

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Reeves, Carlton W.	2. Court or Organization Southern District of Mississippi	3. Date of Report 10/21/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2014 to 12/31/2014
5b. ☒ Amended Report		
7. Chambers or Office Address 501 E. Court Street Suite 5.550 Jackson, MS 39201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Partner/Director	North Congress Properties, LLC
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	North Congress Properties, LLC (Rental Income)	\$6,102.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. University of Virginia School of Law, Lile Moot Court Board	02/21-23/2014	Charlottesville, VA	Moot Court Competition	Transportation, meals, hotel
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	First Commercial Bank	Mortgage on Property, Jackson, MS (Part VII, Line 45)	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AT&T, Common	A	Dividend	J	T					
2. Analog Device Inc. (ADI), Common	A	Dividend	J	T					
3. Caterpillar (CAT), Common	A	Dividend	J	T					
4. Discover Financial Svcs (DFS), Common	A	Dividend	J	T					
5. Facebook, Inc. (FB), Common	A	Dividend	J	T					
6. GE, Common	A	Dividend	J	T					
7. Intel Corp. (INTC), Common	A	Dividend	J	T					
8. Johnson & Johnson (JNJ), Common	A	Dividend	J	T					
9. Kraft Food Grp, Inc. (KRFT), Common	A	Dividend	J	T					
10. Kroger (DR), Common	A	Dividend	J	T					
11. McDonalds Corp. (MCD), Common	A	Dividend	J	T					
12. Merck (MRK), Common	A	Dividend	J	T					
13. Microsoft (MSFT), Common	A	Dividend	J	T					
14. Morgan Stanley (MS), Common	A	Dividend	J	T					
15. Pepsico (PEP), Common	A	Dividend	J	T					
16. Procter & Gamble (PG), Common	A	Dividend	J	T					
17. Qualcomm Inc. (QCOM), Common	A	Dividend	J	T					

1. Income Gain Codes:	A –\$1,000 or less	B –\$1,001 - \$2,500	C –\$2,501 - \$5,000	D –\$5,001 - \$15,000	E –\$15,001 - \$50,000
(See Columns B1 and D4)	F –\$50,001 - \$100,000	G –\$100,001 - \$1,000,000	H1 –\$1,000,001 - \$5,000,000	H2 –More than \$5,000,000	
2. Value Codes	J –\$15,000 or less	K –\$15,001 - \$50,000	L –\$50,001 - \$100,000	M –\$100,001 - \$250,000	
(See Columns C1 and D3)	N –\$250,001 - \$500,000	O –\$500,001 - \$1,000,000	P1 –\$1,000,001 - \$5,000,000	P2 –\$5,000,001 - \$25,000,000	
	P3 –\$25,000,001 - \$50,000,000		P4 –More than \$50,000,000		
3. Value Method Codes	Q –Appraisal	R –Cost (Real Estate Only)	S –Assessment	T –Cash Market	
(See Column C2)	U –Book Value	V –Other	W –Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. 3M Company (MMM), Common	A	Dividend	K	T					
19. Trustmark Corp (TRMK), Common	A	Dividend	J	T					
20. YUM Brands, Inc. (YUM), Common	A	Dividend	K	T					
21. First Commercial Bank, Common	A	Dividend	K	T					
22. Columbia Acorn Themorstat (CTFAX), Mutual Fund	B	Dividend	L	T					
23. Invesco Value Mun. Income Trust (IIM), Mutual Fund	A	Dividend			Sold	01/17/14	K	E	
24. FAGOX (IRA), Mutual Fund	A	Dividend	K	T					
25. FAEGX (IRA), Mutual Fund	A	Dividend	K	T					
26. FSOAX (IRA), Mutual Fund	A	Dividend	K	T					
27. Met Life Ins. Co. PPA Variable Annuity	A	Interest	J	T					
28. Pruco Life Ins. Co. Prudential Premier RetirementX, Var Ann	D	Int./Div.	N	T					
29. -----AST Academic Strategies Asset Allocation Portfolio									
30. -----AST Black Rock/Loomis Sayles Bond Portfolio									
31. -----AST Loomis Sayles Large-Cap Growth Portfolio									
32. -----AST QMA US Equity Alpha									
33. -----AST T. Rowe Price Natural Resources									
34. College Savings Plan (Header)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. --Scholars Edge 529 Plan	C	Int./Div.	L	T					
36. -----Ages 18 and Over Portfolio									
37. -----Dreyfus Research Growth Portfolio									
38. -----International Equity Portfolio A									
39. -----Aggressive Portfolio A									
40. -----Moderately Aggressive Portfolio A									
41. -----Value Portfolio A									
42. ---MPACT (MS College Savings Plan)		None							
43. United States Savings Bond	A	Interest	J	T					
44. Stifel Nicolaus, Money Market Acct	A	Interest	K	T					
45. Rental Property, Jackson MS (12/05/2014)	D	Rent	N	Q		12/05/14			
46. Radio One (ROIAK), Common	A	Dividend			Sold	01/17/14	J		
47. Mass Mutual Life Ins. (Whole Life)	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments and Trusts

- 42. MPACT is the Mississippi Prepaid Affordable College Tuition Plan, Mississippi's prepaid tuition plan that prepays the cost of tuition and mandatory fees and protects against the future rise in college tuition. It is a 529 plan that is guaranteed by the State of Mississippi.
- 44. Stifel Nicolaus appears because the money market account became reportable again.
- 45. New appraisal of the property was conducted in December 5, 2014 resulting in slight decrease in value from previous filings. My interest in the property is retained. It was not bought, sold, distributed, donated, redeemed or purchased.
- 46. The value of Radio One was not reported in prior years' filings because it was not reportable and is only reported here because the stock was sold.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Carlton W. Reeves**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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