

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Horn, Marian B.	2. Court or Organization United States Court of Federal Claims	3. Date of Report 09/16/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 717 Madison Place NW Washington, DC 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor of Law	George Washington University Law School
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 15

Name of Person Reporting

Horn, Marian B.

Date of Report

09/16/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	GW Univ. Law School - Teaching	\$21,020.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	DTE Engergy Co. - Pension
2. 2016	Husch Blackwell-salary
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 15

Name of Person Reporting

Horn, Marian B.

Date of Report

09/16/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Visa and Master Cards	Credit Card Loans	K
2.	pnc	PNC Business Loan	N
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 15

Name of Person Reporting

Horn, Marian B.

Date of Report

09/16/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. [REDACTED] Husch Blackwell 401k Vanguard Inst Trust 2020-X	A	Dividend	J	T	Buy (add'l)	08/16/16	J		
2.					Buy (add'l)	09/01/16	J		
3.					Buy (add'l)	09/16/16	J		
4.					Buy (add'l)	10/03/16	J		
5.					Buy (add'l)	10/17/16	J		
6.					Buy (add'l)	10/27/16	J		
7.					Buy (add'l)	11/01/16	J		
8.					Buy (add'l)	11/16/16	J		
9.					Buy (add'l)	12/01/16	J		
10.					Buy (add'l)	12/16/16	J		
11.									
12. [REDACTED] Morgan Stanley Smith Barney IRA Account	C	Dividend	O	T					
13. -Citibank NA So. Dakota Bank Deposit Program	A	Interest	L	T					
14. -Abbott	A	Dividend	K	T					
15. -Abbvie Inc.	A	Dividend	K	T					
16. -Alcoa-Y	A	Dividend	J	T					
17. Alphabet A formerly Google A		None	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	L ~\$50,001 - \$100,000 M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 15

Name of Person Reporting

Horn, Marian B.

Date of Report

09/16/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Alphabet B formerly Google B		None	M	T					
19. -Berkshire Hathaway Inc. CL- B New		None	M	T					
20. -Centurylink (Y)									
21. -Cisco Sys	A	Dividend	K	T					
22. -Ebay		None	K	T					
23. -Interdigital	B	Dividend	M	T	Sold (part)	09/12/16	K	E	
24. -JP Morgan Chase	A	Dividend	J	T					
25. -Merck	A	Dividend	J	T					
26. -Pay Pal (X)		None	K	T					
27. -Pfizer	A	Dividend	J	T					
28. Virnext Holdings -X		None			Buy	01/20/16	J		
29.					Sold	02/18/16	J	C	
30.					Buy	03/04/16	J		
31.					Sold	03/08/16	J		
32.					Buy	06/13/16	J		
33.					Buy	06/14/16	J		
34.					Sold	06/17/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 15

Name of Person Reporting

Horn, Marian B.

Date of Report

09/16/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -Wells Fargo	A	Dividend	J	T					
36. -Morgan Stanley Smith Barney PM IRA Account									
37.									
38. -Citibank NA So. Dakota Bank Deposit Program	A	Interest	K	T					
39. -Black Rock Equity Div	A	Dividend	J	T	Sold (part)	01/07/16	J		
40.					Sold (part)	01/05/16	J		
41.					Sold (part)	01/20/16	J		
42. -Fidelity Adv Biotechnology		None			Sold (part)	01/07/16	J	A	
43.					Sold (part)	01/15/16	J	A	
44.					Sold (part)	01/20/16	J	A	
45.					Sold	02/17/16	J		
46. First Trust Europe-Y	A	Dividend	J	T	Sold (part)	01/07/16	J		
47.					Sold (part)	01/15/16	J		
48.					Sold (part)	01/20/16	J		
49. First Eagle Global 1-Y		None			Sold (part)	01/07/16	J		
50.					Sold (part)	01/15/16	J		
51.					Sold (part)	01/20/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 15

Name of Person Reporting

Horn, Marian B.

Date of Report

09/16/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Sold	02/11/16	J		
53. -ING (Voya) Global Real Estate		None			Sold (part)	01/07/16	J	A	
54.					Sold (part)	01/15/16	J	A	
55.					Sold (part)	01/20/16	J		
56.					Sold	02/11/16	J		
57. -Investco Developing Mkts		None	J	T	Sold (part)	01/07/16	J		
58.					Sold (part)	01/15/16	J		
59.					Sold (part)	01/20/16	J		
60. -Ivy Mid-Cap Growth		None			Sold (part)	01/07/16	J		
61.					Sold (part)	01/15/16	J		
62.					Sold (part)	01/20/16	J		
63.					Sold	02/11/16	J		
64. -Ivy Science and Tech		None			Sold (part)	01/07/16	J	A	
65.					Sold (part)	01/15/16	J	A	
66.					Sold (part)	01/20/16	J	A	
67.					Sold	02/11/16	J		
68. -MFS Value	A	Dividend	J	T	Sold (part)	01/07/16	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 15

Name of Person Reporting

Horn, Marian B.

Date of Report

09/16/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.					Sold (part)	01/15/16	J	A	
70.					Sold (part)	01/20/16	J	A	
71. -MFS International		None			Sold (part)	01/07/16	J		
72.					Sold (part)	01/15/16	J		
73.					Sold (part)	01/20/16	J		
74.					Sold	02/11/16	J		
75. -Oppenheimer Intl Growth		None			Sold (part)	01/07/16	J	A	
76.					Sold (part)	01/15/16	J	A	
77.					Sold (part)	01/20/16	J	A	
78.					Sold	02/11/16	J	A	
79. -PIMCO All Asset	A	Dividend	J	T	Sold (part)	01/07/16	J		
80.					Sold (part)	01/15/16	J		
81.					Sold (part)	01/20/16	J		
82. -Prudential Total Rtn Bond	A	Dividend	J	T	Sold (part)	01/07/16	J	A	
83.					Sold (part)	01/15/16	J	A	
84.					Sold (part)	01/20/16	J	A	
85. Putnam Short Duration IncomeX	A	Dividend	K	T	Buy	05/17/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 15

Name of Person Reporting

Horn, Marian B.

Date of Report

09/16/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. -Royce Total Return	A	Dividend	J	T	Sold (part)	01/07/16	J		
87.					Sold (part)	01/15/16	J		
88.					Sold (part)	01/20/16	J		
89. -Templeton Glb Tot Rtn	A	Dividend			Sold (part)	01/07/16	J	A	
90.					Sold (part)	01/15/16	J	A	
91.					Sold (part)	01/20/16	J		
92.					Sold	02/11/16	J	A	
93. -Touchstone SandsnCap Sel	A	Dividend	J	T	Sold (part)	01/07/16	J	A	
94.					Sold (part)	01/15/16	J	A	
95.					Sold (part)	01/20/16	J	A	
96. Morgan Stanley Smith Barney IRA Account									
97. -Citibank NA So. Dakota Bank Deposit Program	A	Interest	K	T					
98. DHLSX-Diamond Hills L/S	A	Dividend	J	T	Sold (part)	01/17/16	J		
99.					Sold (part)	01/15/16	J		
100.					Sold (part)	01/20/16	J		
101. DIA-SPDR DJIA Trust	A	Dividend	J	T	Sold (part)	01/07/16	J		
102.					Sold (part)	01/15/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 15

Name of Person Reporting

Horn, Marian B.

Date of Report

09/16/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

103.					Sold (part)	01/20/16	J		
104. DXI-WT Japan Hedged		None			Sold (part)	01/07/16	J		
105.					Sold (part)	01/15/16	J		
106.					Sold (part)	01/20/16	J		
107.					Sold	02/11/16	J		
108. IWD-iS R 1000 Value	A	Dividend	J	T	Sold (part)	01/07/16	J		
109.					Sold (part)	01/15/16	J		
110.					Sold (part)	01/20/16	J		
111. IWF-iS 1000Growth	A	Dividend	J	T	Sold (part)	01/07/16	J	A	
112.					Sold (part)	01/15/16	J		
113.					Sold (part)	01/20/16	J		
114. IWN-iS R 2000 Value		None			Sold (part)	01/07/16	J		
115.					Sold (part)	01/15/16	J		
116.					Sold (part)	01/20/16	J		
117.					Sold	02/11/16	J		
118. IWO-iS R 2000 Growth		None			Sold (part)	01/07/16	J		
119.					Sold (part)	01/15/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 15

Name of Person Reporting

Horn, Marian B.

Date of Report

09/16/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold (part)	01/20/16	J		
121.					Sold	02/11/16	J		
122. IWP-iS R Mid-Cap Growth		None			Sold (part)	01/07/16	J		
123.					Sold (part)	01/15/16	J		
124.					Sold (part)	01/20/16	J		
125.					Sold	02/11/16	J		
126. IWS-iS Mid Cap Value	A	Dividend			Sold (part)	01/07/16	J		
127.					Sold (part)	01/15/16	J		
128.					Sold (part)	01/20/16	J		
129.					Sold	02/11/16	J		
130. IYW-iS US Technology		None			Sold (part)	01/07/16	J		
131.					Sold (part)	01/15/16	J		
132.					Sold (part)	01/20/16	J		
133.					Sold	02/11/16	J		
134. Putnam short Duration Income-X	A	Dividend	K	T	Buy	01/20/16	K		
135. QAI-IQ Hedge Multi-Strat	A	Dividend	J	T	Sold (part)	1/07/16	J		
136.					Sold (part)	01/15/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 15

Name of Person Reporting

Horn, Marian B.

Date of Report

09/16/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	01/20/16	J		
138. SPY-SPDR S&P 500	A	Dividend	J	T	Sold (part)	01/07/16	J	A	
139.					Sold (part)	01/15/16	J	A	
140.					Sold (part)	01/20/16	J	A	
141. WDTI-WT MGD Futures		None	J	T	Sold (part)	01/07/16	J	A	
142.					Sold (part)	01/15/16	J	A	
143.					Sold (part)	01/20/16	J	A	
144. XLV-Health Care SPDR		None			Sold (part)	01/07/16	J	A	
145.					Sold (part)	01/15/16	J	A	
146.					Sold (part)	01/20/16	J	A	
147.					Sold	02/11/16	J	A	
148.									
149. Morgan Stanley Smith Barney IRA Account	B	Interest	K	T					
150. Citi Bank Deposit - Cash	A	Interest	J	T					
151. -DTE Energy	A	Dividend	J	T					
152. -Ebay		None	J	T					
153. Pay Pal (X)		None	J	T			J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 15

Name of Person Reporting

Horn, Marian B.

Date of Report

09/16/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. QQQ-NASDAQ 100 ETF (X)	A	Dividend	J	T			J	B	
155. -Templeton Emerg Markets Fund	A	Dividend			Sold (part)	12/22/16	J	B	
156.					Sold	12/23/16	J	A	
157. (H) Morgan Stanley Smith Barney Brokerage Account		None	J	T					
158. -Citibank NA So. Dakota Bank Deposit Program	A	Interest	J	T					
159. Stamp Collection		None	N	T					
160. Residential Property, Washington, DC	D	Rent	N	W					
161. Residential Property, Ft Lauderdale Fla (X)	D	Rent	O	W					
162. -Marshall Prime Money Market CLI	A	Interest			Merged (with line 12)	07/27/16	L		
163. The Carbon Project		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 15

Name of Person Reporting

Horn, Marian B.

Date of Report

09/16/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

Horn, Marian B.

Date of Report

09/16/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Marian B. Horn**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544