

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Benton, William D.	2. Court or Organization U.S. Court of Appeals, 8th Cir	3. Date of Report 04/17/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U.S. Courthouse 400 E. 9th St. Kansas City MO 64106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Freedom's Frontier National Heritage Area
2.	Trustee	Trust #1
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2014	State of Missouri - judicial retirement	\$71,526.32
2. 2014	St. Louis University - law school teaching	\$1,500.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Emory University Law School	Oct. 11-12, 2014	Atlanta GA	judge moot court	transportation, meals and hotel
2. American Legion	Sep. 26-28, 2014	Indianapolis IN	meeting of civic organization	transportation, meals and hotel
3. Defense Research Institute	July 17-18, 2014	Chicago IL	judge moot court at CLE	transportation, meals and hotel
4. Rotary International - Kansas City Club	May 29 - June 4, 2014	Sydney Australia	meeting of civic organization	transportation, meals and hotel
5. Federal Judges Association	May 4-7, 2014	Washington DC	meeting of judges association	transportation, meals and hotel

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6.	Cornell University Law School	April 11-13, 2014	Ithaca NY	judge moot court	transportation, meals and hotel
7.	Pepperdine Law School	Mar. 12-16, 2014	Malibu CA	teach at Federal Clerkship Institute	transportation, meals and hotel
8.	St. Louis University Law School	Feb. 21-22, 2014	St. Louis MO	law school teaching	transportation, meals and hotel
9.	St. Louis University Law School	Jan. 31- Feb. 1, 2014	St. Louis MO	law school teaching	transportation, meals and hotel
10.	Rotary International - Kansas City Club	Jan. 26-Jan. 29, 2014	Memphis TN	meeting of civic organization	transportation, meals and hotel

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Equifax Inc. common stock	B	Dividend	L	T					
2. GE common stock	A	Dividend	J	T					
3. Federated Clover Value Fund A	A	Dividend	J	T					
4. Oppenheimer Main Street Fund A	A	Dividend	J	T					
5. Wells Fargo Emerging Growth Fund A (formerly "Small Cap")	B	Distribution	K	T					
6. US Bancorp common stock	A	Dividend	J	T					
7. MOST 529 plan fund #1-Vanguard Aggressive Growth Portfolio		None	J	T					
8. MOST 529 plan fund #2-Vanguard Interest Accumulat'n Portfoli	A	Interest	J	T					
9. AIG American General universal life policy	B	Interest	K	T					
10. IRA # 1	B	Int./Div.	L	T					
11. - Hewlett Packard common stock									
12. - Wells Fargo (formerly Wachovia) Bank deposit program									
13. - Blackrock Energy & Resources Portfolio C									
14. - American Capital Income Builder Fund C									
15. - Lord Abbett Bd-Deb C									
16. IRA # 2	B	Int./Div.	L	T					
17. - WalMart common stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. - Hewlett Packard common stock									
19. - Federated Clover Value Fund A									
20. - American International Group Inc. common stock									
21. - Peabody Energy Corp. common stock									
22. - Wells Fargo Co. (formerly Wachovia Corp New) common stock									
23. - Blackrock Energy & Resources Portfolio C									
24. - Wells Fargo (formerly Wachovia) Bank deposit program									
25. - Federated Strategic Value Fund C									
26. - Federated Investors Bond Fund C									
27. Pfizer Inc. common stock	A	Dividend	J	T					
28. Sirius XM Holdings Inc. (formerly Sirius Satellite Radio) common stock	A	Dividend			Sold	10/03/14	J		
29. Blackrock Global Allocation Funds A (formerly B)	B	Dividend			Sold (part)	06/26/14	K	D	
30.					Sold	10/03/14	K	D	
31. Chimera Investment Corp.	A	Dividend	J	T					
32. Federated High Income Bond Fund class C	B	Dividend	K	T					
33. Lord Abbett High Yield Fund class C - LHYCX	A	Distribution			Sold	06/26/14	K	C	
34. Lord Abbett High Yield Muni Bond Fund - HYMCX	A	Int./Div.	K	T	Buy	06/26/14	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Nuveen High Yield Municipal Bond Fund class C	A	Int./Div.	K	T					
36. Oppenheimer Global Strategic Income Fund C	A	Dividend	K	T					
37. American International Group Inc. common stock	A	Dividend	L	T					
38. Clearbridge Energy MLP Total Return Fund Inc.	A	Distribution	J	T					
39. Chambers street PPTYS - CSG	A	Dividend	K	T	Buy	06/27/14	K		
40. Calamos Invt Tr New Evolving Worlds Growth class C - CNWDX	A	Dividend	K	T	Buy	06/26/14	K		
41. Federated Kaufmann Large Cap FD class C - KLCCX	A	Dividend	K	T	Buy	06/26/14	K		
42. Federated Global Alloc FD class C - FSBCX	C	Distribution	K	T	Buy	10/03/14	K		
43. First Eagle Sogen Global Fd class C - FESGX	A	Distribution	K	T	Buy	06/26/14	K		
44. Federated Intl Strategic Value Div FD class C - IVFCX	A	Dividend	J	T	Buy	09/19/14	J		
45. Ivy Fds Inc asset Strategy FD class C - WASCX	C	Distribution	K	T	Buy	06/26/14	K		
46. Lord Abbett Intl Div Income FD class C - LIDCX	A	Dividend	J	T	Buy	09/19/14	J		
47. Nuveen Municipal Trust High Yield Mun Bond Fd class C - NHCCX	A	Dividend	K	T	Buy	03/05/14	K		
48. Putnam Fds Tr Capital Spectrum Fd class C PVSCX	A	Dividend	K	T	Buy	06/26/14	K		
49. Nuveen All Cap Energy MLP Opportunities Fd - JMLP	A	Dividend	J	T	Buy	06/26/14	J		
50. American Balanced Fund Cl A (X)		None	K	T					
51. Capital Income Builder Fund A (X)		None	L	T					

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52. Income Fund of America Fund A (X)		None	L	T					
53. American Funds Global Balanced Fund (X)		None	K	T					
54. Trust #1 (X)	E	Int./Div.	O	T					
55.					Distributed (part)	12/01/14	M		
56.					Distributed (part)	12/04/14	M		
57.					Distributed (part)	12/29/14	M		
58. - Edward Jones money-market fund									
59. - American Balanced Fund CI A									
60. - Capital Income Builder Fund A									
61. - Franklin Balanced Fund CI A									
62. - Income Fund of America Fund A									
63. - American Funds Global Balanced Fund					Buy	01/08/14	M		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The Vanguard Aggressive Growth Portfolio, listed on line 7 of Part VII, invests in two stock funds: (1) Vanguard Institutional Total Stock Market Index Fund (70%), and Vanguard Total International Stock Index Fund (30%). This allocation is made by the MOST plan, not by me.

The Vanguard Interest Accumulation Portfolio, listed on line 8 of Part VII, directs all its assets into Vanguard Short Term Reserves Account, which, in turn, invests in (1) Vanguard Prime Money Market Fund, and (2) funding agreements issued by one or more insurance companies, banks, and other issuers. This allocation is made by the MOST plan, not by me, and I do not know any specifics of item (2).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ William D. Benton

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544