

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Noonan, Jr., John T.	2. Court or Organization U.S. Court of Appeals, 9th	3. Date of Report 08/13/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge, Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U.S. Court of Appeals 95 Seventh Street San Francisco, CA 94103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member	Advisory Council, Transparency International
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 07/01/86	University of California pension based on service
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2014	Pension: University of California	\$46,968.67
2. 2014	Royalties: University of Notre Dame Du Lac	\$117.17
3. 2014	Royalties: University of California Los Angeles	\$230.95
4. 2014	Royalties: On-Demand Publ LLC	\$22.30
5. 2014	Royalties: Copyright Clearance Center	\$79.67
6. 2014	Royalties: LEG, Inc dba West Academic	\$483.57
7. 2014	Royalties: Amazon Digital Services, Inc	\$83.04
8. 2014	Nonemployee Compensation: Villanova University	\$2,000.00
9. 2014	Refund: California Franchise Tax Board	\$2,203.00
10.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				

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2. _____
3. _____
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Wells Fargo Checking #1	A	Interest	M	T					
2. Wells Fargo Market Rate #1	A	Interest	M	T					
3. Wells Fargo retirement CD's	B	Interest	K	T					
4. Teachers Insurance and Annuity Association	D	Interest	M	T					
5. ****Schwab IRA #1 (H)									
6. - Schwab U.S. Treasury Money Fund		None	J	T					
7. - PIMCO Total Return Institutional	A	Dividend			Sold	09/30/14	K		
8. - Fidelity Growth Company	D	Dividend	M	T					
9. - Vanguard FTSE All-Wld ex-US Idx Admiral	B	Dividend	L	T					
10. - IVA International I	D	Dividend	M	T					
11. - Parametric Emerging Markets Fund	B	Dividend	L	T					
12. - DoubleLine Total Return Bond I	B	Dividend			Sold	12/23/14	K		
13. - Metropolitan West Total Return Bond I	A	Dividend	K	T	Buy	10/21/14	K		
14. - Dreyfus/Standish Global Fixed Income I	B	Dividend	K	T	Buy	09/08/14	K		
15. - Dreyfus/Standish Global Fixed Income I			K	T	Buy (add'l)	12/23/14	J		
16. - Principal Diversified Real Asset Inst	A	Dividend	K	T	Buy	12/23/14	K		
17. ****Schwab IRA #2 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - PIMCO Total Return Fund	A	Dividend			Sold	09/30/14	J		
19. - Fidelity Equity Income II	A	Dividend	J	T					
20. - Metropolitan West Total Return Bond Fund	A	Dividend	J	T	Buy	10/21/14	J		
21. Legacy Treasury Direct	B	Interest	K	T					
22. ****Schwab #1 (H)									
23. - Schwab U.S. Treasury Money Fund		None	K	T					
24. - PIMCO Total Return Institutional	B	Dividend			Buy (add'l)	06/20/14	K		
25. - PIMCO Total Return Institutional					Sold	09/30/14	M		
26. - Templeton Global Bond Adv	B	Dividend			Sold (part)	01/21/14	K		
27. - Templeton Global Bond Adv					Sold	08/14/14	L	B	
28. - BEAM Inc.	A	Dividend			Sold	05/01/14	K	E	
29. - Coca-Cola Common	B	Dividend	L	T					
30. - Eli Lilly & Corporation	B	Dividend	K	T					
31. - Fortune Brands Home & Security	A	Dividend	K	T					
32. - General Mills	C	Dividend	L	T					
33. - International Business Machines Common	B	Dividend	L	T					
34. - JP Morgan Chase & Co Com	C	Dividend	M	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - Monsanto Co New Com	A	Dividend	K	T					
36. - Pfizer Inc.	B	Dividend	L	T					
37. - Procter & Gamble Co Common	C	Dividend	L	T					
38. - BP Plc	B	Dividend	K	T					
39. - Harbor International	C	Dividend	M	T					
40. - IVA International I	C	Dividend	L	T	Buy (add'l)	09/08/14	K		
41. - Parametric Emerging Markets Fund	A	Dividend	K	T	Sold (part)	06/20/14	K	C	
42. - JPMorgan Strategic Income Opps	B	Dividend	M	T					
43. - Wasatch Long/Short	D	Dividend	M	T	Sold (part)	06/20/14	K	D	
44. - DoubleLine Total Return Bond I	B	Dividend			Sold	12/23/14	K		
45. - Metropolitan West Total Return Bond I	A	Dividend	M	T	Buy	10/21/14	M		
46. - Dreyfus/Standish Global Fixed Income I	C	Dividend	L	T	Buy	08/15/14	L		
47. - MainStay High Yield Municipal Bond I	C	Dividend	L	T	Buy	01/21/14	L		
48. - Vanguard FTSE All-Wld ex-US Idx Admiral	B	Dividend	K	T	Buy	06/01/14	K		
49. **** Schwab #2 (H)									
50. - Schwab Govt Money Fund		None	K	T					
51. - Alhambra California Muni	B	Interest	K	T					

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52. - Brea & Olinda California Muni	A	Interest	K	T					
53. - Burlingame California Muni	B	Interest	K	T					
54. - California State Dept Muni	B	Interest			Sold	11/25/14	K	A	
55. - California State Economic Muni	B	Interest	K	T					
56. - Campbell California Un High Sch Dist Muni	A	Interest	K	T					
57. - Clark County Nevada Hwy Muni	B	Interest	K	T					
58. - Contra Costa California Water District Muni	B	Interest	K	T					
59. - Corona-Norco California Uni School District Muni	B	Interest			Sold	09/01/14	K		
60. - Kane Kendall Muni	A	Interest	K	T					
61. - Los Angeles California Cmnty College Muni	A	Interest	K	T					
62. - Los Angeles County California Muni	B	Interest			Sold	07/01/14	K		
63. - Metropolitan Water District SoCal Muni	B	Interest	K	T					
64. - San Jose California Uni School District Muni	B	Interest	K	T					
65. - Saugus California Uni Sch Dist Muni	B	Interest	K	T					
66. - Simi Valley California Muni	B	Interest	K	T					
67. - Southern California Public Muni	B	Interest	K	T					
68. - William S Hart California Muni	B	Interest	K	T					

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69. - California St Dept Wtr Res Pwr Muni	A	Interest	K	T	Buy	09/02/14	K		
70. - Chula Vista California Elem Sch Dis Muni		None	K	T	Buy	11/24/14	K		
71. - Long Beach California Hbr Muni	A	Interest	K	T	Buy	09/04/14	K		
72. - Los Angeles California Dept Water Muni	A	Interest	K	T	Buy	02/24/14	K		
73. - San Diego California Pub Muni	A	Interest	K	T	Buy	06/30/14	K		
74. - Wiseburn California Sch Dis Muni		None	K	T	Buy	12/29/14	K		
75. **** Schwab IRA #3 (H)									
76. - PIMCO Total Return Fund	A	Dividend			Sold	09/30/14	J		
77. - Wells Fargo Advantage Government Sec A	A	Int./Div.	J	T	Sold (part)	06/23/14	J		
78. - Metropolitan West Total Return Bond I	A	Dividend	J	T	Buy	10/21/14	J		
79. **** Schwab IRA #4 (H)									
80. - Wasatch Long/Short	A	Dividend	J	T	Sold (part)	06/20/14	J	A	
81. ****BofA Trust Number #1 (No personal income) (H)	D	Dividend	O	T					
82. - Dividend Income Common Trust Fund	B	Dividend	L	T					
83. - High Quality Core Common Trust Fund	A	Dividend	K	T	Redeemed (part)	07/03/14	J	A	
84. - Strategic Growth Common Trust Fund	A	Dividend	L	T					
85. - Mid Cap Growth CTF	A	Dividend	K	T	Redeemed (part)	07/03/14	J	A	

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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86. - Mid Cap Value CTF	A	Dividend	K	T	Redeemed (part)	07/03/14	J	B	
87. - IShares Core S&P Mid Cap ETF	A	Dividend	K	T					
88. - Small Cap Growth Leaders CTF	A	Dividend	J	T	Redeemed (part)	03/05/14	J	A	
89. - Small Cap Value CTF	A	Dividend	J	T					
90. - IShares Russell 2000 ETF	A	Dividend	J	T	Sold (part)	03/05/14	J	A	
91. - International Focused Equity Common Trust Fund	A	Dividend	K	T	Redeemed (part)	07/03/14	J	A	
92. - Vanguard FTSE Developed Markets ETF	A	Dividend	J	T					
93. - Emerging Markets Stock Common Trust Fund	A	Dividend	K	T	Buy (add'l)	03/05/14	K		
94. - Vanguard FTSE Emerging Markets ETF					Sold	03/05/14	K		
95. - Crossover Fixed Income Common Trust Fund	B	Dividend	L	T					
96. - Templeton Global Bond Fund Advisor CL	A	Dividend	J	T	Buy (add'l)	03/05/14	J		
97. - Columbia High Yield Muni Fund					Sold	03/05/14	K		
98. - Ivy Asset Strategy Fund	A	Dividend	K	T	Buy (add'l)	03/05/14	J		
99. - Permanent Portfolio					Redeemed	03/05/14	J		
100. - Pimco All Asset All Auth Fund					Redeemed	03/05/14	K		
101. - Vanguard REIT	B	Dividend	K	T	Sold (part)	07/03/14	J	A	
102. - Powershares DB Commodity Index Fund		None	K	T	Buy (add'l)	07/03/14	K		

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103. - Pimco High Yield Fund	A	Dividend	K	T	Buy	03/05/14	K		
104. - Goldman Sachs Strategic Income Fund	A	Dividend	K	T	Buy	03/05/14	K		
105. ****Trust #2 BofA (No personal income) (H)	D	Dividend	N	T					
106. - Strategic Growth CTF (formerly Large Cap Growth CTF)	A	Dividend	K	T					
107. - Large Cap Value Quantitative Common Trust Fund	A	Dividend	K	T					
108. - Mid Cap Growth CTF	A	Dividend	J	T					
109. - Mid Cap Value CTF	A	Dividend	J	T					
110. - Small Cap Growth Leaders CTF	A	Dividend	J	T					
111. - Small Cap Value CTF	A	Dividend	J	T					
112. - International Equity CTF	A	Dividend	J	T					
113. -Emerging Markets Stock Common Trust Fund	A	Dividend	J	T					
114. - MA Intermediate Tax Exempt Bond	C	Interest	M	T					
115. - Rowe T Price International	A	Dividend	J	T					
116. - Columbia High Yield Muni Fund	B	Int./Div.	K	T					
117. - Ivy Asset Strategy Fund	A	Dividend	J	T					
118. - Permanent Portfolio	A	Dividend	J	T					
119. - Pimco All Asset All Auth Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Vanguard REIT	A	Dividend	J	T					
121. - Powershares DB Commodity Fund		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Similar to the previous year and following the FDR filing instructions relating to security issues, I am not listing account numbers for banks, brokerage accounts, and trusts. Instead, I have designated the accounts by numbers, e.g., "Wells Fargo Checking #1". Thus, the description for these assets in this 2013 reporting will be different from the 2012 reporting.

To simplify the organization of the report, and to make yearly updates easier under Part VII, investment accounts with multiple investments will have the investment firm name and designated number listed first, preceded with four (4) asterisks. Next, the underlying investments are listed in the lines thereafter, preceded by a hyphen, as shown below:

****Account Name #1

-Large Cap Fund

-Small Cap Fund

-Mid Cap Fund

Two trusts referred to in this report are as follow:

1. Trust #2: Trust #2 was created [REDACTED] December 15, 1976. It became irrevocable on [REDACTED] death in 1990 and divided into three shares. The trustee has discretion to pay the income and principal of my share to me, [REDACTED].
2. Trust #1: Trust #1 was formed by [REDACTED] in 1976 and became irrevocable on [REDACTED] death in 1987. On [REDACTED] death the property was divided into equal shares for [REDACTED] me. The trustee has discretion to pay some or all of the net income or principal of my share to me, [REDACTED]. The trust terminates on the death of me [REDACTED].
3. The income of both trusts was paid by the trustee in 2012 to [REDACTED]. At the request of the Committee on Financial Disclosure, I have summarized the reports I have received.

In April 2000, Fleet Bank acquired the Bank of Boston, and then Bank of America acquired Fleet, and became the trustee of the above trusts. The trusts were also subdivided into distinct trusts [REDACTED] of the grantors, so that there is now a distinct trust for me [REDACTED] under the same trust instruments. Report is not made here of sales of odd lots (typically one or two shares) made to make it easier to divide the trusts into thirds.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John T. Noonan, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544