

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Peterson, Rosanna M.	2. Court or Organization U.S. District Court	3. Date of Report 08/09/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U.S. District Court for the Eastern District of WA 920 W. Riverside Spokane WA 99210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Washington State University--Teaching
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CNA whole life insurance		None	J	T					
2. TIAA Traditional		None	N	T					
3. CREF Stock		None	N	T					
4. CREF Equity Index		None	J	T					
5. T-C Intl Eq Index-Rtmt		None	K	T					
6. T-C Large Cap Val-Rtmt		None	J	T					
7. T-C Mid-Cap Gr-Rtmt		None	J	T					
8. T-C Sm-Cap Eq-Rtmt		None	J	T					
9. TIAA-CREF Equity Index Fund		None	J	T					
10. TIAA Real Estate		None	K	T					
11. CREF Bond Market		None	L	T					
12. CREF Inf'l Linked Bond		None	L	T					
13. CREF Money Market		None	J	T					
14. TIAA-CREF Int'l Eq Idx-Inst		None	K	T					
15. TIAA-CREF Lg-Cap Gr Idx-Inst		None	J	T					
16. TIAA-CREF Lg-Cap Val-Prem		None	L	T					
17. TIAA-CREF Mid-Cap Gr-Prem		None	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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18. TIAA-CREF Mid-Cap Val-Prem		None	J	T					
19. TIAA-CREF Social Ch Eq-Prem		None	K	T					
20. CREF Growth		None	J	T					
21. USAA Cash/Money Market		None	J	T					
22. CREF Social Choice		None	J	T					
23. USAA Global Opportunities Portfolio		None	L	T					
24. USAA UMP Moderate Portfolio prev.Strategic Fund Adviser (Y)									
25. TIAA-CREF Intl Eq-Prem (X)		None	J	T					
26. USAA Precious Metals & Minerals		None	J	T	Buy	04/16/12	J		
27. American Smallcap World A		None	J	T	Buy	12/27/12	J		
28. USAA Real Return Fund		None	J	T	Buy	04/16/12	J		
29. USAA High Income Fund		None	J	T	Buy	04/16/12	J		
30. USAA Short Term Bond		None	K	T	Buy	04/16/12	J		
31. USAA Intermediate Bond Fund		None	K	T	Buy	04/16/12	J		
32. USAA Income Fund		None	K	T	Buy	04/16/12	J		
33. USAA Emerging Markets		None	J	T	Buy	04/16/12	J		
34. USAA International Fund		None	K	T	Buy	04/16/12	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. USAA Small Cap		None	J	T	Buy	06/20/12	J		
36. USAA Income Stock		None	K	T	Buy	04/16/12	J		
37. USAA Growth Fund		None	K	T	Buy	04/16/12	J		
38.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII, line 24 USAA Strategic Fund Adviser was renamed in 2012 as USAA UMP Moderate Portfolio and the value that was in the Strategic Fund Adviser is now listed separately. Even though not each of the assets is \$1,000 or more, I am listing all of them because previously the cumulative value in Strategic Fund Adviser was in excess of \$1,000.

Section VII, line 25 TIAA-CREF Intl Eq-Prem previously was valued under \$1,000

Section VII, lines 26 through 37 are all securities that were in the USAA managed portfolio. I have researched and listed all of the actual sales transactions regarding these assets even though most of them did not rise to the level of \$1,000 per asset. See explanation for Section VII, line 24.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Rosanna M. Peterson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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