	T					
15. -American Express Company (common)	A	Dividend	J	T	Donated (part)				
16.					Sold (part)	12/18/17	J	D	
17. -Ameriprise Financial Inc (common)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Bristol-Myers Squibb Co (common)	A	Dividend	K	T					
19. -Facebook Inc (common)		None	J	T					
20. -General Electric (common)	A	Dividend			Sold	07/12/17	J	D	
21. -Halyard Health Inc. (common)		None	J	T					
22. -Home Depot Inc (common)	A	Dividend	L	T					
23. -Johnson and Johnson (common)	A	Dividend	K	T					
24. -Kimberly Clark (common)	B	Dividend	L	T	Sold (part)	07/12/17	J	D	
25. -Zimmer Holdings Inc (common)	A	Dividend	J	T					
26. Brokerage #3 (H)									
27. -Merrill Lynch Cash Management Account	A	Dividend	J	T					
28. -Apple Inc (common)	A	Dividend	K	T					
29. -Ishares U.S. Financial Services ETF	A	Dividend	J	T					
30. -Ishares U.S. Industrials ETF	A	Dividend	J	T					
31. -Powershares QQQ Trust SE 1	A	Dividend	K	T	Buy (add'l)	04/25/17	J		
32.					Buy (add'l)	09/08/17	J		
33. -Ishares U.S. Technology	A	Dividend	J	T	Sold (part)	09/08/17	J	A	
34. -Ishares S&P 500 Growth Index	A	Dividend	K	T	Buy	04/25/17	J		

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35.					Buy (add'l)	09/08/17	J		
36. -Ishares Dow Jones US Healthcare	A	Dividend	J	T	Buy	05/01/17	J		
37. -Ishares U.S. Real Estate					Sold	01/09/17	J		
38. -Ishares S&P Smallcap 600 Growth	A	Dividend			Sold (part)	04/03/17	J	A	
39.					Sold	07/06/17	J	B	
40. -SPDR S&P Midcap 400 ETF Trust	A	Dividend			Sold	09/08/17	J	A	
41. -Pioneer AMT Free Municipal Fund CI Y	A	Dividend			Sold	05/30/17	J	A	
42. -Ishares Core S&P Mid-cap ETF	A	Dividend			Sold (part)	04/03/17	J	A	
43.					Sold	09/08/17	J	A	
44. -Ishares MSCI Emerging Mkts	A	Dividend	K	T	Sold (part)	12/21/17	J	B	
45.					Buy (add'l)	04/25/17	J		
46.					Buy (add'l)	09/08/17	J		
47. -Ishares Morningstar Small Cap Value ETF	A	Dividend			Sold (part)	05/30/17	J	B	
48.					Sold	09/08/17	J	A	
49. -Ishares Tr Russell 2000	A	Dividend			Sold (part)	04/03/17	J	A	
50.					Sold	05/30/17	J	A	
51. -Ishares U.S. Basic Materials ETF	A	Dividend			Sold (part)	04/03/17	J	A	

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52.					Buy (add'l)	02/06/17	J		
53.					Sold (part)	05/01/17	J	B	
54.					Sold	09/08/17	J	A	
55. -Ishares U.S. Energy ETF		None			Buy	01/09/17	J		
56.					Sold	02/06/17	J		
57. -Ishares S&P Smallcap 600 Value	A	Dividend			Sold	04/03/17	J	A	
58.					Sold	05/30/17	J	A	
59. -Ishares Dow Jones US Index Fund	A	Dividend	K	T	Sold (part)	04/03/17	K	B	
60.					Buy (add'l)	04/25/17	J		
61.					Buy (add'l)	09/08/17	J		
62. -Ishares MSCI EAFE Index Fund ETF	A	Dividend	K	T	Buy	04/25/17	J		
63.					Buy (add'l)	09/08/17	J		
64. IRA #1 (H)									
65. -Merrill Lynch cash holding account	A	Int./Div.	J	T					
66. -Delaware Corporate Bond Fund Instl C1	A	Dividend	J	T					
67. -Alibaba Group Holding LT (common)		None	J	T	Buy	10/27/17	J		
68. -Apple Inc (common)	A	Dividend	K	T					

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69. -Ishares U.S. Financial Services ETF	A	Dividend	J	T	Sold (part)	07/10/17	J	A	
70.					Sold (part)	10/27/17	J	A	
71. -Ishares U.S. Healthcare	A	Dividend	J	T	Buy	05/01/17	J		
72.					Buy (add'l)	07/10/17	J		
73.					Sold (part)	10/27/17	J	A	
74. -Ishares U.S. Technology	A	Dividend	J	T	Sold (part)	07/10/17	J	A	
75.					Sold (part)	10/27/17	J	A	
76. -Ishares S&P 500 Growth	A	Dividend	J	T	Buy	04/25/17	J		
77.					Buy (add'l)	07/10/17	J		
78.					Buy (add'l)	09/08/17	J		
79.					Sold (part)	10/27/17	J	A	
80. -Ishares S&P Smallcap 600 Growth	A	Dividend			Sold (part)	04/03/17	J	A	
81.					Sold	07/06/17	J	A	
82. -Ishares S&P 500 Value		None			Buy	07/10/17	J		
83.					Sold	09/08/17	J		
84. -Powershares QQQ Trust SE 1	A	Dividend	J	T	Buy (add'l)	04/25/17	J		
85.					Buy (add'l)	07/10/17	J		

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86.					Buy (add'l)	09/08/17	J		
87.					Sold (part)	10/03/17	J	B	
88.					Sold (part)	10/27/17	J	A	
89. -SPDR S&P Midcap 400 ETF Trust	A	Dividend			Sold	07/10/17	J	B	
90. -Ishares MSCI Emerging Mkts	A	Dividend	J	T	Buy (add'l)	04/25/17	J		
91.					Buy (add'l)	07/10/17	J		
92.					Buy (add'l)	09/08/17	J		
93.					Sold (part)	10/27/17	J	A	
94. -Ishares MSCI EAFE	A	Dividend	J	T	Buy	04/25/17	J		
95.					Buy (add'l)	07/10/17	J		
96.					Buy (add'l)	09/08/17	J		
97.					Sold (part)	10/27/17	J	A	
98. -Ishares S&P Midcap 400 Growth		None			Buy	07/10/17	J		
99.					Sold	09/08/17	J		
100. -Ishares S&P Smallcap 600 Value	A	Dividend			Sold (part)	04/03/17	J	A	
101.					Sold	07/06/17	J	A	
102. -Ishares Tr Russell 2000	A	Dividend	J	T	Sold (part)	04/03/17	J	A	

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103.					Sold	07/06/17	J	A	
104.					Buy	10/03/17	J		
105.					Sold (part)	10/27/17	J		
106. -Ishares US Energy ETF		None			Buy	01/09/17	J		
107.					Sold	02/06/17	J		
108. -Ishares US Industrials ETF	A	Dividend	J	T	Sold (part)	10/27/17	J	A	
109. -Ishares Dow Jones US Basic Materials ETF	A	Dividend			Buy	02/06/17	J		
110.					Sold	05/01/17	J	A	
111. -Ishares US Real Estate		None			Sold	01/09/17	J		
112. -Ishares Dow Jones US Index Fund ETF	A	Dividend	J	T	Sold (part)	04/03/17	J	A	
113.					Buy (add'l)	04/25/17	J		
114.					Buy (add'l)	07/10/17	J		
115.					Buy (add'l)	09/08/17	J		
116.					Sold (part)	10/27/17	J	A	
117. IRA #2 (H)									
118. -Merrill Lynch cash holding account	A	Int./Div.	J	T					
119. -American Cap Wrld Gr & Income Fund A	A	Dividend			Sold	11/06/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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TREADWELL, MARC T.

Date of Report

05/10/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. American Cap World Gr & Income Fund F-2 (X)	C	Dividend	J	T					
121. -Apple Inc (Common)	A	Dividend	K	T					
122. -Express Scripts Hldg Co (Common)		None			Sold	11/01/17	J		
123. -Wisdomtree Trust Emerging Markets High Dividend Fund	A	Dividend			Sold	11/01/17	J		
124. -Alabama Group Holding LTD		None	J	T					
125. -Novo Nordisk A S ADR	A	Dividend	J	T					
126. -Southern Company (common)	A	Dividend			Sold	11/01/17	J	A	
127. -Tractor Supply Co (common)	A	Dividend			Sold	11/01/17	J		
128. -Ishares MSCI Emerging Markets Index Fund	A	Dividend	J	T	Buy	11/01/17	J		
129. -CVS Health Corp (common)		None	J	T	Buy	11/01/17	J		
130. -Amazon Com Inc (common)		None	J	T	Buy	11/01/17	J		
131. 401(k) Retirement Plan (H)									
132. -American Funds - Growth Fund of America-R3	E	Dividend	N	T					
133. -American Funds - Investment Company of America-R3	D	Dividend	M	T					
134. -American Funds - Fundamental Investors-R3	E	Dividend	M	T					
135. IRA #3 (H)									
136. -Merrill Lynch cash holding account	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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TREADWELL, MARC T.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Delaware Corporate Bond Fund Instl C1	A	Dividend	J	T					
138. -Amazon Com Inc		None	J	T	Buy	10/27/17	J		
139. -Apple Inc (common)	A	Dividend	K	T					
140. -Ishares U.S. Financial Services ETF	A	Dividend	J	T	Sold (part)	07/10/17	J	A	
141.					Sold (part)	10/27/17	J	A	
142. -Ishares U.S. Healthcare	A	Dividend	J	T	Buy	05/01/17	J		
143.					Buy (add'l)	07/10/17	J		
144.					Sold (part)	10/27/17	J	A	
145. -Ishares U.S. Technology	A	Dividend	J	T	Sold (part)	07/10/17	J	A	
146.					Sold (part)	10/27/17	J	A	
147. -Ishares S&P 500 Growth	A	Dividend	J	T	Buy	04/25/17	J		
148.					Buy (add'l)	07/10/17	J		
149.					Buy (add'l)	09/08/17	J		
150.					Sold (part)	10/27/17	J	B	
151. -Ishares U.S. Real Estate		None			Sold	01/09/17	J		
152. -Ishares S&P Smallcap 600 Growth	A	Dividend			Sold (part)	04/03/17	J	A	
153.					Sold	07/06/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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TREADWELL, MARC T.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Ishares S&P 500 Value		None			Buy	07/10/17	J		
155.					Sold	09/08/17	J		
156. -Powershares QQQ Trust SE 1	A	Dividend	J	T	Buy (add'l)	04/25/17	J		
157.					Buy (add'l)	07/10/17	J		
158.					Buy (add'l)	09/08/17	J		
159.					Sold (part)	10/03/17	J	A	
160.					Sold (part)	10/27/17	J	A	
161. -SPDR S&P Midcap 400 ETF Trust	A	Dividend			Sold	07/10/17	J	B	
162. -Ishares MSCI Emerging Markets	A	Dividend	J	T	Buy (add'l)	04/25/17	J		
163.					Buy (add'l)	07/10/17	J		
164.					Buy (add'l)	09/08/17	J		
165.					Sold (part)	10/27/17	J	A	
166. -Ishares MSCI EAFE	A	Distribution	J	T	Buy	04/25/17	J		
167.					Buy (add'l)	07/10/17	J		
168.					Buy (add'l)	09/08/17	J		
169.					Sold (part)	10/27/17	J	B	
170. -S&P Midcap 400 Growth		None			Buy	07/10/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

TREADWELL, MARC T.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold	09/08/17	J		
172. -Ishares S&P Smallcap 600 Value	A	Dividend			Sold (part)	04/03/17	J	A	
173.					Sold	07/06/17	J	A	
174. -Ishares Russell 2000 Index ETF	A	Dividend	J	T	Sold (part)	04/03/17	J	A	
175.					Sold	07/06/17	J	A	
176.					Buy	10/03/17	J		
177.					Sold (part)	10/27/17	J		
178. -Ishares U.S. Energy		None			Buy	01/09/17	J		
179.					Sold	02/06/17	J		
180. -Ishares U.S. Industrials ETF	A	Dividend	J	T	Sold (part)	10/27/17	J	A	
181. -Ishares U.S. Basic Materials ETF	A	Dividend			Buy	02/06/17	J		
182.					Sold	05/01/17	J	A	
183. -Ishares Dow Jones US Index Fund ETF	A	Dividend	J	T	Sold (part)	04/03/17	J	A	
184.					Buy (add'l)	04/25/17	J		
185.					Buy (add'l)	07/10/17	J		
186.					Buy (add'l)	09/08/17	J		
187.					Sold (part)	10/27/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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TREADWELL, MARC T.

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Trust #1 (H)									
189. -PWM Fiduciary Master Dep Acct	A	Int./Div.	K	T					
190. -Exxon Mobil Corp (Common)	B	Dividend	K	T					
191. -Praxair Inc (Common)	A	Dividend	K	T					
192. -General Electric Co (Common)	B	Dividend	L	T					
193. -Home Depot Inc (Common)	C	Dividend	M	T					
194. -Coca-Cola Co (Common)	A	Dividend	J	T					
195. -Colgate Palmolive Co (Common)	B	Dividend	L	T					
196. -Kimberly Clark Corp (Common)	A	Dividend	K	T					
197. -Bristol Myers Squibb Co (Common)	A	Dividend	K	T					
198. -Johnson & Johnson (Common)	C	Dividend	M	T					
199. -American Express Co (Common)	A	Dividend	K	T					
200. -JP Morgan Chase & Co (Common)	B	Dividend	L	T					
201. -Wells Fargo & Co New (Common)		None			Sold	01/09/17	K	D	
202. -Intel Corp (Common)	B	Dividend	L	T					
203. -Microsoft Corp (Common)	B	Dividend	M	T					
204. -Verizon Communications (Common)	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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TREADWELL, MARC T.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -Oppenheimer Funds - Developing Markets Fd-I	A	Dividend	J	T					
206. -Capital One FncI Corp (Common)	A	Dividend	K	T					
207. -International Business Machines Corp (Common)	A	Dividend	J	T					
208. -Blackrock Inc Cl A (Common)	A	Dividend	K	T					
209. -ConocoPhilips (Common)	A	Dividend	K	T					
210. -Alphabet Inc Cl A (Common)		None	J	T					
211. -Disney Walt Co New (Common)	A	Dividend	L	T					
212. -Allstate Corp (Common)	A	Dividend	K	T					
213. -Alphabet Inc Cl C (Common)		None	J	T					
214.									
215. Trust #2 (H)									
216. -PWM Fiduciary Master Dep Acct	A	Int./Div.			Closed	12/31/17	J		
217. -Abbott Labs (Common)	A	Dividend	K	T					
218. -Intel Corp (Common)	A	Dividend	J	T					
219. -Oppenheimer Funds - Developing Markets Fd-I		None			Donated				
220. -Note Rec. (Mortgage on rental prop #1-Part VI, Ln 1)		None	M	U					
221. -Alphabet Inc Cl A (Common)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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TREADWELL, MARC T.

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. -Abbie Inc (Common)	A	Dividend	K	T					
223. -Alphabet Inc Cl C (Common)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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TREADWELL, MARC T.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. INVESTMENTS AND TRUSTS

Part VII, Line 7: The value of the universal life policy in Column C(1) is the contract value as of 12/31/2017.

Part VII, Lines 119 and 120: On 11/6/2017, the shares of American Funds Capital World Growth and Income Fund C1 A were exchanged for shares in American Funds Capital Word Growth and Income Fund C1 F-2. The type of transaction on line 119 was entered as a "sale" because there is no transaction description for "exchanges" of mutual funds. There was no gain or loss on the exchange transaction.

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TREADWELL, MARC T.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **MARC T. TREADWELL**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544