

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Davison, Paul E.	2. Court or Organization US District Court, SDNY	3. Date of Report 4/16/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (full time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 300 Quarropas Street White Plains, New York 10601		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1
2. Adjunct Professor	New York Law School
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	New York Law School - Salary	\$2,500.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	[REDACTED] - Wages
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		Tuition and Fees	None
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. JP Morgan Chase Bank Account		None	J	T					
2. Citibank Bank Accounts (X)		None	J	T					
3. Aberdeen Asia Pac Income (FAX)	A	Int./Div.	K	T	Buy	09/03/13	K		
4. Aberdeen I Global High Yield Bond (JHYIX)	C	Int./Div.			Sold	10/14/13	K		
5. Allergan Inc (AGN)	A	Dividend	J	T					
6. Black Rock A Intl Index (MDIIX)	B	Int./Div.	L	T	Buy (add'l)	02/28/13	J		
7.					Buy (add'l)	05/15/13	J		
8.					Buy (add'l)	06/24/13	J		
9. Columbia A Small Cap Index (NMSAX)	C	Int./Div.	L	T	Buy	06/24/13	J		
10. Consolidated Edison (ED)	A	Dividend	J	T					
11. DFA Emerging Markets Core Equity (DFCEX)	A	Int./Div.	K	T					
12. DFA Global Real Estate Sec (DFGEX)	A	Int./Div.	K	T					
13. Disney (DIS)		None	L	T					
14. DoubleLine I Total Return Bond (DBLTX)	B	Int./Div.	K	T					
15. Fidelity Advisor Floating Rate High Income (FFRHX)	A	Int./Div.			Buy	05/15/13	K		
16.					Sold	09/03/13	K		
17. Fidelity Adv A Freedom 2020 (FDAFX)	A	Int./Div.			Sold	02/28/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Fidelity Adv A Freedom Income (FAFAX)	D	Int./Div.	M	T	Sold (part)	02/28/13	K		
19.					Sold (part)	05/15/13	J		
20.					Sold (part)	06/24/13	K		
21.					Sold (part)	07/30/13	K		
22.					Sold (part)	09/03/13	K		
23.					Buy (add'l)	10/11/13	J		
24.					Buy (add'l)	12/23/13	J		
25. Fidelity Cash Reserve (Qual) (FDRXX)	A	Int./Div.	L	T					
26. Fidelity Equity Income (FEQIX)	A	Int./Div.	J	T					
27. Fidelity New York Municipal Money Market (FNYXX)	A	Int./Div.	L	T					
28. Fidelity Retirement Money Market (FRTXX)	A	Int./Div.	L	T	Buy	11/20/13	K		
29. Fidelity Tax Free Bond (FTABX)	A	Int./Div.			Buy	02/28/13	K		
30.					Sold	06/24/13	K		
31. Franklin High Income A (FHAIX)	C	Int./Div.	K	T	Buy	02/28/13	K		
32.					Buy (add'l)	06/24/13	J		
33.					Buy (add'l)	07/30/13	K		
34.					Buy (add'l)	09/03/13	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	10/11/13	J		
36.					Sold (part)	11/20/13	K		
37.					Buy (add'l)	12/23/13	J		
38. Glaxosmithkline PLC ADR (GSK)	A	Int./Div.	J	T					
39. Illinois Tool Works (ITW)	A	Dividend			Sold	10/17/13	J		
40. iShares Barclays 7-10 Yr Treasury Bond (IEF)	A	Int./Div.	K	T	Buy	07/30/13	K		
41. iShares iBoxx High Yield Corp Bd (HYG)	A	Int./Div.	J	T	Buy (add'l)	09/03/13	K		
42.					Sold (part)	10/14/13	K		
43. iShares Inc Core Msci Emerging Mkts (IEMG)	A	Int./Div.	J	T	Buy	04/09/13	J		
44.					Buy (add'l)	05/15/13	J		
45. iShares JP Morgan USD Emerging Markets Bond (EMB)	A	Int./Div.			Buy	02/28/13	J		
46.					Sold	09/03/13	J		
47. iShares MSCI EAFE Index (EFA)	A	Int./Div.	J	T					
48. iShares MSCI EAFE Small Cap Index (SCZ)	A	Int./Div.	J	T	Buy	04/09/13	J		
49. iShares MSCI Emerging Markets Index (EEM)	A	Int./Div.	J	T					
50. iShares S&P Global Timber & Forestry Index (WOOD)	A	Int./Div.	K	T					
51. iShares S&P National Municipal Bond (MUB)	A	Int./Div.			Buy	04/09/13	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52.					Sold	06/24/13	K		
53. Johnson & Johnson (JNJ)	C	Int./Div.	M	T	Sold (part)	06/24/13	K		
54. Nuveen Build America (NBD)	A	Int./Div.	K	T	Buy	10/07/13	K		
55. Payden High Income (PYHRX)	A	Int./Div.			Sold	02/28/13	L		
56. Payden R Emerging Mkts Bond (PYEMX)	A	Int./Div.			Sold	02/28/13	L		
57. PIMCO Total Return Instl (PTTRX)	A	Int./Div.	K	T	Buy	11/20/13	K		
58. Powershares Build America Bond (BAB)	B	Int./Div.	L	T	Buy (add'l)	02/28/13	K		
59.					Buy (add'l)	11/20/13	K		
60. SPDR Barclays Capital Short Term High Yield Bond (SJNK)	A	Int./Div.	J	T	Buy	02/28/13	J		
61. SPDR Barclays High Yield Bond (JNK)	B	Int./Div.			Sold	05/15/13	K		
62. SPDR Barclays Municipal (TFI)	A	Int./Div.	K	T	Buy	06/24/13	K		
63. SPDR S&P Emerging Markets Small Cap (EWX)	A	Int./Div.	J	T					
64. SPDR Series Trust (BABS)	B	Int./Div.	J	T					
65. SPDR Utilities Select Sector (XLU)	A	Int./Div.			Buy	02/28/13	K		
66.					Sold	09/09/13	K		
67. TCW I Total Return Bond (TGLMX)	B	Int./Div.	K	T					
68. TCW N Total Return Bond (TGMNX)	A	Int./Div.	J	T	Buy	04/09/13	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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69. Vanguard High Yield Corporate- Admiral (VWEAX)	D	Int./Div.	M	T					
70. WisdomTree Dreyfus New Zealand Dollar (AUNZ)	A	Int./Div.	K	T	Buy	05/15/13	K		
71. Zimmer Holdings Inc (ZMH)	A	Dividend	K	T					
72. New York 529 Inflation Protected Securities Portfolio		None	K	T	Buy (add'l)	12/17/13	J		
73.									
74. TRUST #1									
75. -Call EEM 1-17-15 @ 46 (Short Sale)		None			Sold	06/24/13	J		
76.					Closed	10/14/13	J		
77. -Aberdeen Asia Pac Income (FAX)	A	Int./Div.	K	T	Buy	06/24/13	K		
78.					Buy (add'l)	09/09/13	K		
79. -DoubleLine I Total Return Bond (DBLTX)	A	Int./Div.	K	T	Buy	11/20/13	L		
80. -Fidelity New York Municipal Income (FTFMX)	B	Int./Div.	L	T					
81. -Fidelity New York Municipal Money Market (FNYXX)	A	Int./Div.	M	T					
82. -Fidelity Spartan 500 Index Advantage (FUSVX)	B	Int./Div.	L	T	Buy	01/18/13	L		
83. -Fidelity Spartan 500 Index (FUSEX)		None			Sold	01/18/13	L		
84. -Fidelity Spartan Extended Mkt Index (FSEMX)		None			Sold	01/18/13	K		
85. -Fidelity Spartan Extended Market Index Adv (FSEVX)	A	Int./Div.	K	T	Buy	01/18/13	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86. -Fidelity Spartan Tax-Free Bond (FTABX)	B	Int./Div.	K	T					
87. -Fidelity Spartan Total Market Index - Adv (FSTVX)	C	Int./Div.	M	T					
88. -iShares iBoxx High Yield Corp Bd (HYG)	C	Int./Div.			Sold	10/14/13	L		
89. -iShares MSCI EAFE Index (EFA)	C	Int./Div.	M	T	Sold (part)	01/02/13	J		
90. -iShares MSCI Emerging Markets Index (EEM)	C	Int./Div.	M	T	Sold (part)	02/08/13	J		
91.					Sold (part)	08/06/13	K		
92. -iShares Russell 1000 Index (IWB)	A	Int./Div.	K	T					
93. -iShares S&P 500 Index (IVV)	A	Int./Div.	K	T	Buy	06/24/13	K		
94. -iShares S&P Global Timber & Forestry Index (WOOD)	A	Int./Div.	L	T					
95. -JP Morgan Tax Aware Real Return - Instl (TXRIX)	B	Int./Div.	L	T					
96. -Nuveen Energy MLP Total Return (JMF)	C	Int./Div.	L	T					
97. -Nuveen NY Municipal Dividend Advantage (NAN)	D	Int./Div.	L	T					
98. -Payden High Income (PYHRX)	C	Int./Div.	K	T	Sold (part)	10/14/13	K		
99. -Plum Creek Timber Co (PCL)	A	Dividend	J	T					
100. -Port Auth NY & NJ Cons Bds 4.25% 10/1/2026 (733581SF0)	A	Interest			Sold	12/27/13	J		
101. -Potlatch Corp (PCH)	A	Dividend	K	T					
102. -Puerto Rico Comwlth Pub Impt-Ser A 5.25% 7/1/2024 (7451455A6)	A	Interest	J	T	Buy	09/09/13	J		

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103. -Puerto Rico Pub Bldgs AuthSer P 6.25% 7/1/2026 (745235L58)	A	Interest	J	T	Buy	09/09/13	J		
104. -S&P Dep Receipts (SPY)	A	Int./Div.	K	T					
105. -SPDR Barclays Cptl Shrt Trm Crprt Bnd ETF (SCPB)	A	Int./Div.			Sold	06/24/13	K		
106. -SPDR Barclays Municipal (TFI)	A	Int./Div.	L	T	Buy	06/24/13	L		
107. -SPDR Dow Jones Industrial Average (DIA)	A	Int./Div.	K	T					
108. -US Treasury Nts 1.625% 8/15/2022 (912828TJ9)	A	Interest	J	T	Buy	08/26/13	J		
109. -Vanguard FTSE (VSS)	A	Int./Div.	K	T					
110. -Vanguard Hi Yield Tax-Exempt Adm (VWALX)	A	Int./Div.			Sold	06/24/13	K		
111. -Vanguard Short Term Corp Bond (VCSH)	B	Int./Div.	L	T					
112. -WisdomTree Dreyfus New Zealand Dollar (AUNZ)	A	Int./Div.			Buy	04/16/13	J		
113.					Sold	09/09/13	J		
114. -WisdomTree Emerging Mkts Small Cap Div (DGS)	B	Int./Div.	K	T					
115.									
116.									
117.									
118.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Davison, Paul E.

Date of Report

4/16/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Illinois Tool Works (ITW): 12/13/12 sale reported at line 32 of 2012 report left us holding fractional shares in dividend reinvestment accounts. Fractional shares then liquidated on 10/17/13 as reported at line 39.

The fund formerly known as 'Artio 1 Global Yield Bond Fund' reported on line 3 of 2012 report is now known as 'Aberdeen 1 Global High Yield Bond Fund' and is reported on line 4 of 2013 report.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Davison, Paul E.

Date of Report

4/16/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Paul E. Davison

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544