

FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) van Keulen, Susan	2. Court or Organization U.S. District Court for the Northern District of California	3. Date of Report 07/03/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 06/30/2017
7. Chambers or Office Address 280 S. 1st Street San Jose, CA 55113		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 13

Name of Person Reporting

van Keulen, Susan

Date of Report

07/03/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	Self-employed attorney	\$771,000.00
2. 2016	Self-employed attorney	\$872,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	van Keulen & van Keulen (salary)
2. 2017	van Keulen & van Keulen (salary)
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 13

Name of Person Reporting

van Keulen, Susan

Date of Report

07/03/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 13

Name of Person Reporting

van Keulen, Susan

Date of Report

07/03/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America (Cash Accounts)	A	Interest	L	T	Exempt				
2. Citibank (Cash Account)	A	Interest	M	T					
3. Chase (Cash Accounts)	A	Interest	J	T					
4. Vanguard Growth Index Fund INV	A	Dividend	J	T					
5. O'Melveny & Myers LLP Cash Balance Retirement Account		None							
6. O'Melveny & Myers LLP Capital Account		None							
7. van Keulen & van Keulen, PC		None	M	U					
8. Amersfoort Company		None	L	U					
9. Amersfoort LLC		None	N	U					
10. Trust Account #1 (H)									
11. Charles Schwab (Cash Account)	A	Interest	J	T					
12. Trust Account #2 (H)									
13. Charles Schwab Deposit Account (Cash)	A	Interest	J	T					
14. Nike Inc	A	Dividend	J	T					
15. Trust Account #3 (H)									
16. Schwab Cash Reserves (SWSXX)	A	Dividend	K	T					
17. Baird Core Plus Bd Inv (BCOSX)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 13

Name of Person Reporting

van Keulen, Susan

Date of Report

07/03/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Blackrock Total Return CL A (MDHGX)	A	Dividend	K	T					
19. Eaton Vance Floating Rate Advantage A (EAFAX)	A	Dividend	J	T					
20. Loomis Sayles Bond Fund Cl R (LSBRX)	A	Dividend	J	T					
21. Metropolitan West Total Return Bond M (MWTRX)	A	Dividend	K	T					
22. Pimco Incm Cl D (PONDY)	A	Dividend	J	T					
23. Western Asset Core Plus Bd Fd Fl (WACIX)	A	Dividend	K	T					
24. Oakmark Int'l Fd Class I (OAKIX)		None	J	T					
25. Oakmark Int'l Small Cap Fund (OAKEX)		None	J	T					
26. Schwab Core Equity Fund (SWANX)		None	K	T					
27. Schwab Dividend Equity Fund (SWDSX)		None	K	T					
28. Schwab Fundamental US Large Co Index (SFLNX)		None	K	T					
29. Schwab Fundamental US Small Co Index (SFSNX)		None	J	T					
30. Schwab Large-Cap Growth Fund (SWLSX)		None	J	T					
31. Schwab Small-Cap Equity Fund (SWSCX)		None	J	T					
32. Templeton Foreign Fund CL A (TFF1Z)		None	J	T					
33. TIAA CREF INTL Equity Retail (TIERX)		None	J	T					
34. Trust Account #4 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 13

Name of Person Reporting

van Keulen, Susan

Date of Report

07/03/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Schwab Cash Reserves (SWSXX)	A	Dividend	K	T					
36. Baird Core Plus Bd Inv (BCOSX)	A	Dividend	K	T					
37. Blackrock Total Return Cl A (MDHQX)	A	Dividend	K	T					
38. Eaton Vance Floating Rate Advantage A (EAFAX)	A	Dividend	J	T					
39. Loomis Sayles Bond Fund Cl R (LSBRX)	A	Dividend	J	T					
40. Metropolitan West Total Return Bond M (MWTRX)	A	Dividend	K	T					
41. Pimco Incm Cl D (PONDY)	A	Dividend	J	T					
42. Western Asset Core Plus Bd Fd Fl (WACIX)	A	Dividend	K	T					
43. Oakmark Int'l Fd Class I (OAKIX)		None	J	T					
44. Oakmark Int'l Small Cap Fund (OAKEX)		None	J	T					
45. Schwab Core Equity Fund (SWANX)		None	K	T					
46. Schwab Dividend Equity Fund (SWDSX)		None	K	T					
47. Schwab Fundamental US Large Co Index (SFLNX)		None	K	T					
48. Schwab Fundamental US Small CO Index (SFSNX)		None	J	T					
49. Schwab Large-Cap Growth Fund (SWLSX)		None	J	T					
50. Schwab Small-Cap Equity Fund (SWSCX)		None	J	T					
51. Templeton Foreign Fund Cl A (TFF1Z)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 13

Name of Person Reporting

van Keulen, Susan

Date of Report

07/03/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. TIAA CREF Int'l Equity Retail (TIERX)		None	J	T					
53. Trust Account #5 (H)									
54. Charles Schwab Deposit Account (Cash)	A	Interest	J	T					
55. Apple Stock		None	M	T					
56. Berkshire Hathaway, Class B Stock		None	M	T					
57. E.I. De Nemours Du Pont		None	L	T					
58. Home Depot		None	M	T					
59. Travelers Companies Group		None	M	T					
60. UnitedHealth Group		None	M	T					
61. IRA #1 (H)									
62. Schwab Cash Reserves (SWSXX)	A	Dividend	K	T					
63. Baird Core Plus Bd Inv (BCOSX)	A	Dividend	K	T					
64. Blackrock Total Return Cl A (MDHQX)	A	Dividend	K	T					
65. Eaton Vance Floating Rate Advantage A (EAFAX)	A	Dividend	J	T					
66. Loomis Sayles Bond Fund Cl R (LSBRX)	A	Dividend	J	T					
67. Metropolitan West Total Return Bond M (MWTRX)	A	Dividend	K	T					
68. Pimco Incm Cl D (PONDXX)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 13

Name of Person Reporting

van Keulen, Susan

Date of Report

07/03/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

69. Western Asset Core Plus Bd Fd FI (WACIX)	A	Dividend	K	T					
70. Oakmark Int'l Fd Class I (OAKIX)		None	J	T					
71. Oakmark Int'l Small Cap Fund (OAKEX)		None	J	T					
72. Schwab Core Equity Fund (SWANX)		None	K	T					
73. Schwab Dividend Equity Fund (SWDSX)		None	J	T					
74. Schwab Fundamental US Large Co Index (SFLNX)		None	J	T					
75. Schwab Fundamental US Small Co Index (SFSNX)		None	J	T					
76. Schwab Large-Cap Growth Fund (SWLSX)		None	J	T					
77. Schwab Small-Cap Equity Fund (SWSCX)		None	J	T					
78. Templeton Foreign Fund CL A (TFF1Z)		None	J	T					
79. TIAA CREF Int'l Equity Retail (TIERX)		None	J	T					
80. IRA #2 (H)									
81. Schwab Cash Reserves (SWSXX)	A	Dividend	K	T					
82. Baird Core Plus Bd Inv (BCOSX)	A	Dividend	K	T					
83. Blackrock Total Return Cl A (MDHGX)	A	Dividend	K	T					
84. Eaton Vance Floating Rate Advantage A (EAFAX)	A	Dividend	J	T					
85. Loomis Sayles Bond Fund Cl R (LSBRX)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 13

Name of Person Reporting

van Keulen, Susan

Date of Report

07/03/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. Metropolitan West Total Return Bond M (MWTRX)	A	Dividend	K	T					
87. Pimco Incm Cl D (PONDY)	A	Dividend	J	T					
88. Western Asset Core Plus Bd Fd FI (WACIX)	A	Dividend	K	T					
89. Oakmark Int'l Fd Class I (OAKIX)		None	J	T					
90. Oakmark Int'l Small Cap Fund (OAKEX)		None	J	T					
91. Schwab Core Equity Fund (SWANX)		None	K	T					
92. Schwab Dividend Equity Fund (SWDSX)		None	J	T					
93. Schwab Fundamental US Large Co Index (SFLNX)		None	J	T					
94. Schwab Fundamental US Small Co Index (SFSNX)		None	J	T					
95. Schwab Large-Cap Growth Fund (SWLSX)		None	J	T					
96. Schwab Small-Cap Equity Fund (SWSCX)		None	J	T					
97. Templeton Foreign Fund Cl A (TFF1Z)		None	J	T					
98. TIAA CREF Int'l Equity Retail (TIERX)		None	J	T					
99. IRA #3 (H)									
100. RBC Money Mkt Obligs Tr Federated Prime Obligs Fd	A	Dividend	K	T					
101. Apple Inc (AAPL)		None							
102. Blackstone Group LP Common Units (Private Issue)		None							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 13

Name of Person Reporting

van Keulen, Susan

Date of Report

07/03/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

103. Costco Wholesale Corp-New (COST)		None							
104. Facebook Inc Cl A (FB)		None							
105. Fedex Corp (FDX)		None							
106. Gilead Sciences Inc (GILD)		None							
107. Ishares Nasdaq Biotechnology Index Fd (IBB)		None							
108. Lululemon Athletica Inc (LULU)		None							
109. Mastercard Incorporated (MA)		None							
110. McKesson Corp (MCK)		None							
111. Microchip Technology Inc (MCHP)		None							
112. PJT Partners Inc Class A Com (PJT)		None							
113. Starbucks Corp (SBUX)		None							
114. Walt Disney Co (DIS)		None							
115. Whole Foods Market Inc (WFM)		None							
116. Allergan PLC (AGN)		None							
117. Keogh #1 (H)									
118. Fidelity Sptn 500 Index Adv	A	Dividend							
119. Buffalo Small Cap Stock	E	Dividend							

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 13

Name of Person Reporting

van Keulen, Susan

Date of Report

07/03/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Fidelity Diversified Int'l K	A	Dividend							
121. Fidelity Low Priced Stk K	B	Dividend							
122. T. Rowe Price Inst Lg Cap Grth	C	Dividend							
123. Fidelity Sptn Ext Mkt Index Adv	B	Dividend							
124. American Beacon Large Cap Value Fund Inst	E	Dividend							
125. Fidelity Puritan K	D	Dividend							
126. PIMCO High Yield Inst	D	Dividend							
127. Fidelity Managed Income Portfolio II CL 1	A	Dividend							
128. Prudential Total Return Bd Q	B	Dividend							
129. Fidelity Treasury Only Money Market Fund	A	Dividend							
130. IRA #4 (H)									
131. Fidelity Cash Management (cash account)		None	P1	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 13

Name of Person Reporting

van Keulen, Susan

Date of Report

07/03/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part III A: No outside earned income for 2017.

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

van Keulen, Susan

Date of Report

07/03/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Susan van Keulen

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544