

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McKeague, David W.	2. Court or Organization U.S. Court of Appeals - Sixth	3. Date of Report 07/16/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. Court of Appeals 315 W. Allegan Street Lansing, MI 48933		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Adjunct Professor	Michigan State University College of Law
2.	Board Member	Michigan State University College of Law
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	Michigan State University College of Law (approved teaching)	\$26,550.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Michigan Health & Hospital Association - wages
2. 2011	Accident Fund Insurance Company - Director fees
3. 2011	Western Michigan University - wages
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Sallie Mae Servicing Corporation	Student loans	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Stonehedge LP	A	Dividend	K	W					
2. PNC	A	Interest	J	T					
3. Ohio National Univ. Life		None	K	T					
4. IRA 1	E	Dividend	O	T					
5. -Schwab US Treasury Money Fund									
6. -PIMCo Total Return					Sold (part)	03/01/11	K	A	
7.					Buy (add'l)	04/11/11	J		
8.					Buy (add'l)	06/02/11	K		
9.					Buy (add'l)	06/03/11	K		
10. -DFA U.S. Small Cap					Sold (part)	06/02/11	J	A	
11. -Columbia Intl Value Z					Sold (part)	09/28/11	J	A	
12. -T Rowe Price Mid Cap					Sold (part)	04/11/11	J	B	
13. -Vanguard Index 500 Signal Shares					Sold (part)	04/12/11	J	B	
14. -Touchstone Sands Capital Inst. Growth					Sold (part)	04/11/11	K	C	
15. -ICAP Select Equity					Sold (part)	04/11/11	J	A	
16. -CRM Small Cap Value Instl					Sold (part)	04/11/11	J	C	
17.					Sold (part)	06/02/11	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Stratton Small Cap Value									
19. - Harbor International Instl					Sold (part)	09/28/11	J	A	
20. - Credit Suisse Commodity Return Strategy									
21. - BlackRock Global Allocation C1 I					Buy (add'l)	04/11/11	J		
22. - Calamos Growth & Income Fund C1 I					Sold	06/01/11	K	D	
23. - Hussman Strategic Growth					Sold	03/01/11	J	A	
24. - Loomis Sayles Strategic Income Y									
25. - SPDR Gold Trust Shares									
26. - Thornburg International Value					Sold (part)	09/28/11	J	B	
27. - JP Morgan Strategic Income Opps Sel					Buy (add'l)	03/01/11	J		
28.					Buy (add'l)	04/11/11	K		
29. - PIMCO Unconstrained Bond Instl					Buy (add'l)	04/11/11	K		
30. - Harding Loevner Emerging Markets									
31. - Wasatch 1st Source Long/Short					Buy (add'l)	03/01/11	J		
32. - PIMCo Global Advantage Strategy Bond Instl					Sold	03/01/11	J	A	
33. - JP Morgan High Yield Select					Buy	09/28/11	K		
34. - Trempleton Global Bond Adv					Buy	03/01/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Buy (add'l)	04/11/11	J		
36. MI Health & Hospital Association - (401K)	A	Dividend	M	T					
37. Ohio National Univ. Life Policy		None	K	T					
38. IRA 2	D	Dividend	N	T					
39. -Schwab US Treasury Money Fund									
40. -PIMCo Total Return					Sold (part)	03/01/11	J	A	
41.					Buy (add'l)	06/03/11	J		
42. -Rainier Small Mid Cap					Sold	05/19/11	K	D	
43. -Vanguard Index 500 Signal Shares									
44. - ICAP Select Equity									
45. - Touchstone Sands Capital Inst. Growth									
46. - Credit Suisse Commodity Return Strategy									
47. - BlackRock Global Allocation C11									
48. - Calamos Growth & Income Fund C11					Sold	06/01/11	J	C	
49. - CRM Small Cap Value Instl									
50. - Hussman Strategic Growth					Sold	03/01/11	J	A	
51. - SPDR Gold Trust Shares									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. - T. Rowe Price Mid-Cap Growth					Buy (add'l)	05/19/11	J		
53. - JP Morgan Strategic Inc Opps Sel					Buy (add'l)	03/01/11	J		
54.					Buy (add'l)	05/19/11	J		
55. - PIMCO Unconstrained Bond Instl					Buy (add'l)	05/19/11	J		
56. - Harding Loevner Emerging Markets									
57. - Wasatch 1st Source Long/Short					Buy (add'l)	03/01/11	J		
58. - PIMCO Global Advantage Strategy Bd Instl					Sold	03/01/11	J	A	
59. - Templeton Global Bond Adv					Buy	03/01/11	J		
60. MI Health & Hosp. Assoc. deferred comp plan	A	Dividend	L	T					
61. IRA 4	C	Dividend	L	T					
62. - Schwab US Treasury Money Fund									
63. - PIMCo Total Return					Sold (part)	03/01/11	J	A	
64.					Buy (add'l)	06/03/11	K		
65. -Columbia Int'l. Value Z					Sold (part)	09/28/11	J	A	
66. -Vanguard Index 500 Signal									
67. - CRM Small Cap Value Instl					Sold	06/02/11	J	C	
68. - Loomis Sayles Strategic Income Y									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. - Stratton Small Cap Value					Sold (part)	06/01/11	J	B	
70. - JP Morgan Strategic Inc Opps Sel					Buy (add'l)	03/01/11	J		
71. - PIMCO Unconstrained Bond Instl									
72. - Templeton Global Bond Adv					Buy	03/01/11	J		
73. Schwab Account	B	Dividend	L	T					
74. - Schwab US Treasury Money Fund	A	Interest	J	T					
75. - Vanguard Index 500 Signal Shares	A	Dividend	K	T					
76. - Columbia International Value Cl Z	A	Dividend	J	T	Sold (part)	09/28/11	J	A	
77. - Thornburg International Value	A	Dividend	J	T	Sold (part)	09/28/11	J	B	
78. - Harding Loevner Emerging Markets	A	Dividend	J	T					
79. - JP Morgan High Yield Select	A	Dividend	J	T	Buy	09/28/11	J		
80. MSU Federal Credit Union	A	Interest	J	T					
81. Mercantile Bank	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David W. McKeague**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544