

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Carey, Kevin J.	2. Court or Organization United States Bankruptcy Court for the District of Delaware	3. Date of Report 07/12/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address United States Bankruptcy Court for the District of Delaware 824 North Market Street, 5th Floor Wilmington, DE 19801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Executor	Estate #1
2.	Member, Executive Committee, Board of Directors	American Bankruptcy Institute
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2017	Matthew Bender & Company, Inc. (Lexis/Nexis) Contribution Author to Collier Forms Manual and Treatise	\$10,000.00
2. 2017	St. John's University (teaching)	\$7,000.00
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	██████████ LLC (Wages)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Eastern District of Pennsylvania Bankruptcy Conference	January 19-20, 2017	Atlantic City, NJ	Conference Attendee	Lodging, Other Meals, Mileage, Program Fee (including reception and meals)
2. American Bankruptcy Institute	January 24, 2017	Alexandria, VA	Eye on Bankruptcy (Panelist)	Amtak Fare, Meals, Local Transportation
3. New York Institute of Credit	January 25, 2017	Newark, NJ	Meet Your Mentor (Panelist)	Amtrak Fare, Lodging, Meals, Train Station Parking
4. Turnaround Management Association	January 31-February 3, 2017	Las Vegas, NV	Distressed Investing Conference (Panelist and Attendee)	Airfare, Lodging, Other Meals, Airport Parking, Program Fee (including reception and meals)

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5.	American Bankruptcy Institute	March 1-March 3, 2017	Las Vegas, NV	VALCON (Panelist)	Airfare, Lodging, Airport Parking, Program Fee (including reception and meals)
6.	American Bankruptcy Institute	March 5-7, 2017	New York, NY	Dubenstein Moot Court Competition (Judge)	Amtrak Fare, Lodging, Meals, Local Transportation, Train Station Parking
7.	M&A Advisors	March 22-24, 2017	Palm Beach, FL	Think Tank Summit (Panelist)	Airfare; Lodging, Car Rental, Airport Parking, Program Fee (including reception and meals)
8.	St. John's University School of Law	March 30-April 1, 2017	New York, NY	LLM Class (Adjunct Instructor)	Amtrak Fare, Lodging, Meals, Local Transportation, Train Station Parking
9.	American Bankruptcy Institute	April 20-23, 2017	Washington, DC	Annual Spring Meeting and Executive Committee Meeting (Board Member and Panelist)	Lodging, Other Meals, Mileage, Tolls, Hotel Parking, Program Fee (including reception and meals)
10.	Maryland Bankruptcy Bar Association	May 4-6, 2017	Annapolis, MD	Spring Break Weekend (Panelist)	Lodging, Mileage, Tolls, Other Meals, Program Fee (including meals)
11.	American Bankruptcy Institute	May 18, 2017	New York, NY	New York City Bankruptcy Conference (Panelist)	Amtrak Fare, Local Transportation; Train Station Parking
12.	Turnaround Management Association	June 6-7, 2017	Atlantic City, NJ	Mid-Atlantic Symposium (Panelist)	Lodging, Other Meal, Mileage, Tolls, Program Fee (including reception and meals)
13.	Association of Insolvency and Restructuring Advisors	June 8-10, 2017	Dallas, TX	AIRA Annual Conference (Panelist)	Lodging, Airfare, Airport Parking, Local Transportation, Other Meals, Program Fee (including reception and meals)
14.	American Bankruptcy Institute	June 12-13, 2017	New York, NY	Executive Committee Meeting (Board Member)	Amtrak Fare, Lodging, Local Transportation, Train Station Parking
15.	New York Institute of Credit	June 14, 2017	New York, NY	Annual Banquet (Attendee)	Amtrak Fare, Lodging, Local Transportation, Train Station Parking, Program Fee (including meals)
16.	Turnaround Management Association	July 25-28, 2017	Dana Point, CA	TMA Western Conference (Panelist)	Airfare, Lodging, Other Meals, Airport Parking, Program Fee (including reception and meals)
17.	American Bankruptcy Institute	August 3-6, 2017	Hershey, PA	Mid-Atlantic Bankruptcy Workshop (Panelist)	Lodging, Program Fee (including reception and meals)
18.	American Bankruptcy Institute	September 6-9, 2017	San Diego, CA	Southwest Bankruptcy Conference (Panelist)	Airfare, Lodging, Meals, Airport Parking, Program Fee (including reception and meals)
19.	St. John's University School of Law	September 21-23, 2017	New York, NY	LLM Class (Adjunct Instructor)	Amtrak Fare, Lodging, Meals, Local Transportation, Train Station Parking
20.	Rutgers University School of Law	October 4, 2017	Newark, NJ	Bankruptcy Court Decisions (Panelist)	Amtrak Fare, Train Station Parking
21.	National Conference of Bankruptcy Judges	October 8-12, 2017	Las Vegas, NV	Annual Conference (Panelist/Attendee)	Airfare, Lodging, Other Meals, Program Fee (including reception and meals)
22.	American College of Bankruptcy	October 17, 2017	Washington, DC	Georgetown Views from the Bench (Panelist)	Amtrak Fare, Train Station Parking

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23.	University of Pennsylvania Law School	October 20, 2017	Philadelphia, PA	Bankruptcy Symposium (Panelist)	Parking
24.	Turnaround Management Association	October 23-24, 2017	Fort Worth, TX	Annual Conference (Panelist)	Airfare, Lodging, Other Meals, Airport Parking, Program Fee (including reception and meals)
25.	American Bankruptcy Institute	November 8, 2017	Alexandria, VA	Membership Committee Orientation (Chairman)	Amtrak Fare, Train Station Parking
26.	American Bankruptcy Institute	November 29-December 2, 2017	LaQuinta, CA	Winter Leadership Conference/Executive Committee Meeting (Board Member and Panelist)	Airfare, Lodging, Other Meals, Local Transportation, Airport Parking, Program Fee (including reception and meals)
27.	American Bankruptcy Institute/St. John's University School of Law	December 7, 2017	New York, NY	Mediation Training (Panelist)	Amtrak Fare; Local Transportation; Train Station Parking
28.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Citibank VISA/MasterCard	Credit Card	J
2.	American Express Optima	Credit Card	K
3.	Aviant Master Card (formerly Barclaycard)	Credit Card	J
4.	American Express	Credit Card	K
5.	TD Bank	Credit Card	J
6	Navient	Student Loans	K

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1.	LLC 401(k)- Investments Trustee directed	C	Dividend	M	T				
2.	Estate #1-PNC Bank (cash in bank account)		None	J	T				
3.	PNC Bank Accounts	A	Interest	J	T				
4.									
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16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VI. Liabilities (changes from 2016 Disclosure Report)

Item No. 1 -- Citibank is both Visa and MasterCard accounts

Item No. 6 -- Sears is no longer reportable.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kevin J. Carey**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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