

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HUG, JR., PROCTER R.	2. Court or Organization Ninth Circuit Court of Appeals	3. Date of Report 05/08/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge, Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address Bruce Thompson U.S. Courthouse 400 S. Virginia St., Suite 708 Reno, Nevada 89501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Storey County Properties Partnership	F	Rent	O	W					
2. West Coast Development Partnership	D	Interest	L	W					
3. Brooktree Partners, Reno, Nevada	C	Rent	K	W					
4. Flowers Escrow Real Estate Mortgage	A	Interest	N	T					
5. Wachovia M/M Fund now Wells Fargo Advisors	B	Interest	M	T					
6. Wells Fargo M/M Fund	E	Interest	O	T					
7. Morgan Stanley Dean Witter Liquid Asset	A	Interest	J	T					
8. Bank of America	A	Interest	M	T					
9. Wells Fargo Bank	A	Interest	K	T					
10. ALCOA, Inc.	A	Dividend	K	T					
11. Abbott Labs	A	Dividend	K	T	Buy	5/26/11	K		
12. Alliance Income	A	Dividend	K	T	Buy	3/21/11	K		
13. Allstate Corp.	A	Dividend	J	T					
14. Athenhealth, Inc.	A	Dividend	J	T					
15. Barrick Gold Corp	A	Dividend	K	T					
16. Berkshire Hathaway, Inc.		None	L	T					
17. Canadian Nat. Resources	A	Dividend	K	T	Buy	06/07/11	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. Costco Wholesale Corp.	A	Dividend	K	T					
19. Calamos Invst. Tr.	A	Dividend	J	T					
20. Citigroup, Inc.	A	Dividend	K	T					
21. CNH Global NV	A	Dividend	J	T					
22. Conocophillips	A	Dividend	K	T					
23. Dell, Inc.	A	Dividend	K	T					
24. Du Pont De Nemours & Co.	A	Dividend	K	T					
25. Eaton Vance	A	Dividend	K	T	Buy	09/19/11	K		
26. Elan Corp.	A	Dividend	J	T	Buy	01/04/11	J		
27. Elan Corp.	A	Dividend			Sold	05/26/11	J		
28. Energy Conversion Devices, Inc.	A	Dividend			Sold	12/19/11	J	A	
29. Eniana	A	Dividend	J	T	Buy	09/19/11	J		
30. General Electric Co.	A	Dividend	J	T					
31. Glaxco	A	Dividend	J	T	Buy	05/26/11	J		
32. IDACORP, Inc.	A	Dividend	J	T					
33. Hershey Company	A	Dividend	K	T					
34. Intel Corp.	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Janus Fund	A	Interest	J	T					
36. Janus Twentieth Century	A	Interest	J	T					
37. Janus Venture	A	Interest	J	T					
38. Kraft Foods, Inc.	A	Dividend	K	T					
39. Merck & Co., Inc.	A	Dividend	K	T					
40. Nuveen Multi-Strategy Income	A	Dividend	K	T					
41. Pall Corp.	A	Dividend	J	T					
42. Pepsico, Inc.	A	Dividend	J	T					
43. Pfizer, Inc.	A	Dividend	K	T					
44. Royal Dutch Shell PLC	A	Dividend	J	T					
45. Safeway, Inc.	A	Dividend	K	T					
46. Charles Schwab Corp.	A	Dividend	K	T					
47. Smith & Nephew PLC	A	Dividend	K	T					
48. Sprint Nextel Co.	A	Dividend	L	T					
49. 3M Company	A	Dividend	J	T					
50. Unilever NV	A	Dividend	J	T					
51. United Parcel SVC, Inc.	A	Dividend	K	T					

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52. United States 12 Month Nat.	A	Dividend	K	T					
53. VISA	A	Dividend	L	T					
54. Wal-Mart Stores, Inc.	A	Dividend	K	T					
55. Wells Fargo Stock	A	Dividend	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ PROCTER R. HUG, JR.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544