

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Watkins, William K.	2. Court or Organization U.S. District Court, M.D. AL	3. Date of Report 07/31/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. Courthouse 1 Church Street, E300 Montgomery, AL 36104		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ **NONE** (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Manager/Sole Member	Rosewood Martin, LLC
2.	Managing Member	DQV, LLC
3.	Director, Shareholder, Officer	Powermaster, Inc.
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2013	Neurology Consultants of Montgomery Salary reported on Form W-2
2. 2013	Non-employee compensation received from Interpublic, Inc.
3. 2013	Non-employee compensation received from L&M Communications LLC and reported on form 1099
4. 2013	Non-employee compensation received from Advanced Health Media, LLC and reported on form 1099
5. 2013	Non-employee compensation received from SCSHealthcare Marketing, LLC and reported on form 1099

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Troy Bank & Trust Company	Turkey Mountain Real Estate Loan	K
2.	Regions Bank	Line of Credit	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Pike Co. AL Farm #1		None	O	W					
2. Farm (1/4 interest), Coffee County, AL		None	L	W					
3. North Carolina, Jackson Co. Lot 1 (Appraisal 7/23/04)		None	M	W					
4. North Carolina, Jackson Co. Lot 2 *See VIII		None	K	W					
5. North Carolina Land, Jackson Co. (Appraisal 8/8/02)		None	N	W					
6. Barn Property Troy, AL		None	K	W					
7. Pike Co. AL Farm #3		None	L	W					
8. Pike Co. AL Farm #4 - Mineral		None	J	W					
9. Pike Co. AL Farm #5 - Mineral		None	J	W					
10. Pike Co. AL Farm #6 (19% interest)		None	K	W					
11. Pike Co. AL Farm #6 (19% interest) Mineral		None	J	W					
12. WE, LLC (50% interest)		None	J	W					
13. Powermaster, Inc. (1/3 interest)		None	K	W					
14. E. D. Moseley Note		None	J	U					
15. Wells Fargo Bank (formerly Wachovia Bank) - Dimensions Acct.	A	Interest	J	T					
16. Principal: Variable Universal Life Policy	A	Int./Div.	J	T					
17. Principal #302 WKW 318 Variable Universal Life	A	Int./Div.	J	U					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Northwestern Mutual TM 010 Extraordinary Life	A	Int./Div.	J	T					
19. LPL Financial IRA Account Brokerage Cash [REDACTED]	A	Distribution	M	T					
20. LPL Financial IRA Account Brokerage Cash [REDACTED]	A	Int./Div.	L	T					
21. American Funds - Capital Income Builder Fund Class F CIBFX [REDACTED]	D	Dividend	M	T					
22. American Funds INVESTMENT COMPANY OF AMERICA CL C AICFX [REDACTED]	A	Dividend	J	T					
23. INVESTMENT COMPANY OF AMERICA CL F1 AICCX [REDACTED]	A	Dividend	J	T					
24. LPL Financial IRA Account [REDACTED] PTC CUST IRA FBO W K W	A	Distribution	M	T					
25. LPL Financial IRA Account Brokerage Cash-Insured Deposit Fund	A	Int./Div.	J	T					
26. BLACKROCK HIGH YIELD BOND FUND BHTIX	A	Dividend	K	T	Sold (part)	12/13/13	J	A	
27. DOUBLELINE TOTAL RETURN BOND FUND DBLTX	A	Dividend	K	T	Sold (part)	12/13/13	J	A	
28. EATON VANCE NATIONAL MUNICIPAL BOND FUND EIMX	A	Dividend			Sold	12/13/13	K	A	
29. HARBOR INTL FUND HAINX	A	Dividend			Sold	06/04/13	J	A	
30. HARTFORD GRWTH OPP FUND HGOIX	A	Dividend			Sold	04/22/13	J	A	
31. LOOMIS SAYLES INVESTMENT GRADE BOND FUND	A	Dividend			Sold	12/13/13	K	A	
32. NUVEEN HIGH YLD MUNI BOND FUND NHMRX	A	Dividend	K	T	Buy (add'l)	12/13/13	J		
33. NUVEEN LARGE CAP VALUE FUND NQCRX	A	Dividend	J	T	Sold (part)	12/13/13	J	A	

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34. T ROWE PRICE CAP APPRECIATION FUND PRWCX	A	Dividend	J	T	Buy (add'l)	12/17/13	J		
35. MFS RESEARCH FUND CLASS I MRFIX	A	Dividend	J	T	Buy	06/04/13	J		
36. MFS RESEARCH FUND CLASS I MRFIX	A	Dividend	J	T	Buy (add'l)	12/13/13	J		
37. T ROWE PRICE GROWTH STOCK FUND PRGFX	A	Dividend	K	T	Buy	04/22/13	K		
38. T ROWE PRICE GROWTH STOCK FUND PRGFX	A	Dividend	J	T	Sold (part)	12/16/13	J	A	
39. T ROWE PRICE EMERGING MARKETS BOND FUN	A	Dividend	J	T	Buy	12/13/13	J		
40. Troy Bank & Trust Company Super NOW account	A	Int./Div.	J	T	Open	01/11/13	J		
41.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. Lines 19, 20, 21, 22, and 23 include the individual portfolio assets held in the [REDACTED] IRA brokerage account held at LPL Financial, LPL [REDACTED]. Line 19 represents the total value of the entire account.

VII. Line 20 this holding in the [REDACTED] IRA was reported as having a value in 2012 of \$15,000 or less ([CODE J]). However, that was incorrect and the amount should have been reported as Code M, between \$100,001 and \$250,000. The market value at the end of the prior year was \$124,430.

VII. Lines 24 through 39 include the individual portfolio assets held in the Judge Watkins' IRA fee-based advisory account held at LPL Financial, LPL [REDACTED]. Line 24 represents the total value of the entire account. The account is managed by the Financial Advisor, who has limited discretion over mutual fund positions in the account. The buys and sells reported in lines 26-39 represent trades made by the financial advisor using his limited discretion and based on changes in the underlying mutual fund asset model used for the account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ William K. Watkins**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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