

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) William , Cassady E.	2. Court or Organization U.S.D.C. S.D. Alabama	3. Date of Report 08/10/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge - Full	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address United States Courthouse 113 Saint Joseph Street Rm. 306 Mobile, Alabama 36602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1 (Part VIII, Note 1)
2. Legislatgive Committee	Federal Magistrate Judges Association
3. Executor	Estate #1 (Part VII, Lines 34-53)
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federal Magistrate Judges Association	09/13/11 - 09/16/11	Washington, DC	Committee Meeting	Transportation, lodging and food
2. Mobile Bar Association	12/02/11 - 12/03/11	Point Clear, Alabama	Annual Bench and Bar Meeting	Lodging and food
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Regions Bank (Account)	A	Interest	L	T					
2. Franklin/Templeton World Fund CL A (TEMWX) IRA	B	Dividend	M	T					
3. Vanguard Mutual Funds IRA									
4. - Asset Allocation Fund	A	Dividend	K	T					
5. - STAR Fund	B	Dividend	L	T					
6. Franklin Income Fund CL A IRA (FKINX)	B	Dividend	J	T					
7. Rental Property - Jefferson Co., B'ham, AL	D	Rent	L	W					
8. Butler Co, AL land (Apr. 4/14/10)		None	N	Q					
9. House & lot, Greenville, AL (Apr. 4/4/10)	C	Rent	K	Q					
10. Common Stock, Town & Country National Bank	D	Int./Div.	M	U					
11. Brokerage Account #1									
12. - Bank Deposit Sweep Account	A	Interest	J	T					
13. - Franklin Tax Free Income Fund (FKITX)	D	Dividend			Merged (with line 14)	04/6/11	K		See Part VIII, Note 2.
14. -Franklin Tax Free TR Fed Intern Term Advisor CL (FITZX)	A	Interest	K	T	Buy (add'l)	9/27/11	J		
15. -Nuveen Mun Trust Inter Duration Mun Bd Fd CL (NUVBX)	A	Int./Div.	K	T	Buy (add'l)	9/27/11	K		
16. -T Rowe Prie Summit Mun Fds Inc - Mun Inter Fd (PRSMX)	A	Interest	K	T	Buy (add'l)	9/27/11	J		
17. -T Rowe Price Tax Free Shrt (PRSFY)	A	Interest	K	T	Buy (add'l)	9/27/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Thornburg Invnt Tr Ltd Ter Mun Fd Cl 1 (LTMIX)	A	Int./Div.	K	T	Buy (add'l)	9/27/11	J		
19. -Cohen & Steers Rlty Shs (CSRSX)	A	Int./Div.	J	T	Buy (add'l)	9/27/11	J		
20. -Dreyfus Appreciation Fd (DGAGX)	A	Dividend	J	T	Buy (add'l)	9/27/11	J		
21. -Europacific Growth Fd FI (AEGFX)	A	Dividend	J	T	Buy (add'l)	9/27/11	J		
22. -Goldman Sachs Tr (FTXXX)	A	Int./Div.	J	T	Buy (add'l)	9/27/11	J		
23. -MFS Ser Trust III CL A (MMHYX)	A	Int./Div.	J	T	Buy (add'l)	9/27/11	J		
24. -Invesco Mid Cap Core Equity FD CL Y (GTAYX)		None			Sold	9/26/11	J	A	
25. -Nuveen All-American Mun BD FD Instl SHS CL (FAARX)	A	Int./Div.	J	T	Buy (add'l)	9/27/11	J		
26. -Templeton Income TR GLB BD Advisor (TGBAX)	A	Int./Div.	K	T	Buy (add'l)	9/27/11	J		
27. -Eagle Mid Cap Stock Fund (HMCJX)		None	J	T	Buy	9/27/11	J		
28. -Oppenheimer INTL Bond Fund CL A (OIBAX)(X)	A	Dividend	J	T					
29. -Pioneer Global High Yield CL A (PGHYX)(X)	A	Dividend	J	T					
30. -Vanguard Bond Index FD Short-Term Port FD CL-INV (VBISX)(X)	A	Dividend	J	T					
31. Transamerica Advisors Life Ins. Co.	D	Distribution	K	T					
32. The Ohio National Life Ins. Co. Annuity	B	Distribution	L	T					
33. Pacific Life Annuity	E	Distribution	M	T					
34. Estate #1									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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35. - Regions Bank (Account)		None	M	T					
36. -House / land in Lowndes Co. AL (Approx. 4/14/10)		None	O	Q					
37. -Building/ land in Wilcox Co., Camden AL (Approx. 4/14/10)	D	Rent	M	Q					
38. -Town-Country National Bank (CD)	B	Interest			Redeemed	3/23/11	M		
39. -Franklin Alabama Tax-Free Income Fund-CL C (FALEX)	D	Dividend	M	T					
40. -Brokerage Account #1, Wells Fargo Advisors, Montgomery, AL									See Part VIII, Note 3.
41. --Bank Deposit Sweep Account	A	Interest			Distributed	5/31/11	J		
42. --Dodge & Cox Income FD (DODIZ)	A	Dividend			Distributed	5/31/11	K		
43. --Goldman Sachs TR FINL Square Money MKT FD INSTL CL (FSMXX)	A	Dividend			Distributed	5/31/11	J		
44. --Artio Global INVT FDS Total Return BD FD CL 1 (JBGIX)	A	Dividend			Distributed	5/31/11	K		
45. --Metropolitan West FDS Total Return BD FD CL 1 (MWTIX)	B	Dividend			Distributed	5/31/11	K		
46. --JPMorgan Core Bond FD Select (WOBDX)	A	Dividend			Distributed	5/31/11	J		
47. --Oppenheimer INTL Bond Fund CL A (OIBAX)	A	Dividend			Distributed	5/31/11	K		
48. --Pimco FDS PAC INVT MGMT SER TOT Return FD INSTL CL (PTTRX)	B	Dividend			Distributed	5/31/11	K		
49. --Pimco FDS PAC INVT MGMT SER EM MKTS BD FD INSTL CL (PEBIX)	A	Dividend			Distributed	5/31/11	K		
50. --Pioneer Global High Yield CL A (PGHYX)	B	Dividend			Distributed	5/31/11	K		
51. --Rowe T Price Short TRM BD FD INC (PRWBX)	A	Dividend			Distributed	5/31/11	K		

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52. --Vanguard BD Index FD SHRT-TRM PORT FD CL - INV (VBISX)	A	Dividend			Distributed	5/31/11	K		
53. --Wells Fargo FDS TR Advantage SHRT DUR GOVT BD FD (MSGX)	A	Dividend			Distributed	5/31/11	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note 1 - Part I. Positions.

The assets of Trust #1 have been disbursed to the two beneficiaries. These assets were listed on Lines 11-14 on the last report. My interest in these assets are now listed on Lines 8-10.

Note 2 -Part VII Investments and Trusts - Lines 17 & 18.

The Franklin Federal Inter Term Tax Free Income FD Class A fund (1,222.23 units @ \$11.28)(FKITX) was exchanged on April 6, 2011 for the Franklin Tax Free TR Federal Intermediate Term Tax Free Advisor CL fund (1,220.066 @ \$11.30) (FITZX).

Note 3 -Part VII. Investments and Trusts - Lines 40-53.

The assets in Brokerage Account #1 in Estate #1 have been distributed [REDACTED] . My interest in the account was transferred into my personal brokerage account. Lines 11-30.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Cassidy E. William

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544