

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lauck, M H.	2. Court or Organization Eastern District of Virginia	3. Date of Report 5/15/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full-time magistrate judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2011 to 12/31/2011
7. Chambers or Office Address U.S. District Court, EDVA 701 East Broad Street, Suite 5212 Richmond, VA 23219-3528		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Custodian	Bank of America Custodial Account
2. Past President	St. Stephen's Preschool, Richmond
3. Vice President	National Association of Women Judges, District 4
4. President	John Marshall Inn of Court
5. Honorary Vice President	Richmond Bar Association
6. Board Member	Federal Bar Association
7. Council Member	State-Federal Judicial Council of Virginia

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	University of Richmond, John Marshall Professor of Judicial Studies	\$15,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	[REDACTED] - salary and benefits
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bar Association	3/2-3/5/2011	Tucson, AZ	Panelist	Transportation, hotel, and food
2. Virginia Trial Lawyers Association	4/1-4/3/2011	Hot Springs, VA	Speaker	Transportation and hotel (including food)
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Dana DiCarlo and Scott Plank	Rent on shared vacation house, one week	\$1,500.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Credit card	J
2.	American Express	Credit card	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America accounts	A	Interest	J	T					
2. Bank of America custodial accounts	A	Interest	J	T					
3. Brokerage Account #1									
4. - Exxon	A	Dividend	K	T					
5. - IBM	A	Dividend	K	T	Sold (part)	08/19/11	J	C	
6.					Sold (part)	09/01/11	J	D	
7.					Sold (part)	10/28/11	J	C	
8. - Pfizer	A	Dividend	J	T					
9. - Under Armor, Inc.		None	J	T					
10. - Verizon	A	Dividend	J	T					
11. Brokerage account #2									
12. - Accenture PLC Cls A	A	Dividend			Sold	11/22/11	K	D	
13. - AT&T	A	Dividend	K	T					
14. - Bank of New York Mellon Corp	A	Dividend			Sold	11/22/11	K		
15. - Bard C R Inc	A	Dividend	J	T	Sold (part)	12/07/11	K		
16. - Cisco Sys Inc	A	Dividend	J	T	Sold (part)	12/07/11	J	B	
17. - ConocoPhillips	A	Dividend	J	T	Sold (part)	11/22/11	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. - Danaher Corp	A	Dividend			Sold	12/01/11	K	A	
19. - Devon Energy Corp New	A	Dividend	K	T					
20. - E M C Corp Mass		None	J	T	Sold (part)	12/07/11	J		
21. - Eaton Corp	A	Dividend	K	T					
22. - Int Business Mach	A	Dividend	J	T	Sold (part)	12/07/11	K	D	
23. - Itron Inc		None			Sold	12/01/11	K		
24. - Life Technologies Corp Com		None	K	T					
25. - Lowes Companies	A	Dividend	J	T	Sold (part)	11/28/11	J	A	
26. - Microsoft Inc	A	Dividend			Sold	12/01/11	K		
27. - Nuance Communications Inc		None	J	T	Sold (part)	12/02/11	K	D	
28. - Omnicon	A	Dividend	K	T					
29. - Pitney Bowes Inc	A	Dividend			Sold	12/01/11	J		
30. - SPDR Gold Trust		None			Sold	11/22/11	K	D	
31. - Target Corp	A	Dividend	J	T	Sold (part)	12/07/11	K		
32. - Teva Pharmaceutical ADR	A	Dividend			Sold	12/01/11	K		
33. - Travelers Cos Inc Com	A	Dividend	J	T	Sold (part)	12/07/11	K	B	
34. - Wells Fargo & Co New	A	Dividend	J	T	Sold (part)	12/07/11	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - Hewlett Packard Co Bond		None	J	T					
36. - FPL Group Capital Inc Bond	A	Interest	K	T					
37. - H J Heinz Bond		None	J	T					
38. - Canadian Imperial Bank Bond	A	Interest	J	T					
39. - FNMA Bond	A	Interest			Redeemed	12/23/11	K		
40. - Home Depot Inc Bond		None	K	T					
41. - Int'l Paper Co Bond	A	Interest	K	T					
42. - Goldman Sachs Group Inc Bond	A	Interest	K	T					
43. - Western Union Co Bond	A	Interest			Redeemed	11/17/11	K		
44. - SunTrust Bank Atlanta CD	A	Interest	J	T					
45. - Fidelity Tax Free Money Market	A	Interest	N	T					
46. Trust #1	E	Int./Div.	P1	T					
47. - AT&T									
48. - Bank of New York Mellon Corp					Buy	01/10/11	K		
49. - Bard C R Inc					Buy	01/10/11	K		
50. - Cisco Sys Inc									
51. - Consolidated Edison Holding					Sold	01/10/11	K	D	

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52. - Eaton Corp									
53. - EMC Corp MA					Buy	01/10/11	K		
54. - GE									
55. - Illinois Tool Works									
56. - International Business Machines									
57. - Johnson & Johnson									
58. - Life Technologies Inc					Buy	03/16/11	K		
59. - Lowes Companies									
60. - Medtronic Inc					Buy (add'l)	04/12/11	J		
61. - Microsoft Inc					Buy (add'l)	04/12/11	J		
62. - Nuance Communications									
63. - Omnicom Group									
64. - Pepsico Inc									
65. - Procter & Gamble Co					Sold	03/16/11	K	D	
66. - Scana Corp					Sold	01/10/11	K	C	
67. - Southern Co.									
68. - Sysco Corp					Sold	04/12/11	K		

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69. - TEVA Pharmaceutical ADR									
70. - Verizon Communications					Sold	01/10/11	K	D	
71. - Walgreen Company									
72. - Wells Fargo Corp.									
73. - HSBC Fin Corp Bond									
74. - American Express Bond									
75. - Clorox Company Bond									
76. - Federal Home Loan Banks Bond					Buy	02/10/11	K		
77.					Sold	07/18/11	K		
78. - General Electric Capital Bond					Sold	11/14/11	K		
79. - Hewlett Packard Co Bond					Buy	12/07/11	L		
80. - Kraft Foods Inc. Bond					Sold	11/01/11	K		
81. - L-3 Communications Corp Bond					Buy	11/29/11	L		
82. - Ryder Systems Bond									
83. - Discover Bank CD									
84. - Fidelity NJ Municipal Money Market									
85. GE SSP program (retirement)									

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86. - GE Common Stock	A	Dividend	J	T					
87. - GE S&S Program mutual fund	A	Dividend	J	T					
88. - GE S&S Program income fund	A	Dividend	J	T					
89. TIAA CREF (retirement)	B	Dividend	M	T					
90. AT&T (Custodian)	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII Investments and Trusts

TSP Retirement Holdings - EXEMPT

Brokerage firm #2 assets acquired by inheritance, not previously reportable.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ M H. Lauck

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544