

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Chen, Edward M.	2. Court or Organization U.S. District Court, N.D. California	3. Date of Report 12/16/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. Federal Courthouse 450 Golden Gate Avenue, 16th Floor San Francisco, CA 94102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Employee	Trust #2
2. Trustee	Trust #2
3. Trustee	Trust #3
4. Trustee	Trust #4
5. Trustee	Trust #5
6. Trustee	Trust #8
7. Trustee	Trust #16
8. Trustee	Trust #17
9. Trustee	Trust #18
10. Trustee	Trust #19
11. Trustor (Erroneously reported as Trustee in prior Report)	Trust #20
12. Officer & Director	Realty Co. #1
13. Manager	Limited Liability Co. #1
14. Manager	Limited Liability Co. #2
15. Manager	Limited Liability Co. #3
16. Manager	Limited Liability Co. #4

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17. Manager

Limited Liability Co. #5

18. Manager

Limited Liability Co. #6

19. Manager

Limited Liability Co. #7

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*☐NONE *(No reportable agreements.)*DATEPARTIES AND TERMS

1. 1991

ACLU Employees - Defined Benefit Pension Plan, no control

2.

3.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Trust #2 (salary)	\$23,436.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Graduate Institute of Technology, Innovation and Intellectual Property Management of	1/13/2013 - 1/19/2013	Tapei, Taiwan	Mock Court Judging	Transportation, Lodging, Food
2. National ChengChi University				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Savings Bank	Co-signer on mortgage condominium investment	O
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Trust #2 (Trustee	A	Rent	P1	U					
2.		Interest							
3. -Interfamily note (from Trust #8)									
4. -Shares of Cooperative Apartment (San Francisco, CA)					Sold	05/17/13	P1	H1	David Hansen and
5.									Patricia King
6. -Bank of America (accounts)									
7. -First Republic Bank (account)									
8. -Sterling Bank & Trust (account)									
9. -Aegon NV stock									
10. -Hilton Hotels stock (erroneously included in prior Report)					Sold	10/25/07	K	E	
11. -California State bonds (erroneously included in prior Report)					Redeemed	09/01/07	L	A	
12. -Gateway Bank (account) (now Cathay Bank; branch acquired by					Closed	05/21/13	M	B	
13. Cathay Bank)									
14. ██████████ (account)									
15. -East-West Bank (accounts)					Closed	03/04/13	L	B	
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Limited Partnership #3 [REDACTED]	A	Rent	M	U					
19. [REDACTED]		Interest							
20. -Commercial Property #3 (San Francisco, CA)									
21. -Bank of America (accounts)									
22. -First Republic Bank (account)									
23. -Condominium #1 (Kohala Coast, HI)									
24. [REDACTED] LLC									
25. -Commercial Property (Salt Lake City, UT) (erroneously included in					Sold	08/31/12	M	A	Laurence Meyer and
26. 2013 Report)									Therese Mayer
27. -Sterling Bank & Trust (account)									
28. -Gateway Bank (account) (now Cathay Bank; branch acquired by									
29. Cathay Bank)									
30.									
31. Limited Partnership #5 [REDACTED]	E	Rent	N	U					
32. [REDACTED]		Interest							
33. -Commercial Property #4 (Sacramento, CA)									
34. -Bank of America (accounts)									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -First Republic Bank (account)									
36. -East-West Bank (account)									
37. -Gateway Bank (account) (now Cathay Bank; branch acquired by									
38. Cathay Bank)									
39. -Sterling Bank & Trust (account)									
40. -Limited Liability Company #2 [REDACTED]									
41. -Commercial Property #8 (San Rafael, CA)									
42. -Bank of America (account)									
43. -Wells Fargo Bank (account)									
44. -First Republic Bank (account)									
45. -Sterling Bank & Trust (account)									
46. -East-West Bank (account)									
47.									
48. Realty Co. #1 [REDACTED] (stock)	A	Dividend	N	U					
49.		Distribution							
50. -Bank of America (accounts)									
51. -Sterling Bank & Trust (account)									

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52. -East-West Bank (account)									
53. -Note (Lake Tahoe Development Co.)							L	A	SETTLED with Randy Lane
54.									
55. Bay Area Hotel Associates Limited Partnership	D	Dividend	K	W					
56.									
57. Life Insurance Policies - New York Life Ins. Co.	A	Interest	J	T					
58.									
59. Trust #4 (Trustee only)	A	Rent	P1	U					
60.		Interest							
61. -Limited Partnership #3 [REDACTED]									
62. [REDACTED]									
63. -Limited Partnership #5 [REDACTED]									
64. [REDACTED]									
65. -Limited Liability Co. #1 [REDACTED]									
66. -Limited Partnership #1 [REDACTED]									
67. [REDACTED]									
68. -Limited Liability Co. #5 [REDACTED]									

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69. -Bank of America (accounts)									
70. -Limited Liability Co. #7 [REDACTED], [REDACTED]									
71. -Commercial Property #8 (Sacramento, CA)									
72. -Bank of America (accounts)									
73. -Sterling Bank & Trust (account)									
74. -Limited Liability Co. #3 [REDACTED] [REDACTED]									
75. -Sterling Bank & Trust (account)									
76. -First Republic Bank (accounts)									
77. [REDACTED] LLC									
78. [REDACTED] LLC									
79. -Bank of America (account)									
80. -East-West Bank (account)									
81. -Commercial Condominium (San Francisco, CA)									
82.									
83. Trust #5 (Co-Trustee only)	A	Rent	O	U					
84.		Interest							
85. -Limited Liability Co. #3 [REDACTED] [REDACTED]									

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86. -Limited Liability Co. #7 [REDACTED] L [REDACTED]									
87. -Limited Partnership #2 [REDACTED] [REDACTED]									
88. -Commercial Property #2 (San Francisco, CA)									
89. -Bank of America (accounts)									
90. -First Republic Bank (account)									
91. -Sterling Bank & Trust (account)									
92. [REDACTED] LLC (account)									
93. -East-West Bank (accounts)									
94. -J.P. Morgan Chase (bond)									
95. -Goldman Sachs Group (erroneously omitted from 2012 Report)	A	Interest	M	T	Buy	08/27/12	M		
96. -Goldman Sachs Group					Redeemed	05/16/13	M	A	
97. -Gateway Bank (account) (now Cathay Bank; branch acquired by									
98. Cathay Bank)									
99. -East-West Bank (account)									
100.									
101. Merrill Lynch - IRA	A	Dividend	M	T					
102. -Account - Merrill Lynch									

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103. -Calvert Social Investment Fund Balanced Portfolio									
104. -Ariel Appreciation Fund									
105. -Pimco All Asset									
106. -Franklin U.S. Government Series Class A									
107. -Calvert Social Index					Buy	12/19/13	K		
108.									
109. Merrill Lynch - IRA	A	Dividend	K	T					
110. -Account - Merrill Lynch									
111. -Calvert Social Investment Fund Balanced Portfolio									
112. -Pimco All Asset									
113. -Franklin U.S. Government Series Class A									
114. -Calvert Enhance Equity									
115.									
116. Lincoln Financial Group (403(b))	A	Dividend	L	T					
117. -LVIP UBS Global Asset Allocation									
118. -LVIP Delaware Social Awareness									
119.									

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120. Bank of America (accounts)	C	Interest	M	T					
121.									
122. Sterling Bank & Trust (accounts)	A	Interest	K	T					
123.									
124. Gateway Bank (account) (now Cathay Bank; branch acquired by	A	Interest	N	T					
125. Cathay Bank)									
126.									
127. Trust #3 (Revocable trust - all income and assets already									
128. reported herein)									
129.									
130. Trust #16 (Trustee only)	A	Rent	P1	U					
131.		Interest							
132. -Limited Partnership #2 [REDACTED]									
133. -Bank of America (account)									
134. -Sterling Bank & Trust (account)					Open	08/13/13	L		
135.									
136. Limited Liability Co. #4 [REDACTED]	D	Rent	M	U					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.		Interest							
138. -Limited Partnership #1 [REDACTED]									
139. Limited Partnership #2 [REDACTED]									
140. -Limited Partnership #3 [REDACTED]									
141. -Limited Partnership #5 [REDACTED]									
142. -Bank of America (account)									
143.									
144. Condominium #2 (Kohala Coast, HI)	A	Rent			Sold	03/15/13	M	A	John Howe and
145.									Jean Howe Trust
146.									
147. Condominium #3 (Kohala Coast, HI)	A	Rent			Sold	01/24/13	N	A	James Flynn and
148.									Kathryn Flynn
149.									
150. Limited Liability Co. #6 [REDACTED]	F	Rent	M	U					
151.		Interest							
152.									
153. -Condominium #4 (Kohala Coast, HI)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Provident Credit Union (account)									
155. -Ally Bank (account)									
156. -Bank of America (account)									
157. -First Republic Bank (account)									
158. -East-West Bank (account)									
159. -Condominium #5 (Maui, HI)									
160. -Commercial Condominium (San Francisco, CA)									
161. -Sterling Bank & Trust (account)									
162.									
163. Limited Liability Co. #7 [REDACTED] (Manager only)	A	Rent	P1	U					
164.									
165. [REDACTED] LLC	A	Dividend	N	U					
166.									
167. Trust #17	E	Rent	P1	U					
168.		Interest							
169. -Commercial Property #11 (Petaluma, CA)					Buy	06/14/13	P1		Patrick Easley
170. -East-West Bank (account)									

1. Income Gain Codes: (See Columns B1 and D4)	A - \$1,000 or less F - \$50,001 - \$100,000	B - \$1,001 - \$2,500 G - \$100,001 - \$1,000,000	C - \$2,501 - \$5,000 H1 - \$1,000,001 - \$5,000,000	D - \$5,001 - \$15,000 H2 - More than \$5,000,000	E - \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J - \$15,000 or less N - \$250,001 - \$500,000 P3 - \$25,000,001 - \$50,000,000	K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000	L - \$50,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000 P4 - More than \$5,000,000	M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q - Appraisal U - Book Value	R - Cost (Real Estate Only) V - Other	S - Assessment W - Estimated	T - Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -Bank of America (account)					Open	06/05/13	J		
172.									
173. Trust #18	G	Interest	P1	U					
174.		Distribution							
175. -East-West Bank (account)									
176. -Sterling Bank & Trust (account)					Open	05/28/13	M		
177. -Gateway Bank (account) (now Cathay Bank; branch acquired by					Open	06/03/13	M		
178. Cathay Bank)									
179. -First Republic Bank					Open	06/21/13	M		
180.									
181. Trust #19	H1	Rent	O	U					
182.		Interest							
183. -Limited Liability Co. #1 [REDACTED], [REDACTED]									
184. -Commercial Building #9 (San Francisco, CA)									
185. -Commercial Building #10 (San Francisco, CA)									
186. -Bank of America (accounts)									
187. -First Republic Bank (account)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -Sterling Bank & Trust (account)									
189. -East-West Bank (account)									
190. -Commercial Condominium (San Francisco, CA)									
191.									
192. Trust #20 [REDACTED]	G	Rent	P1	U					
193.		Interest							
194. -Limited Liability Co. #1 [REDACTED]									
195. -Limited Partnership #3									
196. -Limited Liability Co. #5									
197. -Commercial Property #1 (San Francisco, CA)									
198. -Bank of America (accounts)									
199. -First Republic Bank (account)									
200. -Sterling Bank & Trust (account)									
201. -Limited Liability Co. #6									
202. -Limited Liability Co. #3									
203. -Commercial Property #7 (Sacramento, CA)									
204. -Bank of America (accounts)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

205. -First Republic Bank (account)									
206. -Limited Liability Co. #2 [REDACTED]									
207. -East-West Bank (account)									
208. -Sterling Bank & Trust (account)									
209. -Gateway Bank (account) (now Cathay Bank; branch acquired by									
210. Cathay Bank)									
211. -Bank of America (account)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Edward M. Chen**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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