

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2014**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) FABER, DAVID A.	2. Court or Organization WEST VIRGINIA SOUTHERN	3. Date of Report 05/13/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) SENIOR, U.S. DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2014 to 12/31/2014
	5b. ☐ Amended Report	
7. Chambers or Office Address 601 FEDERAL STREET BLUEFIELD, WV 24701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. Monthly

West Virginia Teachers Retirement

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Smith Barney Citigroup IRA	F	Int./Div.	P1	T					
2.  BMY					Sold	10/13/14	L	E	
3.  BMY					Sold	10/13/14	L	E	
4.  PCL					Sold	01/22/14	L	E	
5.  VZ									
6.  VZ									
7.  CAG									
8.  HON					Sold	01/08/14	L	E	
9.  PFE									
10.  BT Group					Sold	01/22/14	L	D	
11.  INTC									
12.  WFC									
13.  DD									
14.  NOC					Sold	01/22/14	L	E	
15.  PG									
16.  SDRL									
17.  TAL									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. ☐ DUK									
19. ☐ MVO									
20. ☐ AGNC									
21. ☐ SCCO					Sold	02/07/14	K	A	
22. ☐ SNH									
23. ☐ TYY									
24. ☐ TYG					Spinoff (from line 23)	06/24/14	L		
25. ☐ AAPL									
26. ☐ AAPL					Buy	06/12/14	L		
27. ☐ FCX									
28. ☐ GFI									
29. ☐ TGT					Sold	10/13/14	K	C	
30. ☐ -Citicorp Bank Dep (X)									
31. ☐ SWK									
32. ☐ PFE					Buy	12/15/14	K		
33. ☐ FCX					Buy	12/15/14	K		
34. ☐ KMI					Buy	09/23/14	K		

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35. GSK					Buy	09/23/14	K		
36. SDRL					Buy	09/22/14	K		
37. SNH					Buy	09/22/14	K		
38. SO					Buy	02/11/14	K		
39. ESV					Buy	02/07/14	L		
40. USAA Money MKT	A	Interest	J	T					
41. Gold Coin Collection		None	J	W					
42. Simeon Oil & Gas (X)	A	Royalty	J	T					
43. NORX		None	J	T					
44. ENOC		None			Buy	07/17/14	K		
45. ENOC		None			Sold	08/11/14	K	B	
46. EVC		None			Buy	08/15/14	J		
47. EVC		None			Sold	08/19/14	J	A	
48. FRF		None			Buy	08/04/14	J		
49. FRF		None			Sold	08/12/14	J	B	
50. BRSS	A	Dividend			Buy	02/27/14	K		
51. BRSS		None			Sold	10/13/14	J	A	

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52. ☐ NSCC		None			Buy	08/15/14	J		
53. ☐ NSCC		None			Sold	12/09/14	J	A	
54. ☐ QSII	A	Dividend			Buy	01/31/14	J		
55. ☐ QSII		None			Sold	10/13/14	J	A	
56. ☐ QSII	A	Dividend			Buy	07/02/14	J		
57. ☐ QSII		None			Sold	10/13/14	J	A	
58. ☐ SDRL		None			Buy	10/29/14	K		
59. ☐ SDRL		None			Sold	12/15/14	J	A	
60. ☐ SDRL		None			Buy	08/13/14	K		
61. ☐ SDRL		None			Sold	08/20/14	K	A	
62. ☐ TCK		None			Buy	12/15/14	J		
63. ☐ TCK		None			Sold	12/18/14	J	B	
64. ☐ TA		None			Buy	08/05/14	J		
65. ☐ TA		None			Sold	08/11/14	J	A	
66. ☐ FTNT		None			Buy	03/21/14	J		
67. ☐ FTNT		None			Sold	05/30/14	J	A	
68. ☐ AA		None			Buy	02/27/14	J		

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69. ☐ AA		None			Sold	03/07/14	J	A	
70. ☐ LIOX		None			Buy	07/10/14	J		
71. ☐ LIOX		None			Sold	07/14/14	J	A	
72. ☐ HAE		None			Buy	02/24/14	K		
73. ☐ HAE		None			Sold	05/21/14	K	A	
74. ☐ SANM		None			Buy	01/02/14	J		
75. ☐ SANM		None			Sold	01/30/14	J	A	
76. ☐ X		None			Buy	01/02/14	J		
77. ☐ X		None			Sold	02/27/14	J	A	
78. ☐ SEIC		None			Buy	01/24/14	J		
79. ☐ SEIC		None			Sold	01/30/14	J	A	
80. ☐ BRCD		None			Buy	01/02/14	J		
81. ☐ BRCD		None			Sold	01/14/14	J	A	
82. ☐ BRCD		None			Buy	07/10/14	J		
83. ☐ BRCD		None			Sold	07/30/14	J	A	
84. ☐ VALE		None			Buy	03/10/14	J		
85. ☐ VALE		None			Sold	03/21/14	J	A	

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86. ☐ FTI		None			Buy	07/10/14	J		
87. ☐ FTI		None			Sold	07/16/14	J	A	
88. ☐ HXL		None			Buy	02/14/14	J		
89. ☐ HXL		None			Sold	02/19/14	J	A	
90. ☐ IO		None			Buy	01/02/14	J		
91. ☐ IO		None			Sold	02/13/14	J	A	
92. ☐ AA		None			Buy	01/15/14	J		
93. ☐ AA		None			Sold	01/17/14	J	A	
94. ☐ T		None			Buy	02/10/14	J		
95. ☐ T		None			Sold	02/11/14	J	A	
96. ☐ FNV		None	J	T	Buy	12/19/14	J		
97. ☐ DVN		None	J	T	Buy	12/22/14	J		
98. ☐ CVX		None	J	T	Buy	12/15/14	J		
99. ☐ VALE		None	J	T	Buy	12/05/14	J		
100. ☐ MGI		None	J	T	Buy	11/13/14	J		
101. ☐ LIOX		None	J	T	Buy	07/10/14	J		
102. ☐ LIOX		None	J	T	Buy	08/25/14	J		

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103. LIOX		None	J	T	Buy	08/14/14	J		
104. SSRI		None	J	T	Buy	09/22/14	J		
105. ZHNE		None	J	T	Buy	09/19/14	J		
106. ZHNE		None	J	T	Buy	09/12/14	J		
107. ZHNE		None	J	T	Buy	09/05/14	J		
108. USAA Money Mkt.	A	Dividend	J	T					
109.									
110.									
111.									
112.									
113.									
114.									
115.									
116.									
117.									
118.									
119.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **DAVID A. FABER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544