

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Jones, David R.                                                                                                                      | <b>2. Court or Organization</b><br><br>U.S. Bankruptcy Court, Southern District of Texas                                                                                                                                                                                                 | <b>3. Date of Report</b><br><br>04/14/2017                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>U.S. Bankruptcy Judge                                                         | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2016<br>to<br>12/31/2016 |
| <b>7. Chambers or Office Address</b><br><br>U.S. Courthouse<br>515 Rusk Avenue, Room 4505<br>Houston, Texas 77002                                                                                         |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|                 |                                      |
|-----------------|--------------------------------------|
| 1. Board Member | University of Houston Law Foundation |
| 2.              |                                      |
| 3.              |                                      |
| 4.              |                                      |
| 5.              |                                      |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|    |  |
|----|--|
| 1. |  |
| 2. |  |
| 3. |  |

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

| DATE | SOURCE AND TYPE | INCOME<br>(yours, not spouse's) |
|------|-----------------|---------------------------------|
| 1.   |                 |                                 |
| 2.   |                 |                                 |
| 3.   |                 |                                 |
| 4.   |                 |                                 |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

| DATE | SOURCE AND TYPE |
|------|-----------------|
| 1.   |                 |
| 2.   |                 |
| 3.   |                 |
| 4.   |                 |

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

| SOURCE                                         | DATES             | LOCATION        | PURPOSE                                       | ITEMS PAID OR PROVIDED            |
|------------------------------------------------|-------------------|-----------------|-----------------------------------------------|-----------------------------------|
| 1. Circuit Bar Association                     | 2/24/16-2/25/16   | New Orleans, LA | Non-FJC educational seminar                   | Airfare, meals and lodging        |
| 2. Duke University Law School                  | 5/23/16-6/17/16   | Durham, NC      | LLM Program in Judicial Studies (scholarship) | Tuition, meals, lodging and books |
| 3. Mississippi State Bar Bankruptcy Conference | 11/8/16-11-10-16  | Jackson, MI     | Non-FJC educational seminar                   | Airfare, meals and lodging        |
| 4. University of Texas School of Law           | 11/17/16-11/18/16 | Austin, Texas   | Non-FJC educational seminar                   | Airfare, meals and lodging        |
| 5.                                             |                   |                 |                                               |                                   |

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

| SOURCE | DESCRIPTION | VALUE |
|--------|-------------|-------|
| 1.     |             |       |
| 2.     |             |       |
| 3.     |             |       |
| 4.     |             |       |
| 5.     |             |       |

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

| CREDITOR | DESCRIPTION | VALUE CODE |
|----------|-------------|------------|
| 1.       |             |            |
| 2.       |             |            |
| 3.       |             |            |
| 4.       |             |            |
| 5.       |             |            |

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. BOA Bank Accounts                                                                                                        | A                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. ING Bank Account n/k/a Capital One 360                                                                                   | B                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. ING Cap. Allocation Mutual Fund n/k/a<br>VOYA Cap. Allocation Fund                                                       | A                                       | Int./Div.                                     | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. Am/Ex Bank Account                                                                                                       | A                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. AEP common (IRA)                                                                                                         | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. BA Depository Shares                                                                                                     | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 06/20/16                | K                               |                                |                                                                   |
| 7. Chevron common (IRA)                                                                                                     | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. Conoco Phillips/Phillips 66 common (IRA)                                                                                 | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. Exxon common (IRA)                                                                                                       | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. Fedex common (IRA)                                                                                                      | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 11. Royal Dutch common (IRA)                                                                                                | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. American Balance Fund (IRA)                                                                                             | C                                       | Int./Div.                                     | M                                               | T                                         | Buy<br>(add'l)                                  | 12/21/16                | J                               |                                |                                                                   |
| 13.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/15/16                | J                               |                                |                                                                   |
| 14.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/16/16                | J                               |                                |                                                                   |
| 15.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/17/16                | J                               |                                |                                                                   |
| 16. Capital World Growth & Income Fund<br>(IRA)                                                                             | C                                       | Int./Div.                                     | L                                               | T                                         | Buy<br>(add'l)                                  | 12/22/16                | J                               |                                |                                                                   |
| 17.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/16/16                | J                               |                                |                                                                   |

|                                                  |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                      | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000                                  | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$50,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                    | R = Cost (Real Estate Only)<br>V = Other             | S = Assessment<br>W = Estimated                                                        | T = Cash Market                                            |                        |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                         |   |           |   |   |                |          |   |  |  |
|-----------------------------------------|---|-----------|---|---|----------------|----------|---|--|--|
| 18.                                     |   |           |   |   | Buy<br>(add'l) | 09/17/16 | J |  |  |
| 19.                                     |   |           |   |   | Buy<br>(add'l) | 03/17/16 | J |  |  |
| 20. JP Morgan Chase Lkd to Dollar (IRA) | E | Interest  | M | T |                |          |   |  |  |
| 21. Fundamental Investors Fund (IRA)    | B | Int./Div. | L | T | Buy<br>(add'l) | 12/22/16 | J |  |  |
| 22.                                     |   |           |   |   | Buy<br>(add'l) | 09/19/16 | J |  |  |
| 23.                                     |   |           |   |   | Buy<br>(add'l) | 06/16/16 | J |  |  |
| 24.                                     |   |           |   |   | Buy<br>(add'l) | 03/17/16 | J |  |  |
| 25. Income Fund of America (IRA)        | D | Int./Div. | M | T | Buy<br>(add'l) | 12/22/16 | J |  |  |
| 26.                                     |   |           |   |   | Buy<br>(add'l) | 09/15/16 | J |  |  |
| 27.                                     |   |           |   |   | Buy<br>(add'l) | 06/16/16 | J |  |  |
| 28.                                     |   |           |   |   | Buy<br>(add'l) | 03/17/16 | J |  |  |
| 29. Templeton Dragon Fund (IRA)         | A | Int./Div. | J | T |                |          |   |  |  |
| 30. Washington Mutual Fund (IRA)        | C | Int./Div. | M | T | Buy<br>(add'l) | 12/20/16 | J |  |  |
| 31.                                     |   |           |   |   | Buy<br>(add'l) | 09/19/16 | J |  |  |
| 32.                                     |   |           |   |   | Buy<br>(add'l) | 06/16/16 | J |  |  |
| 33.                                     |   |           |   |   | Buy<br>(add'l) | 03/21/16 | J |  |  |
| 34. Allstate Corp. Dep. Shares (IRA)    | D | Int./Div. | M | T |                |          |   |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                       |   |           |    |   |                 |          |   |   |  |
|-------------------------------------------------------|---|-----------|----|---|-----------------|----------|---|---|--|
| 35. Colony Tex Dec. Corp Tax Increment Contract (IRA) | C | Int./Div. | L  | T |                 |          |   |   |  |
| 36. The Growth Fund of America (IRA)                  | A | Int./Div. | L  | T | Buy             | 01/21/16 | K |   |  |
| 37.                                                   |   |           |    |   | Buy (add'l)     | 12/22/16 | J |   |  |
| 38. GMS Money Market                                  | A | Int./Div. | L  | T |                 |          |   |   |  |
| 39. Texas Municipal Bonds                             | G | Interest  | P2 | T | Sold (part)     | 12/09/16 | L | A |  |
| 40.                                                   |   |           |    |   | Sold (part)     | 10/25/16 | K | A |  |
| 41.                                                   |   |           |    |   | Sold (part)     | 12/16/16 | L | A |  |
| 42.                                                   |   |           |    |   | Sold (part)     | 12/16/16 | L | A |  |
| 43.                                                   |   |           |    |   | Sold (part)     | 09/02/16 | L | B |  |
| 44.                                                   |   |           |    |   | Redeemed (part) | 9/1/16   | L | B |  |
| 45.                                                   |   |           |    |   | Redeemed (part) | 03/31/16 | K | A |  |
| 46.                                                   |   |           |    |   | Sold (part)     | 08/22/16 | L | A |  |
| 47.                                                   |   |           |    |   | Redeemed (part) | 09/01/16 | K | A |  |
| 48.                                                   |   |           |    |   | Sold (part)     | 08/16/16 | K | B |  |
| 49.                                                   |   |           |    |   | Redeemed (part) | 04/01/16 | J | A |  |
| 50.                                                   |   |           |    |   | Redeemed (part) | 09/01/16 | K | A |  |
| 51.                                                   |   |           |    |   | Sold (part)     | 08/16/16 | K | B |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|     |  |  |  |  |                    |          |   |   |  |
|-----|--|--|--|--|--------------------|----------|---|---|--|
| 52. |  |  |  |  | Redeemed<br>(part) | 07/28/16 | L | A |  |
| 53. |  |  |  |  | Redeemed<br>(part) | 03/01/16 | K | A |  |
| 54. |  |  |  |  | Redeemed<br>(part) | 09/01/16 | K | A |  |
| 55. |  |  |  |  | Redeemed<br>(part) | 09/01/16 | J | A |  |
| 56. |  |  |  |  | Redeemed<br>(part) | 09/01/16 | K | A |  |
| 57. |  |  |  |  | Redeemed<br>(part) | 09/15/16 | L | A |  |
| 58. |  |  |  |  | Sold<br>(part)     | 10/25/16 | L | B |  |
| 59. |  |  |  |  | Redeemed<br>(part) | 04/07/16 | K | A |  |
| 60. |  |  |  |  | Buy<br>(add'l)     | 03/24/16 | L |   |  |
| 61. |  |  |  |  | Buy<br>(add'l)     | 12/07/16 | K |   |  |
| 62. |  |  |  |  | Buy<br>(add'l)     | 08/10/16 | K |   |  |
| 63. |  |  |  |  | Buy<br>(add'l)     | 09/02/16 | K |   |  |
| 64. |  |  |  |  | Buy<br>(add'l)     | 01/12/16 | L |   |  |
| 65. |  |  |  |  | Buy<br>(add'l)     | 12/16/16 | L |   |  |
| 66. |  |  |  |  | Buy<br>(add'l)     | 12/09/16 | L |   |  |
| 67. |  |  |  |  | Buy<br>(add'l)     | 04/07/16 | L |   |  |
| 68. |  |  |  |  | Buy<br>(add'l)     | 08/22/16 | J |   |  |

|                                                  |                                                                                       |                                                        |                                                              |                                                                                          |                         |
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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|     |  |  |  |  |                |          |   |  |  |
|-----|--|--|--|--|----------------|----------|---|--|--|
| 69. |  |  |  |  | Buy<br>(add'l) | 07/20/16 | L |  |  |
| 70. |  |  |  |  | Buy<br>(add'l) | 08/25/16 | K |  |  |
| 71. |  |  |  |  | Buy<br>(add'l) | 09/02/16 | K |  |  |
| 72. |  |  |  |  | Buy<br>(add'l) | 02/09/16 | K |  |  |
| 73. |  |  |  |  | Buy<br>(add'l) | 09/13/16 | K |  |  |
| 74. |  |  |  |  | Buy<br>(add'l) | 08/19/16 | K |  |  |
| 75. |  |  |  |  | Buy<br>(add'l) | 01/07/16 | L |  |  |
| 76. |  |  |  |  | Buy<br>(add'l) | 08/12/16 | J |  |  |
| 77. |  |  |  |  | Buy<br>(add'l) | 09/09/16 | K |  |  |
| 78. |  |  |  |  | Buy<br>(add'l) | 08/26/16 | K |  |  |
| 79. |  |  |  |  | Buy<br>(add'l) | 08/26/16 | K |  |  |
| 80. |  |  |  |  | Buy<br>(add'l) | 08/16/16 | L |  |  |
| 81. |  |  |  |  | Buy<br>(add'l) | 02/22/16 | K |  |  |
| 82. |  |  |  |  | Buy<br>(add'l) | 08/11/16 | K |  |  |
| 83. |  |  |  |  | Buy<br>(add'l) | 08/05/16 | L |  |  |
| 84. |  |  |  |  | Buy<br>(add'l) | 02/18/16 | M |  |  |
| 85. |  |  |  |  | Buy<br>(add'l) | 10/26/16 | L |  |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Jones, David R.

Date of Report

04/14/2017

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/14/16                | L                               |                                |                                                                   |
| 87.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 04/19/16                | K                               |                                |                                                                   |
| 88.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/16/16                | L                               |                                |                                                                   |
| 89.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/22/16                | K                               |                                |                                                                   |
| 90.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/24/16                | K                               |                                |                                                                   |
| 91. Colorado Municipal Bonds                                                                                                | D                                       | Interest                                      | N                                               | T                                         | Redeemed<br>(part)                              | 12/01/16                | J                               | B                              |                                                                   |
| 92.                                                                                                                         |                                         |                                               |                                                 |                                           | Redeemed<br>(part)                              | 12/01/16                | J                               | A                              |                                                                   |
| 93.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/14/16                | L                               | A                              |                                                                   |
| 94.                                                                                                                         |                                         |                                               |                                                 |                                           | Redeemed<br>(part)                              | 04/20/16                | L                               | A                              |                                                                   |
| 95. Conocophillips.com                                                                                                      | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 96. P&G common                                                                                                              | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 97. Washington Mutual Fund                                                                                                  | C                                       | Int./Div.                                     | K                                               | T                                         | Buy<br>(add'l)                                  | 12/20/16                | J                               |                                |                                                                   |
| 98.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/21/16                | J                               |                                |                                                                   |
| 99.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/22/16                | J                               |                                |                                                                   |
| 100.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/21/16                | J                               |                                |                                                                   |
| 101. Am Ex Annuity                                                                                                          | D                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 102. Fundamental Investors Fund (SIRA)                                                                                      | B                                       | Int./Div.                                     | K                                               | T                                         | Buy<br>(add'l)                                  | 12/22/16                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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Name of Person Reporting

Jones, David R.

Date of Report

04/14/2017

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/19/16                | J                               |                                |                                                                   |
| 104.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/16/16                | J                               |                                |                                                                   |
| 105.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/17/16                | J                               |                                |                                                                   |
| 106. AMCAP FUND Class A                                                                                                     | D                                       | Distribution                                  | M                                               | T                                         | Buy<br>(add'l)                                  | 12/20/16                | J                               |                                |                                                                   |

|                                                  |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                      | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000                                  | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$50,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                    | R = Cost (Real Estate Only)<br>V = Other             | S = Assessment<br>W = Estimated                                                        | T = Cash Market                                            |                        |

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

Jones, David R.

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

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Name of Person Reporting

Jones, David R.

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David R. Jones**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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Washington, D.C. 20544