

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Berrigan, Helen G.	2. Court or Organization Eastern District of Louisiana	3. Date of Report 05/07/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 500 Poydras Street, Room C-556 United States District Courthouse New Orleans, LA 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member	Board of George & Jean Pugh Foundation: LSU Law School
2.	Member	Board , Louisiana State University Law Center
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	LSU Law School ; Teaching	\$1,500.00
2. 2011	West Services; Book Royalties	\$3,063.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citigroup-Common Stock	A	Dividend	J	T					
2. IBM - Common Stock	C	Dividend	M	T					
3. Tri-Continental Corp. Mutual Fund	A	Dividend	K	T					
4. Legg-Mason Partners Fund	A	Dividend	J	T					
5. T. Rowe Price Growth Stock Mutual Fund	A	Dividend	L	T					
6. Dreyfous Growth & Income Mutual Fund	A	Dividend	K	T					
7. Dreyfous Research Growth Fund - Class Z	A	Dividend	J	T					
8. Dreyfous New Leaders Fund - Class A	A	Dividend	L	T					
9. Liberty Financial Services N.O., LA Common Stock	C	Dividend	M	T					
10. Rental Property #1 New Orleans, LA Appraised 8/21/85	D	Rent	N	Q					
11. Exxon Corp. Common Stock	C	Dividend	M	T					
12. General Electric Common Stock	A	Dividend	K	T					
13. ONEOK Common Stock	B	Dividend	L	T					
14. Westar Energy, Inc. - Common Stock	A	Dividend	J	T					
15. Fidelity Advisor - High Yield Portfolio Class T	B	Dividend	K	T					
16. Van Kampen - Senior Loan Fund FDCL 1B	A	Dividend	K	T					
17. Command Money Fund (Wachovia Securites)	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Centinela Valley CA UN	A	Interest	K	T	Open		K		
19. Harris County, TX Cultured	A	Interest	L	T	Open		K		
20. International Paper Co. -- Common Stock	A	Dividend	J	T					
21. ING Golden Select Guarantee Annuity	C	Interest			Redeemed	12/06/11	L	B	
22. Bank of America Corp. -- Common Stock	A	Dividend	J	T					
23. Johnson & Johnson -- Common Stock	A	Dividend	K	T					
24. AT & T Common Stock	A	Dividend	J	T					
25. Coca Cola Common Stock	A	Dividend	J	T					
26. Dupont E.I. De Nemours -- Common Stock	A	Dividend	J	T					
27. Fifth Third Bankcorp -- Common Stock	A	Dividend	J	T					
28. Washington Mutual Inc. -- Common Stock	A	Dividend	J	T					
29. Capital Income Builder -- Class A	A	Dividend	K	T					
30. Inc. PLC PS Plan	E	Int./Div.	N	T					
31. - AT&T, Inc. Common Stock	B	Dividend	K	T					
32. - Chevron Corp.	B	Dividend	K	T					
33. - Cleco Corp.	A	Dividend	K	T					
34. - Consolidated Edison, Inc	B	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - Dominion Resources, Inc. VA New	A	Dividend	J	T					
36. - Fairpoint Communications	A	Dividend	J	T					
37. - Frontier Communications	A	Dividend	J	T					
38. - Kinder Morgan Energy Partners	B	Dividend	K	T					
39. - Penn Real Estate Investment Trust SBI	A	Dividend	J	T					
40. -Tanger Factory Outlet	A	Dividend	K	T					
41. - The Southern Company	A	Dividend	J	T					
42. - Verizon Communications	A	Dividend	J	T					
43. - Fidelity Advisor Series II High Yield	A	Dividend	K	T					
44. - Dryden High Yield LD, Inc. Class A	A	Dividend	J	T					
45. - Pioneer High Yield LD Class A	A	Dividend	J	T					
46. - Van Kampen Senior Loan Fund FD Class 1B	A	Dividend	J	T					
47. - Alliance Bernstein, Inc. FND	B	Dividend	K	T					
48. - American Strategic Income Portfolio, Inc.	B	Dividend	K	T					
49. - DWS Free Real Estate FD 11 SRO	A	Dividend	J	T					
50. - ING Primerate TR DPR	A	Dividend	J	T					
51. - MFS Charter Income Trust	A	Dividend			Redeemed	12/06/11	L	B	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. - Pimco High Income FD	A	Dividend	J	T					
53. - AES TR III 6.75% PFD Conv. due 10/15/2029	A	Dividend	J	T					
54. - Georgia Power Senior Note 7/15/2047	A	Dividend	J	T					
55. - Metlife 5.875% Senior Notes due 11/21/2033	B	Dividend	K	T					
56. - Smon PPTY Group, Inc., PFD 6.00%	A	Dividend	J	T					
57. Alabama Power	A	Dividend	J	T					
58. BAC Cap Trust	A	Dividend	J	T					
59. 1 Shares 1 Boxx Inv. Grade	A	Dividend	J	T					
60. Entergy LA. Bond	A	Dividend	J	T					
61. Heinz H.J. Co.	A	Dividend	J	T	Buy (add'l)	11/22/11	J		
62. Intel Corp.	A	Dividend	J	T	Buy	11/22/11	K		
63. Kimberly Clark Corp.	A	Dividend	K	T	Buy	11/22/11	K		
64. Pfizer, Inc.	A	Dividend	K	T	Buy	11/22/11	K		
65. Thornburg Invt. Trust	A	Dividend	K	T	Buy	11/22/11	K		
66. Enterprise Products	A	Dividend	J	T	Buy	07/05/11	J		
67. Heinz H.J. Co.	A	Dividend	J	T	Buy	07/05/11	J		
68. Nationwide Life Insurance Co. Annuity	A	Dividend	L	T	Buy	12/12/11	L		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. Line 10 -- The Rental Property listed is in the City of New Orleans (Orleans Parish) and was appraised 08/21/85.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Helen G. Berrigan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544