

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) White, Jeffrey S.	2. Court or Organization U.S. District, Northern Calif	3. Date of Report 05/01/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. District Court, Northern District of Calif. 450 Golden Gate Avenue San Francisco, CA 94102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. Jan - Dec	Orrick Herrington Defined Benefit Plan	\$23,400.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo Bank	Credit Card	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ISHARES MSCI Eafe Index Fund	A	Dividend	K	T					
2. GMAC 0% Bond		None	K	T					
3. ISHARES DJ US Basic Materials	A	Dividend	K	T					
4. ISHARES Goldman Sach Tech 1	A	Dividend	L	T					
5. ISHARES US Consumer Noncyclic	B	Dividend	L	T					
6. ISHARES US Consumer Cyclic	A	Dividend	L	T					
7. ISHARES DJ US Energy Sector	B	Dividend	L	T					
8. ISHARES DJ US Financial Sector	A	Dividend	K	T					
9. ISHARES DJ US Healthcare Sector	B	Dividend	L	T					
10. ISHARES DJ US Telecommunications	B	Dividend	K	T					
11. ISHARES DJ US Utilities Sector	B	Dividend	K	T					
12. Consumer Discretionary Sector SPDR	A	Dividend	L	T					
13. Consumer Staples Select Sector SPDR Trust	B	Dividend	L	T					
14. Financial Select Sector SPDR	A	Dividend	K	T					
15. Health Care Select Sector SPDR	A	Dividend	K	T					
16. Industrial Select Sector SPDR	B	Dividend	L	T					
17. Technology Select Sector SPDR	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Legg Mason Clearbridge Diversified Large Cap Growth Fund	A	Dividend	J	T	Sold (part)	04/25/11	J		
19. ISHARES - S&P Euro 350 Index	A	Dividend	K	T					
20. Oppenheimer Intl Diversified Fund Class A	A	Dividend	J	T					
21. Oppenheimer Global Fund Class A		None			Sold	04/25/11	K		
22. Oppenheimer Main Street Oppo. Fund Class A	A	Dividend	J	T					
23. Oppenheimer Main Street Small Cap Fund Class A	A	Dividend	K	T					
24. Oppenheimer Select Value Fund CI A		None			Sold	04/25/11	K		
25. Oppenheimer Equity Fund Class A	A	Dividend	K	T					
26. Schwab Advisor Cash Preimer Sweep	A	Interest			Closed	07/15/11	J		
27. SPDR Homebuilders ETF	A	Dividend	J	T					
28. iShares Dow Jones U.S. Real Estate Index	A	Dividend	J	T					
29. Ally Bank CDs	B	Interest	K	T					
30. Guggenheim BRIC ETF	A	Dividend	K	T					
31. California St Economic Recovery CA GO 5.25%	B	Interest	K	T					
32. California St CA GO 5%	B	Interest	K	T					
33. Provident Credit Union	A	Interest	K	T					
34. Orrick Investments 2000 LLC	A	Int./Div.	J	U					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only)	P4 = More than \$50,000,000	S = Assessment	T = Cash Market
(See Column C2)	Q = Appraisal	V = Other		W = Estimated	
	U = Book Value				

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Pollock Montgomery Washington Tower Investors II Partnership	A	Int./Div.	K	U					
36. Lincoln Financial Annuity - Lincoln VIP Moderate Profile		None	M	T					
37. Lincoln Financial Annuity - Lincoln Choice Plus		None	N	T					
38. Pollock 2007-2008 Independent Investors, LLC	A	Int./Div.	K	U					
39. Pollock 2006 Properties Preference Return, LLC	C	Interest	M	U	Buy	2/1/11	M		
40. Schwab US Treasury Fund Sweep	A	Int./Div.	K	T	Open	07/15/11	J		
41.									
42. Citigroup IRA # 1	D	Int./Div.	M	T					
43. -MFS Total Return Fund A									
44. -Citibank NA South Dakota Bank Deposit Program									
45. -Legg Mason ClearBridge Fdmtl AL CP Value Fund CI A (Note 1)									
46. -Reynolds American In 7.5 % Bond									
47. -Textron Inc 6.5% Bond									
48. - Alcoa Inc 6% Bond									
49. -Entergy New Orleans Inc 5.25% Bond									
50. - Dow Chemical Co 6.85% Debs									
51. -Airgas Inc 2.85% Bond									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -Amerian Express Credit Corp 3% Bond									
53. -Hartford Finl Services Group 4.75% Bond									
54. -Morgan Stanley Global Sub 4.75% Notes									
55. -Bank of America 5.375% Notes									
56. -Fortune Brands Inc 6.375% Bond									
57. -Genworth Financial 5.75% Bond									
58. -Southwest Airlines Co 5.25% Notes									
59. -Sunoco Inc 4.875% Bonds									
60.									
61. Citigroup IRA # 2	A	Int./Div.	K	T					
62. -MFS Total Return Fund A									
63. -Citibank NA South Dakota Bank Deposit Program									
64. -Legg Mason ClearBridge Fdmtl AL CP Value Fund C1 A (Note 1)									
65. -Bank Of America Corp 4.875% Bonds									
66.									
67. Charles Schwab - IRA Rollover	F	Int./Div.	P1	T					
68. -Schwab Adviser Cash Premier					Closed	07/15/11	K		

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69. -Financial Select Sector SPDR									
70. -India Fund, Inc									
71. -Industrial Select Sect SPDR									
72. -ISHARES FTSE/Xinua China 25									
73. -ISHARES - MSCI Australia Index									
74. -ISHARES - MSCI Canada Index									
75. -ISHARES - MSCI EAFE Index Fund									
76. -ISHARES - MSCI Emerging Markets Index									
77. -ISHARES - MSCI Japan Index					Sold	11/16/11	J		
78. -ISHARES - MSCI Malaysia Index									
79. -ISHARES - S&P Europe 350 Index									
80. -ISHARES - S&P Latin America 400 Index									
81. -ISHARES - Global Industrials									
82. -Phoenix Co 7.45% Preferred									
83. -Aetna Inc 7.875% Bond					Matured	03/01/11	K		
84. -Conoco Phillips Bond 4.4%									
85. -Disney (Walt) Company 6.375% Bond									

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86. -General Electric Cap Corp 5.25% Bond									
87. -General Electric Capital Corp 6% Bond									
88. -General Electric Capital Corp 4.8% Bond									
89. -Goldman Sachs Group Inc 5.45% Bond									
90. -Hewlett-Packard Co 5.25% Bond									
91. -Honeywell International 6.125% Bond					Matured	11/01/11	K		
92. -PepsiCo Inc 4.65% Bond									
93. -Verizon Communications 4.35% Bonds									
94. -Wells Fargo & Company 6.375% Bond					Matured	08/1/11	K		
95. -Du Pont de Nemours 5.00%									
96. -California St CA GO- Taxable 4.85%									
97. -Caterpillar - Financial Services Corp 6.2%									
98. -Dell Inc 5.625%									
99. -Hewlett Packard - Electronic Data System 6%									
100. -IBM Corp 6.5%									
101. -Pfizer Inc 4.45%					Sold	12/08/11	L	A	
102. -Wyeth (Pfizer) 5.5%									

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103. -iShares MSCI Turkey Index									
104. -Berkshire Hathaway Bond 4.5%									
105. -California St CA GO- Taxable 3%									
106. -California St CA GO- Taxable 3.95%									
107. -California St CA GO- Taxable 6.2%									
108. -Illinois State GO- Taxable 2.766%									
109. -Hershey Company 5% Bond									
110. -California St CA GO-Taxable 5.2%					Buy	11/17/11	L		
111. -Chesapeake Energy Corp 7.375%					Buy	12/12/11	K		
112. -Energy Select Sector SPDR					Buy	11/17/11	K		
113. -Ford Motor Credit Notes					Buy	11/09/11	K		
114. -Metlife Inc 5% Bond					Buy	12/19/11	K		
115. -Petrobras Int Fin Co 3.875% Bond					Buy	11/18/11	K		
116. -Pitney Bowes Inc 5% Bond					Buy	03/10/11	L		
117. -Royal Caribbean Cruises 7% Bond					Buy	11/15/11	K		
118. -Schwab US Treasury Fund Sweep					Open	07/15/11	J		
119.									

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120. Schwab IRA Account	B	Int./Div.	K	T					
121. -Schwab Advisor Cash Premier - Sweep					Closed	07/15/11	J		
122. -Guggenheim BRIC ETF									
123. -Powershares QQQ Nasdaq 100									
124. -iShares S&P 500/Barr Growth					Sold (part)	12/6/11	J	A	
125. -iShares S&P Global 100					Sold (part)	3/31/11	J		
126. -Schwab US Treasury Fund - Sweep					Open	07/15/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

White, Jeffrey S.

Date of Report

05/01/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Investments and Trusts

Note 1 - Legg Mason Clearbridge fund chnaged its name from Legg Mason Clearbridge Value Fund Class A to Legg Mason ClearBridge Fundamental All Cap Value Fund Class A during the reporting period.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

White, Jeffrey S.

Date of Report

05/01/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Jeffrey S. White

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544