

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Tharp, John J.	2. Court or Organization District Court, Northern District of Illinois	3. Date of Report 08/22/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address United States District Court Everett McKinley Dirksen United States Courthouse 219 S. Dearborn Street Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

- | | |
|---------------|---|
| 1. Co-Trustee | Trust #1 (family trust; no reportable assets because I did not create the trust and have no beneficial interest in the trust) |
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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

- | | |
|---------|--|
| 1. 2013 | Mayer Brown LLP - Payment of remaining compensation due through June 1, 2012 (last day with firm; final reconciliation still pending due to tax refunds) |
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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2015

Janus & Associates, salary

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. Federal Judicial Center

03/10/2015 -
03/13/2015

New York, NY

FJC Employment Law
Seminar

Airfare, per diem

2. Administrative Office of the
United States Courts05/03/2015 -
05/05/2015

Milwaukee, WI

7th Circuit Judicial
Conference

Mileage, Parking, Hotel, Meals

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	United Pacific Life	Loan #1	K
2.	United Pacific Life	Loan #2	K
3.	JP Morgan Chase	Credit Card	J
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AT&T (common stock)	D	Dividend	L	T	Sold (part)	09/09/15	L	F	
2. Bright Start 529 Plan Blended Age Based 18 Years (Y)									
3. CenterPoint Energy (common stock)	A	Dividend			Sold	05/29/15	K	D	
4. Chemours (X)	A	Dividend	J	T	Sold (part)	07/01/15	J	A	
5. Coca-Cola (common stock) (Y)									
6. DuPont (common stock)	B	Dividend	L	T					
7. General Electric (common stock)	A	Dividend	K	T					
8. Harley-Davidson (common stock) (Y)									
9. Hershey Foods (common stock) (Y)									
10. Home Depot (common stock) (Y)									
11. IBM (common stock)	D	Dividend	M	T	Sold (part)	05/29/15	L	E	
12. JP Morgan Chase Bank Accounts	A	Interest	K	T					
13. Merrill Lynch Bank Deposit Program	A	Interest	J	T					
14. Northwestern Mutual Insurance Policies (Whole Life)	D	Dividend	M	T					
15. NRG Energy (common stock)	A	Dividend			Sold	05/29/15	J	A	
16. Pfizer (common stock)	C	Dividend	M	T					
17. United Pacific Life Ins. (Universal Life; no control) (nka Genworth)	D	Dividend	M	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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18. U.S. Savings Bonds		None	K	T					
19. Vanguard Dividend Growth Fund	C	Dividend	L	T					
20. Vanguard Energy Fund	A	Dividend	K	T					
21. Vanguard Information Tech ETF	A	Dividend	L	T					
22. Vanguard International Value Fund	A	Int./Div.	K	T					
23. Vanguard Long-Term Tax Exempt Bond Fund	B	Dividend	K	T					
24. Vanguard S&P 500 Index Fund	B	Dividend	L	T					
25. Walgreen's Boots Alliance Inc. (common stock) (Y)									
26. Wal-Mart (common stock) (Y)									
27. Walt Disney (common stock) (Y)									
28. IRA #1 (H)									
29. --Vanguard Total International Stock Index Fund	B	Dividend	L	T					
30. --Vanguard Total Stock Market Index Fund	B	Dividend	M	T					
31. --Vanguard Total Bond Market Index Fund	A	Dividend	K	T					
32. IRA #2 (H)									
33. --Vanguard Total International Stock Index Fund	A	Dividend	J	T					
34. --Vanguard Total Stock Market Index Fund	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. --Vanguard Total Bond Market Index Fund	A	Dividend	J	T					
36. IRA #3 (H)									
37. --Fidelity Magellan Fund	E	Dividend	M	T					
38. IRA #4 (H)									
39. --Vanguard 500 Index Fund	A	Dividend	K	T					
40. IRA #5 (H)									
41. --CIVIX - MFO Causeway Cap Mgmt Tr Intl Value FD Instl Cl	C	Dividend	M	T					
42. --DFCEX - MFO DFA Invt. Dimensions Group Inc Emerging Mkts	B	Dividend	L	T					
43. --DFEVX - MFO DFA Emerging Markets Value	B	Dividend	L	T					
44. --DFITX - MFO DFA Invt Dimensions Group Inc Intl Real Estate	B	Dividend	L	T					
45. --DFREX - MFO DFA Invt Dimensions Group Inc. Real Estate	B	Dividend	L	T					
46. --DVY - MFC IShares TR Dow Jones Select Divid Index Fund	D	Dividend	M	T					
47. --GUNR - MFC Flexshares TR Morningstar Global Upstream NRIF	C	Dividend	K	T					
48. --HLMIX - MFO Harding Loewner FDS Inc. Intl Equity Portfolio	B	Dividend	M	T					
49. --NMFIX MFB Northern Multimanager	B	Dividend	L	T					
50. --NOMIX - MFB Northern Mid Cap Index Fund	D	Dividend	L	T					
51. --NORXX - MFB Northern Funds Money Market Fund	A	Interest	K	T					

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52. --NOSIX - MFB Northern Funds Stock Index Fund	E	Dividend	N	T					
53. --NSIDX - MFB Northern Funds Small Cap Index Fund	D	Dividend	L	T	Buy (add'l)	04/21/15	J		
54. --PHIYX - MFO Pimco Funds Pac Invt Mgmt Ser HY Instl CL	D	Dividend	M	T	Buy (add'l)	04/22/15	K		
55. --TDTF - MFC Flexshares Tr IBOXX 5 Yr Tgt Duration TIPS Indx	A	Dividend	K	T					
56. IRA #6 (H)									
57. --Fidelity Blue Chip Growth	C	Dividend	L	T					
58. --Fidelity Independence	E	Dividend	N	T					
59. IRA #7 (H)									
60. --Fidelity Independence	B	Dividend	K	T					
61. IRA #8 (H) (Y)									
62. --Vanguard Total Stock Mkt Index Fund (Y)									
63. Trust #1 (H) (Y)									
64. Trust #2 (H)									
65. --Allstate (common)	A	Dividend	K	T					
66. --American Electric Power (common)	A	Dividend	K	T					
67. --Anadarko Petroleum (common)	A	Dividend	J	T					
68. --AT&T (common)	C	Dividend	L	T					

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69. --Blackrock Global Allocation C	A	Dividend	J	T					
70. --BP Amoco (ADR)	A	Dividend	J	T					
71. --Chemours	A	Dividend	J	T					
72. --Conoco Phillips (common)	A	Dividend	J	T					
73. --Dow Chemical (common)	A	Dividend	K	T					
74. --Duke Energy (common)	A	Dividend	J	T					
75. --DuPont (common)	A	Dividend	J	T					
76. --Exelon (common)	A	Dividend	J	T					
77. --Exxon (common)	B	Dividend	L	T					
78. --Fortune Brands Home & Security (common)	A	Dividend	K	T					
79. --Hershey (common)	B	Dividend	L	T					
80. --ISHARES Russell Mid Cap ETF	A	Dividend	K	T	Buy	10/02/15	K		
81. --ISHARES S&P Growth ETF (IVW)	A	Dividend	J	T					
82. --Ivy Asset Strategy	C	Dividend	L	T					
83. --JP Morgan Chase Cash Accounts	A	Dividend	K	T					
84. --JP Morgan Tr I US Small Co. Cl C	A	Dividend	J	T					
85. --JP Morgan Tr I Dynamic Growth	A	Dividend	K	T					

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86. --Lincoln National Group (common)	A	Dividend	K	T					
87. --Merck (common)	A	Dividend	K	T					
88. --Monsanto (common)	A	Dividend	J	T					
89. --Pfizer (common)	A	Dividend	J	T					
90. --Phillips 66 (common)	A	Dividend	J	T					
91. --Spectra Energy (common)	A	Dividend	J	T					
92. --Sterling Cap FDS Mid Value FD (OVECX)	D	Dividend	K	T					
93. --Walgreen's Boots Alliance (common)	C	Dividend	M	T					
94. Trust #3 (H)									
95. --3-M (common)	B	Dividend	L	T					
96. --Allstate (common)	A	Dividend	J	T					
97. --Bank of America (common)	A	Dividend	J	T					
98. --Dow Chemical (common)	A	Dividend	K	T					
99. --Exelon (common)	A	Dividend	J	T					
100. --Exxon (common)	B	Dividend	K	T					
101. --IBM (common)	A	Dividend	J	T					
102. --JP Morgan Chase (common)	A	Dividend	J	T					

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103. --JP Morgan Chase Cash Account	A	Dividend	J	T					
104. --Lincoln National Corp. (common)	A	Dividend	J	T					
105. --Pfizer (common)	B	Dividend	K	T					
106. --Sherwin Williams (common)	A	Dividend	L	T					
107. Trust #4 (H)									
108. --3-M (common)	C	Dividend	M	T					
109. --Exxon Mobil (common)	C	Dividend	M	T					
110. --McDonald's (common)	D	Dividend	N	T					
111. --JP Morgan Chase Cash Account	A	Dividend	J	T					
112. Trust #5 (H)									
113. --JP Morgan Chase Cash Account	A	Interest	J	T					
114. --25% of Moles Family Partnership (H)									
115. ---NOTEX-Northern Funds Tax Exept Fund	A	Dividend	J	T					
116. ---NSIDX-Northern Funds Sall Cap Index Fund	A	Dividend	J	T					
117. ---NOSIX-Northern Funds Stock Index Fund	B	Dividend	K	T					
118. ---NOMIX-Northern Mid Cap Index Fund	A	Dividend	J	T					
119. ---TDTF-Flexshares TR IBOXX 5 yr Tgt TIPS Index Fd	A	Dividend	J	T					

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Tharp, John J.

Date of Report

08/22/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. ---DVY-ISHares Tr Dow Jones Select Divid Index Fd	A	Dividend	K	T					
121. ---GUNR-Flexshares TR Morningstar Global Upstream Nat ResIdx	A	Dividend			Buy (add'l)	04/24/15	J		
122.					Sold	12/23/15	J	A	
123. ---DFCEX-Invt Dimensions Group Emerging Mkts Core Eq Ptf	A	Dividend	J	T					
124. ---DFITX-Invt Dimensions Group Int'l Real Estate Secs Ptf	A	Dividend	J	T					
125. ---PHYIX-Pimco Funds Pac Invt Mgmt Ser HY Fund	A	Dividend			Sold	11/30/15	J	A	
126. ---HLMIX-Harding Loevner Funds Inc Int'l Equity Ptf	A	Dividend	J	T					
127. ---CIVIX-Causeway Cap Mgmt Tr Int'l Value Fd	A	Dividend	J	T					
128. ---DFREX-Invt Dimensions Group Real Estate Secs Ptf	A	Dividend	J	T					
129. ---DFEVX-Emerging Markets Value Fd	A	Dividend	J	T					
130. ---NMFIX MFB Northern Multi-Manager	A	Dividend	J	T					
131. ---MFR Northern Fds Cash Accounts	A	Dividend	J	T					
132. ---XLE MFC Select SPDR Tr Energy		None	J	T	Buy	12/29/15	J		
133. ---BHYIX Blackrock Fed High Yield Bond Portfolio	A	Dividend	J	T	Buy	12/01/15	J		
134. Trust #6 (H)									
135. --Farm land, Christian County, Illinois	D	Distribution	O	W					
136. --JP Morgan Chase Cash Account	A	Distribution	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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137. Trust #7 (H)									
138. --Aston Optimum Mid Cap Fund 1 (ABMIX)		None			Sold	07/17/15	J	B	
139. --iShares Russell MidCap Index Fund (IWR)	A	Dividend	K	T	Buy (add'l)	07/21/15	K		
140. --iShares MSCI EAFE Index Fund (EFA)	A	Dividend	K	T	Buy	12/22/15	K		
141.					Buy (add'l)	12/22/15	J		
142. --JP Morgan Inter. Tax Free Bond Fund - Sel (Fund 1374)	A	Dividend	K	T	Buy (add'l)	12/04/15	J		
143. --JP Morgan Market Expansion Index Fund (PGMIX)	C	Dividend	K	T	Sold (part)	07/17/15	K	C	
144.					Buy (add'l)	12/14/15	J		
145. --T Rowe Price New Asia Fund (PRASX)		None			Buy (add'l)	02/05/15	J		
146.					Sold (part)	07/17/15	J	B	
147.					Sold	08/27/15	K	A	
148. --Condominium Unit (real estate), Sarasota County, Florida		None	M	W					
149. --Hartford Capital Appreciation Fd (ITHIX)	C	Dividend	K	T	Sold (part)	02/10/15	J	A	
150. --JPMorgan Large Cap Core Plus Fd-Select (JLPSX)	B	Dividend	K	T	Sold (part)	09/24/15	J	B	
151. --Neuberger Berman Multi Cap Opportunities Fd (NMULX)	B	Dividend	K	T	Sold (part)	07/17/15	J	B	
152. --Spdr S&P 500 ETF Trust (SPY)	B	Dividend	M	T	Buy (add'l)	02/12/15	J		
153.					Buy (add'l)	09/28/15	J		

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154. --JPMorgan Intl Value Fd-Select (JNUSX)		None			Sold (part)	02/02/15	J	B	
155.					Sold	02/05/15	J	C	
156. --MFS Intl Value Fd-I (MINIX)	B	Dividend	K	T					
157. --iShares MSCI All Country Asia Ex Jpn (AAXJ)	A	Dividend			Sold	07/21/15	J	A	
158. --Capital Private Client SVCS Cap Non-US Equities (CNUSX)	A	Dividend	K	T	Sold (part)	09/24/15	J	A	
159. --Gateway Fund (GTEYX)	A	Dividend	J	T	Sold (part)	08/07/15	J	A	
160. --Goldman Sachs TR Strg Income Inst (GSZIX)	A	Dividend	J	T	Sold (part)	08/07/15	J	A	
161. --JP Morgan China Region Fund-Select Fund 3810 (JCHSX)	B	Dividend			Sold	08/03/15	J	A	
162. --JP Morgan Global Res Enh Index Fund - Select Fund (JEITX)	B	Dividend	L	T	Buy (add'l)	08/27/15	J		
163. --JP Morgan Tax Free Instl Sweep Fund (#840)	A	Interest	K	T					
164. --Metropolitan West Funds Total Return CL I (MWITX)	A	Dividend	J	T					
165. --Equinox Funds Trust Strategy I (EBSIX)	A	Dividend	J	T	Buy	07/17/15	J		
166.					Buy (add'l)	08/07/15	J		
167. ---Dodge & Cox Intl Stock Fund (DODFX)		None			Buy (add'l)	09/24/15	J		
168.					Sold	12/18/15	K	A	
169. ---Brown Adv Japan Alpha (BAFJX)	B	Dividend	J	T	Buy (add'l)	08/07/15	J		
170. ---Gotham Absolute Return (GARIX)	A	Dividend	J	T					

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171. ---Inv Balanced-Risk Alloc (ARBYX)		None			Sold (part)	07/17/15	J		
172.					Sold	08/07/15	J	A	
173. ---DB Market Plus WT1 7/21/15		None			Sold	04/01/15	J	A	
174. ---JP Morgan Daily Return Note		None			Sold	08/03/15	J	A	
175. ---Gs EAFE REN MAT 2/18/16		None	J	T	Buy	02/04/15	J		
176. --- Deutsche X-Trackers MSCI EUR (DBEU)	A	Dividend	J	T	Buy	10/21/15	J		
177. ---Vanguard FTSE Europe ETF (VGK)	A	Dividend	J	T	Buy	08/11/15	J		
178. ---Deutsche X-Trackers MSCI Jap (DBJP)	A	Dividend	J	T	Buy	10/21/15	J		
179. ---John Hancock II Str Inc I (JIPIX)	A	Dividend	K	T	Buy	08/07/15	J		
180.					Buy (add'l)	08/27/15	J		
181. ---Columbia Asia Pax (TAPRX)		None			Buy	07/17/15	K		
182.					Sold (part)	08/19/15	J	A	
183.					Sold	08/28/15	J	A	
184. ---Credit Suisse Comm Return (CRSOX)		None			Buy	05/14/15	J		
185.					Sold	11/24/15	J	A	
186. ---Deutsche XTRAC MSCI EAFE Hedge Fund (DBEF)		None			Buy	08/11/15	J		
187.					Buy (add'l)	09/28/15	J		

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188.					Sold	10/21/15	J	A	
189. ---JP Morgan Cash Account (X)	A	Interest	J	T					
190. Trust #8 (H)									
191. --JPMORGAN CASH ACCOUNT	A	Interest	L	T					
192. --SPDR 500 ETF TR (SPY)	C	Dividend	M	T	Buy (add'l)	02/11/15	K		
193.					Buy (add'l)	03/19/15	K		
194. --ISHARES TR RUSSEL MIDCAP INDEX FUND (IWR)	B	Dividend	L	T	Buy (add'l)	04/09/15	J		
195.					Buy (add'l)	11/20/15	K		
196. --JPMORGAN TR I INTERM TX FREE BD FD INST (FUND 1374)	B	Dividend	K	T					
197. --JPMORGAN TRUST II MKT EXPAN INDEX FUND (PGMIX)	A	Dividend			Sold (part)	04/07/15	J	A	
198.					Sold	11/18/15	J	C	
199. --JP MORGAN TR I INTL VALUE FUND (JNUSX)	A	Dividend	L	T	Buy (add'l)	04/07/15	J		
200. --JP MORGAN TR I TAX AWARE EQUITY FD INSTL (JPDEX) (fka SELECT JPESX)	B	Dividend	K	T	Sold (part)	03/17/15	J	A	
201. --T ROWE PRICE INTL FDS NEW ASIA FD (PRASX)		None			Buy (add'l)	02/09/15	K		
202.					Sold (part)	08/04/15	K	A	
203.					Sold	08/25/15	K	A	

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204. --MFS INTL VALUE I FUND (MINIX)	A	Dividend	K	T	Sold (part)	05/14/15	J	C	
205. --DODGE&COX FD INTL STOCK FD (DODFX)		None			Buy (add'l)	04/07/15	J		
206.					Buy (add'l)	05/14/15	K		
207.					Sold	12/18/15	L	A	
208. --PRIMECAP ODYSSEY FUNDS ODYSSEY STK FD (POSKX)	A	Dividend			Buy (add'l)	04/07/15	J		
209.					Sold	05/14/15	J	B	
210. --HARTFORD CAP APPRE CL I MUTUAL FUND (ITHIX)	C	Dividend	K	T	Sold (part)	03/17/15	J	A	
211. --GATEWAY FD-Y (GTEYX)	A	Dividend	J	T	Sold (part)	08/04/15	J	A	
212. --NEUBERGER BERMAN EQUITY --FDS MULCAP OPP INS FUND (NMULX)	B	Dividend	K	T					
213. --JPM INTREPID VALUE FD (FUND 1136)		None			Sold	02/09/15	K	C	
214. --CAPITAL PRIVATE CLIENT SVCS NON US EQUITIES (CNUSX)		None			Sold (part)	02/09/15	K	A	
215.					Sold	04/07/15	K	C	
216. --ISHARES MSCI EAFE INDX FD (EFA)	B	Dividend	M	T	Sold (part)	02/03/15	J	A	
217.					Sold (part)	02/04/15	J	A	
218.					Sold (part)	02/05/15	J	A	
219.					Sold (part)	05/18/15	J	A	
220.					Buy (add'l)	12/22/15	L		

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221. --JPM CHINA REGION FD-SELECT (JCHSX)	B	Dividend			Sold	08/03/15	J	A	
222. --JPM GLOBAL RES ENH INDEX FD-SELECT (JEITX)	B	Dividend	M	T	Buy (add'l)	03/17/15	J		
223.					Buy (add'l)	08/27/15	J		
224. --EQUINOX FDS TR CB STGY I (EBSIX)	A	Dividend	K	T	Buy	03/17/15	J		
225.					Buy (add'l)	04/07/15	J		
226. --GOLDMAN SACHS TR STRG INCM INSTI (GSZIX)	B	Dividend	K	T	Sold (part)	04/07/15	J	A	
227. --DODGE & COX INCOME FD (DODIX)	A				Sold	05/14/15	K	A	
228. --Brown Adv Japan Alpha (BARJX)	B	Dividend	K	T	Sold (part)	05/14/15	J	A	
229.					Buy (add'l)	08/04/15	J		
230.					Sold (part)	10/23/15	J	A	
231.					Buy (add'l)	12/24/15	J		
232. --Gothan Absolute Return (GARIX)	A	Dividend	K	T					
233. --INV Balance Rise Alloc - Y (ABRYX)		None			Sold (part)	04/07/15	K	A	
234.					Sold	08/04/15	J	A	
235. --DB Market Plus WTI		None			Sold	04/01/15	J	A	
236. --JPM Daily Return Note		None			Sold	05/18/15	J	A	
237. --Vanguard FTSE Europe EFT (VGX)	A	Dividend	J	T	Buy	08/06/15	J		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

238. --GS EAFE Ren Mat 2/18/16		None	K	T	Buy	02/04/15	K		
239. --ISHA Curr Hedged MSCI EAFE (HEFA)	A	Dividend	K	T	Buy	12/29/15	K		
240. --Doubleline total Ret Bond-I (DBLTX)	A	Dividend	K	T	Buy	05/14/15	K		
241. --John Hancock II Str Inc-I (JIPIX)	B	Dividend	K	T	Buy	08/05/15	K		
242.					Buy (add'l)	08/27/15	J		
243. --Deutsche X-Trackers SCI JAP (DBJIP)	A	Dividend			Buy	10/19/15	J		
244.					Buy (add'l)	10/27/15	J		
245.					Sold	12/29/15	K	A	
246. Deutsche X-trackers MSCI EUR (DBEU)	A	Dividend			Buy	10/19/15	K		
247.					Sold	12/29/15	K	A	
248. --Deutsche XTRAC MSCI EAFE Hedge Fund (DBEF)	A	Dividend			Buy	08/06/15	K		
249.					Sold	10/19/15	K	A	
250. --HSBC Funds (HTRIX)	A	Dividend			Buy	04/07/15	J		
251.					Sold	08/04/15	J	A	
252. --Credit Suisse Commodity Return (CRSOX)		None			Buy	05/14/15	K		
253.					Sold	11/24/15	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Additional Information Concerning Part VII.A., Description of Investments and Trusts:

Lines 2, 5, 8-10, 25-27, 61-62: Formerly dependent daughter is no longer a dependent; accordingly these assets are no longer valued and will be deleted from subsequent reports. A portion of the asset listed on Line 24 was also owned by my formerly dependent daughter; that portion is no longer being reported (and consequently the value has decreased from value previously reported).

Line 4: Chemours is a spin-off of DuPont. The Acquisition drop down box includes an option to select "spin off from line ____," but does not accept a number input, so I was unable to include that information on a line in Part VII. The sale indicated was a fractional share.

Line 53: Symbol corrected (erroneously reported as "NSICX" on last report; corrected symbol is "NSIDX."

Lines 63-64; I am the co-trustee of Trust #1 (a trust created by family member). I have no beneficial interest in that trust and receive no fees. Accordingly, assets for this trust are not reportable per current instructions and Trust and Asset Descriptions include (Y) designation.

Pursuant to current year instructions, assets/income of Trust #1 have not been reported because I did not create the trust and have no beneficial interest in it. Trusts previously reported as #8 through #20 have been deleted from the report pursuant to current year instructions (confirmed by phone with staff of the Committee) because neither I nor my spouse created the trusts or have any beneficial interest in any of these trusts. Former Trust #21 has been renumbered as Trust #8. My spouse is the sole beneficiary of Trusts #2-#5 and has a 25% beneficial interest in Trusts #6 through #8. I have reported only the value of my spouse's beneficial interest in these trusts.

Line 199: The symbol for this fund changed in 2014 to JNUSX from JIESX and has now been corrected in this report. This asset was erroneously double-reported on the 2014 report, once under each symbol.

Additional Information Concerning Part VII.D., Transactions During Reporting Period:

Lines 4 and 71: Chemours was obtained as a spin-off from DuPont. The drop down menu on the software contains a spin-off choice as the transaction type, but does not work properly; it does not permit entry of the line for the asset that spun off the new company. Accordingly, I was unable to indicate the spin-off transaction in Part VII.D.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John J. Tharp**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

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