

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Cleary, Paul J.	2. Court or Organization NDOK	3. Date of Report 05/15/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) FT Magistrate Judge -- Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address US District Court 333 West Fourth St. Tulsa, OK 74103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. Fall 2011	Oklahoma State University -- salary for teaching	\$5,071.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	World Publishing Co. -- salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Citibank	College loans	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Legg Mason Opportunity Tr.	A	Distribution			Sold	1/24/11	J	A	
2. Legg Mason Value Trust Mutual Fund	A	Distribution			Sold	1/24/11	J	A	
3. Morgan Stanley (lines # 4-6) (formerly Dean Witter)	A	Dividend	J	T					
4. MS Money Market		None	J	T					
5. MS Van Kampen G&I B	A	Dividend	J	T					
6. MS Van Kampen Comstock B	A	Dividend	J	T					
7. Tulsa World 401(k)		None	L	T					
8. Bank of America stock		None	J	T					
9. EMC Corp. stock		None	K	T					
10. Ameriprise		None	M	T					
11. JP Morgan Chase stock		None	K	T					
12. Halliburton stock		None	J	T					
13. Hewlett Packard stock		None	J	T					
14. Trust No. 1 (lines 14-29)		None	K	T					
15. Hewlett Packard stock		None	J	T					
16. Chevron stock		None	K	T					
17. Royal Dutch Shell stock		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Citigroup stock		None	J	T					
19. Morgan Stanley stock		None	J	T					
20. J P Morgan stock		None	J	T					
21. EMC stock		None	K	T					
22. Bank of America stock		None	J	T					
23. Bank of New York Mellon stock		None	J	T					
24. General Electric stock		None	J	T					
25. Halliburton stock		None	J	T	Sold (part)	07/21/11	J	D	
26. Hewlett Packard stock		None	J	T					
27. Motorola Solutions stock		None	J	T	Open	12/10/11	J		
28. Motorola Liabilities		None	J	T	Open	12/10/11	J		
29. Pfizer		None	J	T	Open	12/10/11	J		
30. Wells Fargo Funds TR Adv Growth SGRAX		None	K	T	Buy	12/09/11	K		
31. Eaton Emerging Markets Local EEIAX		None	J	T	Buy	7/01/11	J		
32. Turner Spectrum TSPCX		None	J	T	Buy	7/01/11	J		
33. Blkrock Glb All - A		None	K	T					
34. Eaton Glb Mac A/RT Adv-A EGRAX		None	K	T					

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35. Ameriprise Invest. Acct (Lines 30-46)		None	M	T					
36. AIM Growth CNSAX		None	J	T	Buy	12/12/11	J		
37. Janus Perk M/Cap Val A mut fund JDPAX		None	K	T	Sold (part)	12/08/11	J		
38. Am Cent Infl-Pro Bond mut fund		None			Sold	12/08/11	K		
39. Janus Forty -A mut fund		None			Sold	12/08/11	K		
40. IVY Asset Strategy -A mut fund WASAX		None	J	T					
41. Col Energy & Nat Res -A mut fund		None			Sold	6/30/11	J		
42. CR Suisse Commodity A CRSAX		None	J	T					
43. Fid Adv Small Cap A mut fund FSCDX		None	K	T					
44. MFS Emg Markets Debt A mut fund		None			Sold	6/30/11	K		
45. Virtus Emg Markets Opps A mut fund HEMZX		None	K	T					
46. Corp Prop Assoc 17 REIT		None	K	T					
47. OK Svgs 529 Managed Allocation (CCC) mut fund		None	J	T	Sold (part)	01/11/11	J		
48. OK Savgs529 Global Equity Option(CCC) mut fund		None	J	T	Sold (part)	09/02/11	J		
49. OK Svgs 529 Managed Allocation (DAC) mut fund		None	J	T	Sold (part)	10/07/11	J		
50. OK Svgs 529 Equity Op (DAC) mut fund		None	J	T	Sold (part)	01/19/11	J		
51. OK Savgs 529 Managed All (CCC)		None	J	T	Sold (part)	08/29/11	J		

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52. OK Svgs 529 Global Eq (DAC)		None	J	T	Sold (part)	01/11/11	J		
53. Bank of America cash acct (X)	A	Interest	J	T					
54. OK Svgs 529Global Eq (CCC)		None	J	T	Sold (part)	1/11/11	J		
55. OK Svgs 529 Global Eq (CCC)		None	J	T	Sold (part)	8/29/11	J		
56. OK Svgs 529 Global Eq (DAC)		None	J	T	Sold (part)	8/29/11	J		
57. OK Savgs 529 Global Eq (DAC)		None	J	T	Sold (part)	09/02/11	J		
58. OK Svgs 529 Bal Opt. (DAC)		None	J	T	Sold (part)	04/27/11	J		
59. OK 529 Global Eq (CCC)		None	J	T	Sold (part)	03/22/11	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Lines 8 & 9, 11-13: Stock held in JTWROS [REDACTED]. This [REDACTED] was created in 2006.

Line 14 (lines 14-29): Trust No. 1 -- Educational trust established by relative in 2006 [REDACTED] Relative is Trustee. No Agreement reported in Part II because filer is not Trustee.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Paul J. Cleary

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

UNITED STATES DISTRICT COURT

NORTHERN DISTRICT OF OKLAHOMA

United States Courthouse

Paul J. Cleary

333 West Fourth Street, Room 3355

Telephone (918) 699-4915

United States Magistrate Judge

Tulsa, Oklahoma 74103-3819

June 19, 2012

Hon. Joseph H. McKinley, Jr.
Chair, Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, D.C. 20544

Re: Calendar Year 2011 Filing

Dear Judge McKinley:

This letter is in response to your inquiry of June 19 regarding my 2011 financial disclosure report. As you mention in your letter, in several places (lines 52, 54-57 & 59) I referred to a College Savings account by the name "OK Savgs Global Equity Option." This particular name did not appear in my 2010 report. That was due to inconsistency on my part. In previous filings I referred to an "Equity Op" account. These are the same accounts. Even within my 2011 filing I see that on line 50 I refer to the "Equity Op" (DAC) account and on lines 56 and 57 I refer to "Global Eq" (DAC). The full name of this 529 fund is "Global Equity Index Option." I have mistakenly reported this fund under two different names. For consistency with prior reports, I believe all references to "Global Eq" should be revised to "Equity Op." I apologize for the confusion.

Sincerely,

