

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lee, John Z.	2. Court or Organization U.S. District Court, N.D.Ill.	3. Date of Report 10/22/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 219 South Dearborn Street Room 1262 Chicago, Illinois 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	Self-employed physician
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Emory Law School	05/16/2017-05/17/2017	Atlanta, GA	Legal conference	Transportation, meals, and lodging
2. Emory Law School	09/13/2017-09/15/2017	Atlanta, GA	Legal conference	Transportation, meals, and lodging
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Capital One	Mortgage on Rental Property #1, Barrington, IL	O
2.	Ditech Mortgage Corp.	Mortgage on Rental Property #1, Barrington, IL	M
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property #1, Barrington, IL (2007 \$745,000)	E	Rent	O	R					
2. LPL Financial IRA #1 (H)									
3. - Am. Beacon Bridgeway Large Cap Fund	B	Dividend	M	T	Sold (part)	07/26/17	K	C	
4. - Am. Beacon High Yield Fund	C	Dividend	L	T	Buy (add'l)	07/26/17	K		
5. - Am. Beacon Sm Cap Value Fund	B	Dividend	K	T					
6. - Am. Century Mid Cap Value Fund	C	Dividend	K	T					
7. - Am. Century Real Estate Fund	B	Dividend	K	T	Sold (part)	10/27/17	K	C	
8. - Artisan Mid Cap Fund	D	Dividend	K	T	Sold (part)	07/26/17	K	A	
9. - Clearbridge Aggressive Growth Fund	B	Dividend	K	T	Sold (part)	08/16/17	K	A	
10. - Entrust Permal Mut. Fund	A	Dividend	L	T	Buy	08/16/17	K		
11. - JP Morgan Prime Money Mkt Acct	A	Interest	J	T					
12. - Janus Venture Fund	B	Dividend	K	T					
13. - Oakmark International Fund	C	Dividend	M	T	Buy (add'l)	07/26/17	K		
14.					Buy (add'l)	10/27/17	J		
15. - Oakmark Select Fund	D	Dividend	M	T	Sold (part)	07/26/17	K	C	
16. - Oppenheimer Dev. Mkts Fund	A	Dividend	K	T	Buy (add'l)	10/30/17	J		
17. - Prudential Total Return Bond Fund	B	Dividend	L	T					

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes	F ~\$50,001 - \$100,000 J ~\$15,000 or less (See Columns C1 and D3)	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000 (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market

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18. - Vanguard Growth ETF	A	Dividend	L	T	Buy	04/21/17	K		
19.					Buy (add'l)	04/22/17	J		
20. LPL Financial IRA #2 (H)									
21. - Janus Global Allocation Mut Fund	A	Dividend			Sold	08/25/17	J	B	
22. - Vanguard Intermed. Term Invest. Grade Bond Fund	A	Dividend	J	T	Buy	08/30/17	K		
23.					Sold (part)	09/01/17	J	A	
24. - Vanguard International Value Mut. Fund	A	Dividend	J	T	Buy	09/01/17	J		
25. - Vanguard Total Stock Market Mut. Fund	A	Dividend	J	T	Buy	09/01/17	J		
26. - Goldman Sachs Bank USA Cash Acct	A	Dividend	J	T					
27. Trust # 1 (H)									
28. - Am. Beacon Sm Cap Value Fund	B	Dividend	J	T	Sold (part)	10/26/17	J	B	
29. - Am. Beacon Birdgeyway Lg Cap Fund	B	Dividend	L	T	Buy (add'l)	10/26/17	J		
30.					Sold (part)	10/27/17	L	E	
31. - Am Century Mid Cap Value Fund	B	Dividend	K	T	Sold (part)	10/27/17	K	C	
32. - Am Century Real Estate Mut Fund	A	Dividend			Sold	10/25/17	K	A	
33. - Clearbridge Aggressive Growth Fund	B	Dividend	K	T	Sold (part)	07/27/17	K	C	
34.					Sold (part)	10/27/17	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. - Clearbridge Small Cap Mut Fund	A	Dividend	K	T	Sold (part)	10/26/17	J	B	
36. - Entrust Permal Mut Fund	A	Dividend	K	T	Buy (add'l)	07/27/17	K		
37.					Buy (add'l)	10/26/17	K		
38.					Sold (part)	10/27/17	L	C	
39. - Henderson Inter'l Opportunities Fund	A	Dividend	L	T	Sold (part)	10/27/17	L	C	
40. - iShares Core S&P 500 ETF Fund	B	Dividend	L	T	Sold (part)	08/16/17	K	C	
41.					Sold (part)	10/26/17	K	D	
42. - Janus Enterprise Mut Fund	A	Dividend	K	T	Sold (part)	07/26/17	K	C	
43.					Sold (part)	10/27/17	K	C	
44. - Oppenheimer Developing Mkt Fund	A	Dividend	K	T	Sold (part)	10/26/17	J	C	
45. - Prudential Total Return Bond Fund	B	Dividend	K	T	Sold (part)	10/26/17	K	A	
46. - Ridgeworth Float. Rate High Inc Fund	B	Dividend	K	T	Sold (part)	10/26/17	J	A	
47. - Vanguard Growth ETF Fund	A	Dividend	K	T	Sold (part)	08/16/17	K	C	
48.					Sold (part)	10/26/17	K	C	
49. - Goldman Sachs Bank USA Cash Acct	A	Dividend	J	T					
50. - T. Rowe Price Ret. 2035 Mut. Fund	E	Dividend	N	T	Buy	11/08/17	N		
51. Am. Century Equity Income Mut. Fund	A	Dividend			Sold	06/21/17	J	A	

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52. Am. Century Mid Cap Value Fund	A	Dividend	J	T	Buy	06/21/17	J		
53. Am. Century Value Investor Mut. Fund	A	Dividend	J	T	Sold (part)	06/21/17	J	B	
54. Deutsche Managed Muni. Bond Mut. Fund	A	Dividend	K	T	Sold (part)	06/21/17	J	A	
55.					Sold (part)	07/21/17	J	A	
56.					Sold (part)	08/21/17	J	A	
57.					Sold (part)	09/20/17	J	A	
58.					Sold (part)	10/20/17	J	A	
59. Henderson Euro. Focus Mut. Fund		None			Sold	06/21/17	J	A	
60. Janus Enterprise Mut. Fund	A	Dividend	J	T	Buy	06/21/17	J		
61. Janus Venture Mut. Fund	A	Dividend	J	T					
62. Oakmark Fund Mutual Fund	A	Dividend	K	T					
63. Oakmark International Mut. Fund	A	Dividend	J	T	Buy	06/21/17	J		
64. Goldman Sachs Bank USA Cash Acct	A	Interest	J	T					
65. Loomis Sayles Growth Mut. Fund	A	Dividend	K	T	Buy	06/21/17	J		
66.					Buy (add'l)	07/21/17	J		
67.					Buy (add'l)	08/21/17	J		
68.					Buy (add'l)	09/20/17	J		

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69.					Buy (add'l)	10/23/17	J		
70. Oppenheimer Dev. Markets Mut. Fund	A	Dividend	J	T	Buy	06/21/17	J		
71. Bright Directions College Savings 13-16 Portfolio		None			Buy	01/17/17	J		
72.					Buy (add'l)	02/17/17	J		
73.					Buy (add'l)	03/17/17	J		
74.					Sold	03/20/17	M		
75. Bright Directions College Savings 15-16 Portfolio		None	M	T	Buy	03/20/17	M		
76.					Buy (add'l)	04/17/17	J		
77.					Buy (add'l)	05/17/17	J		
78.					Buy (add'l)	06/19/17	J		
79.					Buy (add'l)	07/20/17	J		
80.					Buy (add'l)	08/21/17	J		
81.					Buy (add'l)	09/20/17	J		
82.					Buy (add'l)	10/20/17	J		
83.					Buy (add'l)	11/20/17	J		
84.					Buy (add'l)	12/20/17	J		
85. Bright Directions College Savings Baird Short-Term Bond Fund		None			Buy (add'l)	01/17/17	K		

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86.					Buy (add'l)	02/17/17	J		
87.					Buy (add'l)	03/17/17	J		
88.					Buy (add'l)	04/17/17	J		
89.					Buy (add'l)	05/17/17	J		
90.					Buy (add'l)	06/17/17	J		
91.					Buy (add'l)	07/17/17	J		
92.					Sold	07/24/17	J		
93. Bright Directions 529 College Savings Invesco Govt & Agency Bond Fund	A	Interest			Buy (add'l)	01/17/17	K		
94.					Buy (add'l)	02/17/17	J		
95.					Buy (add'l)	03/17/17	J		
96.					Buy (add'l)	04/17/17	J		
97.					Buy (add'l)	05/17/17	J		
98.					Buy (add'l)	06/19/17	J		
99.					Sold	07/24/17	K		
100. Bright Directions 529 College Savings MFS Value Fund	A	Interest	J	T	Buy (add'l)	01/17/17	J		
101.					Buy (add'l)	02/17/17	J		
102.					Buy (add'l)	03/17/17	J		

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103.					Buy (add'l)	04/17/17	J		
104.					Buy (add'l)	05/17/17	J		
105.					Buy (add'l)	06/19/17	J		
106.					Buy (add'l)	07/17/17	J		
107.					Buy (add'l)	08/17/17	J		
108.					Buy (add'l)	09/18/17	J		
109.					Buy (add'l)	10/17/17	J		
110.					Buy (add'l)	11/17/17	J		
111.					Buy (add'l)	12/18/17	J		
112. Bright Directions College Savings Northern Bond Fund		None	J	T	Buy (add'l)	01/17/17	J		
113.					Buy (add'l)	02/17/17	J		
114.					Buy (add'l)	03/17/17	J		
115.					Buy (add'l)	04/17/17	J		
116.					Sold (part)	05/17/17	J	A	
117.					Buy (add'l)	06/19/17	J		
118.					Buy (add'l)	07/17/17	J		
119. Bright Directions College Savings Oppenheimer Int'l Growth Fund		None	J	T	Buy (add'l)	01/17/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	02/17/17	J		
121.					Buy (add'l)	03/17/17	J		
122.					Buy (add'l)	04/17/17	J		
123.					Sold (part)	05/17/17	J	A	
124.					Buy (add'l)	06/19/17	J		
125.					Buy (add'l)	07/17/17	J		
126. Bright Directions College Savings T Rowe Price Large Cap Growth Fund		None	J	T	Buy (add'l)	01/17/17	J		
127.					Buy (add'l)	02/17/17	J		
128.					Buy (add'l)	03/17/17	J		
129.					Buy (add'l)	04/17/17	J		
130.					Sold (part)	05/17/17	J	A	
131.					Buy (add'l)	06/19/17	J		
132.					Buy (add'l)	07/17/17	J		
133. Bright Directions College Savings T Rowe Price Real Estate Fund		None	J	T	Buy (add'l)	01/17/17	J		
134.					Buy (add'l)	02/17/17	J		
135.					Buy (add'l)	03/17/17	J		
136.					Buy (add'l)	04/17/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	05/17/17	J	A	
138.					Buy (add'l)	06/19/17	J		
139.					Buy (add'l)	07/17/17	J		
140.					Buy (add'l)	12/19/17	J		
141. Apple (AAPL)	A	Dividend	L	T					
142. Revolution Lighting (RVLT)		None	J	T					
143. Schwab One Interest Account	A	Interest	J	T					
144. JP Morgan Chase Bank Accounts	A	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Part VII, line 75, my 2016 report listed "Bright Directions College Savings BlackRock Cash Fund" as being sold in part on 07/28/16. This entry should have listed "Bright Directions College Savings BlackRock Cash Fund" as being sold in its entirety on 07/28/16, with a Value Code of "M" and a Gain Code of "A."

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John Z. Lee**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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