

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Huennekens, Kevin R.	2. Court or Organization Virginia - Bankruptcy Court	3. Date of Report 05/15/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 701 East Broad Street Fifth Floor Richmond, VA 23219-1888		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Wage/Scholarship Virginia Commonwealth University - Graduate Assistant
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Tidewater Bankruptcy Bar Association	February 3-4, 2011	Norfolk, VA	Faculty for legal educational seminar	Meals & Hotel Room
2. Northern Virginia Bankruptcy Bar Association	February 16-17, 2011	Fairfax, VA	Faculty for legal educational seminar	Transportation, Meal, Hotel Room
3. American College of Bankruptcy	March 17-19, 2011	Washington, DC	Attend annual meeting	Hotel Room
4. National Conference of Bankruptcy Judges	April 2-6, 2011	Desert Springs, CA	Attend mid-year meeting	Transportation, Meal, Hotel Room
5. American Bankruptcy Institute	September 15-16, 2011	Washington DC	Faculty for legal educational seminar	Meals & Hotel Room

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6.	Virginia Bar Association	January 21-22, 2011	Williamsburg, VA	Faculty for legal educational seminar	Transportation, Meals, Lodging
7.	Virginia State Bar	June 16-17, 2011	Virginia Beach, VA	Faculty for legal educational seminar	Meals & Hotel Room
8.	Virginia State Bar (CLE)	September 22 - 24, 2011	Chalottesville, VA	Faculty for legal educational seminar at MidAtlantic Bankruptcy Institute	Meals, Hotel Room
9.	National Conference of Bankruptcy Judges	October 11-15, 2011	Tampa, FL	Attend board meeting at nat'l conference & faculty for legal educational seminar	Transportation, Hotel Room

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo Advisors	Margin Loan	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. MO Common Stock	A	Dividend	J	T					
2. PM Common Stock	A	Dividend			Sold	10/27/11	K	D	
3. KFT Common Stock	A	Dividend			Sold	01/05/11	J	B	
4. Northwestern Mutual adjustable Complife Policy	C	Dividend	L	T					
5. First Nat'l Bank of Omaha - Health Savings Account		None	J	T					
6. IRA IMLBX	A	Int./Div.	K	T					
7. Wachovia Bank Savings Account (now Wells Fargo)	A	Interest	J	T					
8. Suntrust Checking Account		None	J	T					
9. Wells Fargo Advisors Cap Account -	D	Int./Div.	M	T					
10. - cash and sweep ballances					Open	01/03/11	J		
11. - ABTYX					Sold	01/14/11	J		
12. - AIDAX					Sold	01/14/11	J		
13. - BISIX					Buy	01/12/11	J		
14.					Buy (add'l)	01/20/11	J		
15.					Sold	11/17/11	J		
16. - CGRIX					Buy	01/12/11	J		
17.					Buy (add'l)	01/20/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Sold	11/17/11	J		
19. - MALOX					Buy	01/10/11	K		
20.					Buy (add'l)	07/22/11	J		
21.					Sold	11/17/11	K		
22. - NMTAX					Sold	01/11/11	K		
23. - KDSIX					Sold	01/14/11	J		
24. - SCOBX					Sold	01/14/11	J	B	
25. - EADIX					Sold	01/14/11	J		
26. - EAIFX					Buy	01/11/11	K		
27.					Buy (add'l)	01/20/11	J		
28.					Sold	11/17/11	K		
29. - FKASX					Sold	01/11/11	J	C	
30. - FRVAX					Sold	01/14/11	J		
31. - FTXXX					Sold	01/11/11	J		
32. - FSMXX					Buy	01/12/11	J		
33.					Buy (add'l)	01/20/11	J		
34.					Sold	09/06/11	J		

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35. - FTIXX					Buy	09/06/11	J		
36.					Sold	11/17/11	J		
37. - ITHIX					Buy	01/12/11	J		
38.					Buy (add'l)	01/20/11	J		
39.					Sold	11/17/11	J		
40. - IVAEX					Buy	01/10/11	J		
41.					Buy (add'l)	01/20/11	J		
42.					Sold	11/17/11	J		
43. - KNPYX					Buy	01/12/11	J		
44.					Buy (add'l)	01/20/11	J		
45.					Sold	11/17/11	J		
46. - PNRZX					Sold	01/14/11	J	B	
47. - NHMRX					Sold	01/11/11	J		
48. - NVORX					Buy	01/12/11	J		
49.					Buy (add'l)	01/20/11	J		
50.					Sold	08/08/11	J		
51. - PAAIX					Buy	01/12/11	K		

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52.					Buy (add'l)	01/20/11	J		
53.					Sold	11/17/11	K		
54. - PTHPX					Buy	08/09/11	J		
55.					Sold	11/17/11	J	A	
56. - GBRIX					Sold	01/14/11	J		
57. - TEDMX					Sold	01/11/11	J		
58. - SGHIX					Buy	01/12/11	J		
59.					Buy (add'l)	01/20/11	J		
60.					Sold	11/17/11	J		
61. - TIBIX					Buy (add'l)	01/20/11	J		
62.					Sold	11/17/11	K	B	
63. - ARGYX					Buy	11/18/11	J		
64. - ARTVX					Buy	11/18/11	J		
65. - CISGX					Buy	11/18/11	J		
66. - DODFX					Buy	11/18/11	J		
67. - DNVYX					Buy	11/11/11	J		
68. - EITVX					Buy	11/18/11	J		

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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69. - FAARX					Buy	11/18/11	J		
70. - FTXXX					Buy	11/18/11	J		
71. - HWMIX					Buy	11/18/11	J		
72. - MEDIX					Buy	11/18/11	J		
73. - MMHYX					Buy	11/18/11	J		
74. - OIBYX					Buy	11/18/11	J		
75. - OIGYX					Buy	11/18/11	J		
76. - PCRIX					Buy	11/18/11	J		
77. - PNMFX					Buy	11/18/11	J		
78. - PRSMX					Buy	11/18/11	J		
79. - PYODX					Buy	11/18/11	J		
80. - RSENX					Buy	11/18/11	J		
81. - TGSCX					Buy	11/18/11	J		
82. - TWGIX					Buy	11/18/11	J		
83. IRA (Account 1)	E	Int./Div.	N	T					
84. - cash and sweep ballances									
85. - IJR					Buy (add'l)	01/12/11	J		

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86.					Sold (part)	11/17/11	J	C	
87. - CIU					Sold (part)	10/17/11	J	A	
88. - LQD					Sold (part)	08/08/11	J	A	
89.					Sold (part)	11/17/11	J	A	
90. - TLH					Sold	01/19/11	J	A	
91. - CSJ					Sold (part)	11/17/11	J	A	
92. - MBB					Sold (part)	11/17/11	J	A	
93. - IEF					Sold (part)	08/10/11	J	A	
94.					Sold (part)	11/17/11	J	A	
95. - EFA					Sold (part)	11/17/11	J		
96. - EWM					Sold (part)	11/17/11	J		
97. - SHY					Sold (part)	11/17/11	J	A	
98. - DBC					Sold (part)	11/17/11	J	C	
99. - JNK					Sold (part)	11/17/11	J	A	
100. - XES					Sold	01/10/11	J	A	
101. - VWO					Sold (part)	09/27/11	J	A	
102.					Sold (part)	11/17/11	J		

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103.					Sold (part)	01/12/11	J	C	
104. - RYH					Sold	06/08/11	J	B	
105. - VEA					Sold (part)	11/17/11	J	B	
106. - IJH					Sold (part)	10/19/11	J	B	
107.					Sold (part)	06/03/11	J	C	
108.					Sold (part)	11/17/11	K	D	
109. - SPY					Sold (part)	10/19/11	J	B	
110.					Sold (part)	11/17/11	J	B	
111. - PFF					Sold (part)	11/07/11	J	A	
112.					Sold	11/09/11	J	A	
113. - RYT					Sold	09/27/11	J	A	
114. - XLU					Sold (part)	11/17/11	J	A	
115. - XLF					Sold (part)	05/19/11	J	A	
116.					Sold (part)	11/17/11	J		
117. - XLV					Sold (part)	11/17/11	J		
118. - XLY					Sold (part)	11/17/11	J	B	
119.					Sold (part)	02/07/11	J	A	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - XLI					Sold (part)	11/17/11	J	A	
121. - VNQ					Sold (part)	11/17/11	J	B	
122. - EWA					Sold	03/15/11	J		
123. - VOX					Sold (part)	11/17/11	J	A	
124. - XLP					Sold (part)	02/07/11	J	A	
125.					Sold (part)	11/17/11	J	A	
126. - XPH					Sold	06/08/11	J	C	
127. - EWS					Sold	05/05/11	J	A	
128. - PXQ					Sold	09/27/11	J	A	
129. - VGT					Sold (part)	03/15/11	J	A	
130.					Sold (part)	07/01/11	J	B	
131.					Sold (part)	11/17/11	J	B	
132. - XLB					Sold (part)	11/17/11	J	A	
133. - XLE					Sold (part)	11/17/11	J	B	
134. - IWM					Sold	06/03/11	J	A	
135. - BND					Buy	10/19/11	J		
136.					Buy (add'l)	11/10/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	11/17/11	J	B	
138. - EWU					Buy	06/03/11	J		
139.					Sold (part)	11/17/11	J	A	
140. - XLG					Buy	08/10/11	J		
141.					Sold (part)	11/17/11	J	A	
142. - XSD					Buy	02/07/11	J		
143.					Sold	09/26/11	J		
144. - XBI					Buy	06/08/11	J		
145.					Sold	09/23/11	J		
146. - EWJ					Buy	02/25/11	J		
147.					Sold	03/15/11	J		
148. IRA (account 2)	A	Int./Div.	M	T					
149. - cash and sweep ballances					Open	12/01/11	K		
150. - AGG					Buy	12/01/11	K		
151. - IEF					Buy	12/01/11	J		
152. - SHY					Buy	12/01/11	J		
153. - HYG					Buy	12/01/11	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Huennekens, Kevin R.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - LQD					Buy	12/01/11	K		
155. - DBC					Buy	12/01/11	J		
156. - DBP					Buy	12/01/11	J		
157. - UUP					Buy	12/01/11	J		
158. - XLY					Buy	12/01/11	J		
159. - PSQ					Buy	12/01/11	J		
160. - XPH					Buy	12/01/11	J		
161. - SDY					Buy	12/01/11	J		
162. - SH					Buy	12/01/11	K		
163. Trift Saving Plan	D	Int./Div.	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII - Line 9 - Entry isted in Column A is a header. Each asset held in this account is listed seperately at lines 10 through 82.

Part VII - Line 83 - Entry isted in Column A is a header. Each asset held in this account is listed seperately at lines 84 through 147.

Part VII - Line 148 - Entry isted in Column A is a header. Each asset held in this account is listed seperately at lines 149 through 162.

Part VII - Line 148 - [REDACTED] IRA (account 2) was opened at Wells Fargo in December 2011 with ptoceeds tmasfered from [REDACTED] IRA (account 1).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kevin R. Huennekens**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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