

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hancock, James H.	2. Court or Organization U.S. District Court, NDAL	3. Date of Report 07/15/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. Courthouse, Ste 681 1729 5th Avenue North Birmingham, AL 35203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Exxon Mobil - Stock	E	Dividend	N	T					
2. Merrill Lynch Bank Dep. - Money Market	A	Interest	K	T					
3. BP PLC - Stock	A	Dividend	J	T					
4. VOYA Large Cap Value Fund - Class A (1)	E	Dividend	M	T	Sold (part)	09/14/15	J	A	
5.					Buy (add'l)	03/06/15	K		
6.					Buy (add'l)	04/02/15	J		
7.					Buy (add'l)	07/02/15	J		
8.					Buy (add'l)	10/02/15	J		
9.					Buy (add'l)	12/18/15	J		
10. Regions Bank - Ck Acct.	A	Interest	L	T					
11. Vanguard Wellington Fund - Mutual Fund	B	Dividend	L	T	Sold (part)	09/25/15	J	A	
12.					Buy (add'l)	03/27/15	J		
13.					Buy (add'l)	06/22/15	J		
14.					Buy (add'l)	09/21/15	J		
15. Regions Fin. Corp. - Stock	B	Dividend	L	T					
16. Weyerhaeuser - Stock	B	Dividend	K	T					
17. Fidelity Trend - Mut. Fund	D	Dividend	M	T	Buy (add'l)	02/09/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Buy (add'l)	12/21/15	J		
19. Coca Cola - Stock	A	Dividend	K	T					
20. Ameris Bancorp	A	Dividend	K	T					
21. Stifel, Nicolaus & Company - Money Mkt. (2)	A	Interest	K	T					
22. Compass Bank - Ck. Acct.	A	Interest	K	T					
23. Emerson Electric	A	Dividend	K	T					
24. State Street Corp. - Stock	B	Dividend	M	T					
25. Coamerica - Stock	A	Dividend	K	T					
26. Southern Company - Stock	D	Dividend	M	T					
27. Vulcan Materials - Stock	A	Dividend	M	T					
28. First Horizon National	A	Dividend			Sold	12/09/15	K	C	
29. Conagra Foods	A	Dividend	K	T					
30. Questar Corp. - Stock	B	Dividend	K	T					
31. QEP Resources Inc.	A	Dividend	K	T					
32. American Capital Income Builder CLC	A	Dividend	K	T					
33. Blackrock Global Allocation FD Inc C	B	Dividend	K	T					
34. Eaton Vance National Municipl Income Fd CI A	A	Dividend	J	T					

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35. First Eagle Global Classic	A	Dividend	K	T					
36. Ivy Asset Strategy Fund CLC	B	Dividend	K	T					
37. Wells Fargo Advantage Asset Allocation Fd CLC	A	Dividend	K	T					
38. Dupont E.I. Denemours	B	Dividend	K	T	Sold (part)	12/11/15	K	D	
39. El Paso Energy CAP PFD Tri Conv. 4.75\$ 3/31/28	B	Int./Div.	K	T					
40. Kinder Morgan Inc.	C	Dividend	K	T	Buy (add'l)	09/14/15	K		
41.					Buy (add'l)	09/25/15	J		
42. Clearbridge American Energy MLP FD Inc	C	Distribution	K	T					
43. ConocoPhillips	B	Dividend	K	T	Buy (add'l)	09/18/15	J		
44.					Buy (add'l)	10/09/15	J		
45. AT&T Inc	B	Dividend	K	T					
46. Duke Energy Corp	B	Dividend	K	T					
47. Ford Motor Company	A	Dividend	J	T					
48. General Electric Company	A	Dividend	K	T					
49. Spectra Energy Corp	B	Dividend	K	T	Buy (add'l)	12/09/15	J		
50. Medical Properties Trust		None	J	T	Buy	12/22/15	J		
51. Royal Dutch Shell PLC	B	Dividend	K	T	Buy	05/19/15	L		

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52. Georgia Power Company		None	K	T	Buy	09/29/15	K		
53. Protective Life Corp.	A	Interest	J	T	Buy	10/13/15	J		
54. Tekla Life Sciences	B	Dividend	K	T	Buy	09/21/15	K		
55. JP Morgan Chase & Company	A	Dividend	K	T	Buy	09/25/15	K		
56. Triangle Cap Corp		None	K	T	Buy	12/10/15	J		
57.					Buy (add'l)	12/16/15	J		
58. Reaves Utility Income Fund	A	Dividend	K	T	Buy	09/21/15	K		
59.					Sold (part)	11/30/15	J	A	
60. Energy Transfer Equity Common Unit Limited Partnership		None	J	T	Buy	12/09/15	J		
61. Chemours Company (3)	A	Dividend			Sold	09/14/15	J	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- (1) Effective March 6, 2015 the Voya Core Equity Research Fund merged into the Voya Large Cap Value Fund (line 4).
- (2) Sterne Agee was aquired by Stifel, Nicolaus & Company in June 2015. The Sterne Agee- Money Mkt. changed names to Stifel, Nicolaus & Company (line 21).
- (3) Chemours Company stock (line 61) was received in a spin-off from DuPont E.I. Denemours (line 38) on June 23, 2015.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ James H. Hancock**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544