

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Tharp, John J.	2. Court or Organization District Court, Northern District of Illinois	3. Date of Report 05/14/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address United States District Court Everett McKinley Dirksen United States Courthouse 219 S. Dearborn Street Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Partner	Mayer Brown LLP
2.	Co-Trustee	Trust #1
3.	Member	Law Fund Board, Northwestern University School of Law
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	2012	Mayer Brown LLP - Payment of remaining compensation due through June 1, 2012 (last day with firm; final amount payable in 2013)
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 120

Name of Person Reporting

Tharp, John J.

Date of Report

05/14/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Mayer Brown LLP, Partnership Income (prior to judicial appointment)	\$255,046.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Janus & Associates, salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 120

Name of Person Reporting

Tharp, John J.

Date of Report

05/14/2013

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.	Mayer Brown LLP	Reception following Investiture Ceremony	\$2,619.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	United Pacific Life	Loan #1	K
2.	United Pacific Life	Loan #2	K
3.	JP Morgan Chase	Credit Card	K
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 120

Name of Person Reporting

Tharp, John J.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. American Funds EuroPacific Growth R6 Fund	A	Int./Div.			Sold	10/19/12	M		
2. AT&T (common stock)	D	Dividend	M	T					
3. Bright Start 529 Plan Blended Age Based 18 Years		None	K	T					
4. CenterPoint Energy (common stock)	B	Dividend	K	T					
5. Coca-Cola (common stock)	A	Dividend	K	T					
6. Cohen & Steers Institutional Realty Shares	D	Dividend			Sold	10/19/12	N		
7. Columbia Strategic Investor Fund-Z	A	Dividend	J	T					
8. DuPont (common stock)	B	Dividend	L	T					
9. Fidelity Blue Chip Growth Fund	A	Dividend	K	T					
10. Fidelity Independence Fund	A	Dividend	M	T					
11. General Electric (common stock)	A	Dividend	K	T					
12. Harley-Davidson (common stock)	A	Dividend	K	T					
13. Hershey Foods (common stock)	B	Dividend	L	T					
14. Home Depot (common stock)	A	Dividend	J	T					
15. IBM (common stock)	C	Dividend	N	T					
16. JP Morgan Chase Bank Accounts	A	Interest	N	T					
17. Mayer Brown LLP Partnership Capital Account	C	Interest			Distributed	06/01/12	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 120

Name of Person Reporting

Tharp, John J.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Mayer Brown LLP Partnership Retirement Plan (no control)	D	Interest			Distributed	11/01/12	O		
19. Merrill Lynch Bank Deposit Program	A	Interest	J	T					
20. Northwestern Mutual Insurance Policies (Whole Life)	C	Dividend	M	T					
21. Pfizer (common stock)	C	Dividend	L	T					
22. Prudential Jennison Small Company Fund	A	Dividend			Sold	10/19/12	M		
23. Reliant Resources (common stock) (nka NRG Energy)	A	Dividend	J	T					
24. United Pacific Life Ins. (Universal Life; no control)	D	Dividend	M	T					
25. U.S. Savings Bonds		None	K	T					
26. Vanguard Dividend Growth Fund	A	Dividend	K	T					
27. Vanguard Energy Fund	B	Dividend	K	T					
28. Vanguard 500 Index Fund	A	Dividend	K	T					
29. Vanguard Information Tech ETF	A	Dividend	K	T					
30. Vanguard Institutional Index Fund	B	Dividend			Sold	10/19/12	M		
31. Vanguard International Value Fund	B	Int./Div.	M	T					
32. Vanguard Long-Term Tax Exempt Bond Fund	B	Dividend	K	T					
33. Vanguard S&P 500 Index Fund	C	Dividend	M	T					
34. Vanguard Target Retirement 2025 Fund	A	Dividend			Sold	10/19/12	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 120

Name of Person Reporting

Tharp, John J.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Walgreen Co. (common stock)	A	Dividend	K	T					
36. Wal-Mart (common stock)	B	Dividend	K	T					
37. Walt Disney (common stock)	B	Dividend	K	T					
38. IRA #1	C	Int./Div.	M	T					
39. --Vanguard Total International Stock Index Fund			L	T					
40. --Vanguard Total Stock Market Index Fund			L	T					
41. --Vanguard Total Bond Market Index Fund			K	T					
42. IRA #2	A	Int./Div.	K	T					
43. --Vanguard Total International Stock Index Fund			L	T					
44. --Vanguard Total Stock Market Index Fund			L	T					
45. --Vanguard Total Bond Market Index Fund			K	T					
46. IRA #3	B	Dividend	M	T					
47. --Fidelity Magellan Fund									
48. IRA #4	A	Int./Div.	K	T					
49. --500 Index Fund									
50. IRA #5	D	Int./Div.	P1	T	Open	10/22/12	P1		
51. --CIVIX - MFO Causeway Cap Mgmt Tr Intl Value FD Instl Cl									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 120

Name of Person Reporting

Tharp, John J.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. --DFCEX - MFO DFA Invt. Dimensions Group Inc Emerging Mkts									
53. --DFEVX - MFO DFA Emerging Markets Value									
54. --DFITX - MFO DFA Invt Dimensions Group Inc Intl Real Estate									
55. --DFREX - MFO DFA Invt Dimensions Group Inc. Real Estate									
56. --DVY - MFC IShares TR Dow Jones Select Divid Index Fund									
57. --GLD - MFC SPDR Gold TR Gold Shares									
58. --GUNR - MFC Flexshares TR Morningstar Global Upstream NRIF									
59. --HLMIX - MFO Harding Loevner FDS Inc. Intl Equity Portfolio									
60. --NOMIX - MFB Northern Mid Cap Index Fund									
61. --NORXX - MFB Northern Funds Money Market Fund									
62. --NOSIX - MFB Northern Funds Stock Index Fund									
63. --NSICX - MFB Northern Funds Small Cap Index Fund									
64. --PHIYX - MFO Pimco Funds Pac Invt Mgmt Ser HY Instl CL									
65. --PTTRX - MFO Pimco Funds Pac Invt Mgmt Ser Total Return FD									
66. --TDTF - MFC Flexshares Tr IBOXX 5 Yr Tgt Duration TIPS Indx									
67. Trust #1	A	Dividend	K	T					
68. --The Dreyfus Fund					Sold (part)	03/01/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 120

Name of Person Reporting

Tharp, John J.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.					Sold (part)	05/29/12	J	A	
70.					Sold (part)	07/12/12	J	A	
71. Trust #2	E	Dividend	O	T					
72. --Allstate (common)									
73. --American Electric Power (common)									
74. --Anadarko Petroleum (common)									
75. --AT&T (common)									
76. --Bank of America (common)									
77. --Beam, Inc.(common)									
78. --Blackrock Global Allocation C									
79. --BP Amoco (ADR)									
80. --Conoco Phillips (common)									
81. --Dow Chemical (common)									
82. --Duke Energy (common)									
83. --DuPont (common)									
84. --Exelon (common)									
85. --Exxon (common)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 120

Name of Person Reporting

Tharp, John J.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. --Fortune Brands Home & Security (common)									
87. --Heinz (common)									
88. --Hershey (common)									
89. --Ivy Asset Strategy					Buy (add'l)	2/10/12	J		
90. --JP Morgan Chase Cash Accounts									
91. --Lincoln National Group (common)									
92. --Merck (common)									
93. --Monsanto (common)									
94. --Pfizer (common)									
95. --Phillips 66 (common)									
96. --Spectra Energy (common)									
97. --Walgreen's (common)									
98. Trust #3	D	Dividend	M	T					
99. --3-M (common)									
100. --Allstate (common)									
101. --Bank of America (common)									
102. --Dow Chemical (common)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 120

Name of Person Reporting

Tharp, John J.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. --Exelon (common)									
104. --Exxon (common)									
105. --IBM (common)									
106. --JP Morgan Chase (common)									
107. --JP Morgan Chase Cash Account									
108. --Lincoln National Corp. (common)									
109. --Pfizer (common)									
110. --Sherwin Williams (common)									
111. Trust #4	E	Dividend	O	T					
112. --3-M (common)									
113. --Exxon Mobil (common)									
114. --McDonald's (common)									
115. --JP Morgan Chase Cash Account									
116. Trust #5	B	Int./Div.	M	T					
117. --JP Morgan Chase Cash Account									
118. --25% of Moles Family Partnership									
119. ----Accentrue PLC Ireland					Sold	2/27/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 120

Name of Person Reporting

Tharp, John J.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. ---ACE Ltd. (common) (Y)									
121. ---Aetna inc. (common) (Y)									
122. ---Agrium Inc. (common) (Y)									
123. ---Air Products & Chemicals Inc. (common) (Y)									
124. ---Alcon Inc. (common) (Y)									
125. ---Allergan Inc. (common)					Sold	07/16/12	J	A	
126. ---Altria Group Inc. (common)					Sold	07/16/12	J	B	
127. ---Amazon.com Inc. (common)					Sold	06/08/12	J	B	
128. ---Ameriprise Financial Inc. (common) (Y)									
129. ---Anadarko Petroleum Corp. (common)					Sold	07/16/12	J	A	
130. ---Apple Inc. (common)					Sold (part)	3/6/12	J	B	
131.					Sold (part)	06/18/12	J	C	
132.					Sold (part)	07/16/12	K	D	
133. ---Arcelormittal-NY Registered (Y)									
134. ---Archer-Daniels-Midland Co. (common) (Y)									
135. ---Astrazeneca PLC (common)					Sold	07/16/12	J	A	
136. ---AT&T Inc. (common)					Sold	07/16/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 120

Name of Person Reporting

Tharp, John J.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. ---Bank of America Corp. (common) (Y)									
138. ---BB&T Corp. (common) (Y)									
139. ---Bernstein Tax-Managed Int'l Portfolio					Sold	07/16/12	M	A	
140. ---Berstein Emerging Markets Portfolio					Sold	07/16/12	K	D	
141. ---Borg Warner Automotive (common) (Y)									
142. ---Borg Warner Inc.(common)					Sold (part)	4/20/12	J	A	
143.					Sold	07/16/12	J	A	
144. ---BP PLC (Sponsored ADR)					Sold	07/16/12	J	A	
145. ---Broadcom Corp. (common)					Sold (part)	4/26/12	J	A	
146.					Sold	06/18/12	J	A	
147. ---Bunge Ltd. (common) (Y)									
148. ---Cablevision Systems Corp. (common) (Y)									
149. ---Cameron International Corp. (common) (Y)									
150. ---Carnival Corp. (common) (Y)									
151. ---CB Richard Ellis Group Inc.-A					Sold	07/09/12	J	A	
152. ---CBS Corp.--Class B Non-Voting (Y)									
153. ---Celgene Corp. (common)					Sold	2/27/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 120

Name of Person Reporting

Tharp, John J.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. ----Citrix Systems Inc. (common)					Sold	07/16/12	J	A	
155. ----Centrylink Inc. (common)					Sold (part)	06/12/12	J	A	
156.					Sold	07/16/12	J	A	
157. ----CF Industries Holdings Inc. (common) (Y)									
158. ----Cimarex Energy Co. (common) (Y)									
159. ----Cisco Systems Inc. (common)					Sold	07/16/12	J	A	
160. ----Citigroup Inc. (common)					Sold	07/16/12	J	A	
161. ----Cliffs Natural Resources Inc. (common) (Y)									
162. ---CME Group Inc. (common) (Y)									
163. ----CMS Energy Corp. (common)					Sold	3/1/12	J	A	
164. ----Comcast Corp. (common)					Sold (part)	06/12/12	J	A	
165.					Sold	07/16/12	J	A	
166. ----Comerica Inc. (common) (Y)									
167. ----Commercial Metals Co. (common) (Y)									
168. ----Community Health Systems Inc. (common) (Y)									
169. ----ConocoPhillips (common) (Y)					Sold (part)	1/11/12	J	A	
170. ----Constellation Brands Inc. (common)					Sold	5/15/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 120

Name of Person Reporting

Tharp, John J.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. ----Cooper Industries PLC (common) (Y)									
172. ----Corning Inc. (common)					Sold	5/24/12	J	A	
173. ----Costco Wholesale Corp. (common) (Y)									
174. ----Covidien PLC (common)					Sold	07/16/12	J	A	
175. ----Credit Suisse Group-Spon ADR (Y)									
176. ----Danaher Corp. (common)					Sold	07/16/12	J	B	
177. ----Dean Foods Co. (common) (Y)									
178. ----Deere & Co. (common) (Y)									
179. ----Dell Inc. (common)					Sold	2/21/12	J	A	
180. ----Delta Airlines Inc. (common)					Sold	07/16/12	J	A	
181. ----Deutsche Bank AG-Registered (Y)									
182. ----Devon Energy Corp. (common)					Sold	06/27/12	J	A	
183. ----DirecTV (common)					Sold	06/12/12	J	A	
184. ----Dollar General Corp. (common)					Sold	07/16/12	J	A	
185. ----Dow Chemical Co. (common)					Sold	3/27/12	J	A	
186. ----Dover Corp. (common) (Y)									
187. ----DTE Energy Co. (common) (Y)					Sold (part)	3/26/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 120

Name of Person Reporting

Tharp, John J.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. ----Du Pont (common) (Y)									
189. ----Eaton Corp. (common) (Y)									
190. ----EMC Corp Mass. (common)					Sold	06/18/12	J	B	
191. ----EOG Res. Inc. (common)					Sold	07/16/12	J	A	
192. ----Estee Lauder Companies Inc. (common)					Sold	07/16/12	J	A	
193. ----Emerson Electric Co. (common)					Sold	07/16/12	J	A	
194. ----Edison International (common) (Y)									
195. ----Enesco PLC-Spon ADR (Y)									
196. ----EOG Resources Inc. (common)					Sold	07/16/12	J	A	
197. ----Express Scripts Inc. (common)					Sold	5/17/12	J	A	
198. ----Exxon Mobil Corp. (common)					Sold	07/16/12	J	A	
199. ----FedEx Corp. (common) (Y)									
200. ----Fifth Third Bancorp. (common) (Y)									
201. ----Flowserve Corp. (common)					Sold (part)	4/19/12	J	A	
202.					Sold	06/29/12	J	A	
203. ----FMC Technologies Inc. (common)					Sold	5/17/12	J	A	
204. ----Ford Motor Co. (common) (Y)									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 120

Name of Person Reporting

Tharp, John J.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. ----Franklin Resources Inc. (common) (Y)									
206. ----Freeport-McMoran Copper (common)					Sold	6/1/12	J	A	
207. ----Gannett Co. Inc. (common)					Sold	07/16/12	J	A	
208. ----Gap Inc. (common) (Y)									
209. ----Garmin Ltd. (common) (Y)									
210. ----General Electric Co. (common)					Sold	07/16/12	J	A	
211. ----General Mills Inc. (common)					Sold	07/16/12	J	A	
212. ----General Motors Co. (common)					Sold	07/16/12	J	A	
213. ----Gilead Sciences Inc. (common)					Sold (part)	5/17/12	J	A	
214.					Sold	07/16/12	J	B	
215. ----Goldman Sachs (common)					Sold (part)	3/16/12	J	A	
216.					Sold	06/21/12	J	A	
217. ----Goodrich Corp. (common)					Sold	07/16/12	J	A	
218. ----Google Inc. (common)					Sold (part)	06/18/12	J	B	
219.					Sold	07/16/12	J	B	
220. ----Harley Davidson Inc. (common)					Sold	07/16/12	J	A	
221. ----HCA Holdings Inc. (common) (Y)									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 17 of 120

Name of Person Reporting

Tharp, John J.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. ----Health Net Inc. (common)					Sold	07/16/12	J	A	
223. ----Hess Corp. (common) (Y)									
224. ----Hewlett-Packard Co. (common)					Sold	07/16/12	J	A	
225. ----Home Depot Inc. (common)					Sold	07/16/12	J	A	
226. ----Honeywell International Inc. (common) (Y)									
227. ----Illinois Tool Works (common) (Y)									
228. ----Ingersoll Rand PLC (common) (Y)									
229. ----Intel Corp. (common) (Y)									
230. ----Intuit Inc. (common)					Sold	07/16/12	J	A	
231. ----J.C. Penney Co. Inc. (common) (Y)									
232. ----Johnson Controls Inc. (common)					Sold	2/13/12	J	A	
233. ----Johnson & Johnson (common)					Sold (part)	06/22/12	J	A	
234.					Sold	07/16/12	J	A	
235. ----JPMorgan Chase & Co. (common)					Sold (part)	5/31/12	J	A	
236.					Sold (part)	06/26/12	J	A	
237.					Sold	07/16/12	J	A	
238. ----Juniper Networks Inc. (common) (Y)									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 18 of 120

Name of Person Reporting

Tharp, John J.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. ---Kimberly-Clark Corp. (common)					Sold	07/16/12	J	A	
240. ---KLA-Tencor Corp. (common) (Y)									
241. ---Kohls Corp. (common) (Y)									
242. ---Kroger Co. (common)					Sold	07/16/12	J	A	
243. ---Las Vegas Sands Corp. (common)					Sold	07/16/12	J	A	
244. ---Lear Corp. (common)					Sold	07/16/12	J	A	
245. ---Limited Brands Inc. (common)					Sold	1/10/12	J	A	
246. ---Lorillard Inc. (common)					Sold	07/16/12	J	A	
247. ---Lowe's Companies Inc. (common)					Sold	2/8/12	J	A	
248. ---Lyondellbasell Industries (common)					Sold	07/16/12	J	A	
249. ---Macy's Inc. (common) (Y)									
250. ---Marathon Oil Corp. (common)					Sold (part)	1/10/12	J	A	
251.					Sold	06/15/12	J	A	
252. ---Marathon Petroleum Corp. (common)					Sold	07/16/12	J	B	
253. ---Marvell Technology Group Ltd. (common)					Sold	07/16/12	J	A	
254. ---McGraw Hill Companies Inc. (common)					Sold	07/16/12	J	A	
255. ---Medco Health Solutions Inc. (common) (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 120

Name of Person Reporting

Tharp, John J.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. ----Merck & Co. Inc. (common) (Y)									
257. ----MetLife Inc. (common) (Y)									
258. ----Micron Technology Inc. (common)					Sold	07/16/12	J	A	
259. ----Microsoft Corp. (common)					Sold	07/16/12	J	A	
260. ----Monsanto Co. (common)					Sold (part)	5/30/12	J	A	
261. ----Moody's Corp. (common)					Sold (part)	4/9/12	J	A	
262.					Sold	07/16/12	J	A	
263. ----Morgan Stanley (common)					Sold	07/16/12	J	A	
264. ----Motorola Inc. (common) (Y)									
265. ----Motorola Solutions Inc. (common) (Y)									
266. ----Nabors Industries Ltd. (common) (Y)									
267. ----Netflix Inc. (common) (Y)									
268. ----Newfield Exploration Co. (common) (Y)									
269. ----News Corp. (common) (Y)									
270. ----Nexen Inc. (common) (Y)									
271. ----Noble Energy Inc. (common)					Sold	07/16/12	J	A	
272. ----Nokia Corp-Spon ADR (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 120

Name of Person Reporting

Tharp, John J.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. ----Northrop Grumman Corp. (common)					Sold	07/16/12	J	A	
274. ----NV Energy Inc. (common)					Sold	07/16/12	J	A	
275. ----NVR Inc. (common) (Y)									
276. ----Occidental Petroleum Corp. (common) (Y)									
277. ----Oracle Corp. (common)					Sold	06/19/12	J	A	
278. ----Parker Hannifan Corp. (common) (Y)									
279. ----Pepsico Inc. (common) (Y)									
280. ----Pfizer Inc. (common)					Sold	07/16/12	J	A	
281. ----Polo Ralph Lauren Corp. (common) (Y)									
282. ----Potash Corp. of Saskatchewan (common)					Sold (part)	4/25/12	J	A	
283.					Sold	5/23/12	J	A	
284. ----Precision Castparts Corp. (common)					Sold	07/16/12	J	A	
285. ----Principal Financial Group (common) (Y)									
286. ----Procter & Gamble Co. (common) (Y)					Sold (part)	3/15/12	J	A	
287. ----PVH Corp. (common)					Sold	07/13/12	J	A	
288. ----Qualcomm Inc. (common)					Sold (part)	5/21/12	J	A	
289.					Sold	07/03/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 120

Name of Person Reporting

Tharp, John J.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

290. ----Quanta Services Inc. (common) (Y)									
291. ----Raytheon Company (common) (Y)									
292. ----Reliance Steel & Aluminum (common) (Y)									
293. ----Riverbed Technology Inc. (common) (Y)									
294. ----Rockwell Automation Inc. (common)					Sold	1/23/12	J	A	
295.					Sold	07/16/12	J	A	
296. ----Ross Stores Inc. (common) (Y)									
297. ----Rovi Corp. (common) (Y)									
298. ----Rowan Companies Inc. (common) (Y)									
299. ----Royal Caribbean Cruises Ltd. (common) (Y)									
300. ----Safeway Inc. (common) (Y)									
301. ----Sara Lee Corp. (common) (Y)									
302. ----Schlumberger Ltd. (common)					Sold (part)	06/15/12	J	A	
303.					Sold	07/16/12	J	A	
304. ----Smithfield Foods Inc. (common) (Y)									
305. ----Southwestern Energy (common) (Y)									
306. ----Sprint Nextel Corp. (common) (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 22 of 120

Name of Person Reporting

Tharp, John J.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. ----SPX Corp. (common) (Y)									
308. ----Stanley Black & Decker Inc. (common) (Y)									
309. ----Starbucks Corp. (common)					Sold (part)	1/27/12	J	A	
310.					Sold	07/16/12	J	B	
311. ----Steel Dynamics Inc. (common) (Y)									
312. ----Suncor Energy Inc. (common) (Y)									
313. ----Supervalu Inc. (common) (Y)									
314. ----Symantec Corp. (common) (Y)									
315. ----Target Corp. (Y)									
316. ----TE Connectivity Ltd. (common) (Y)									
317. ----Teva Pharmaceutical-Spon ADR (Y)									
318. ----Textron Inc. (common) (Y)									
319. ----The Travelers Companies Inc. (common)					Sold	3/22/12	J	A	
320. ----Time Warner Cable Inc. (common)					Sold	07/16/12	J	B	
321. ----Transocean Ltd. (common)					Sold	07/16/12	J	A	
322. ----Tyco Electronics Ltd. (common) (Y)									
323. ----Tyson Foods Inc. (Class A)					Sold	07/16/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 120

Name of Person Reporting

Tharp, John J.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. ----UnitedHealth Group Inc. (common)					Sold	07/16/12	J	A	
325. ----United Parcel SVC Inc. (common)					Sold (part)	4/12/12	J	A	
326.					Sold	5/7/12	J	A	
327. ----US Bancorp (common)					Sold	07/16/12	J	A	
328. ----Valero Energy Corp. (common) (Y)									
329. ----Vertex Pharmaceuticals Inc. (common) (Y)									
330. ----Viacom Inc. (common)					Sold	07/16/12	J	A	
331. ----VISA Inc. (common)					Sold	07/16/12	J	A	
332. ----Vodafone Group PLC-SP ADR (Y)									
333. ----Walmart Stores Inc.					Sold	07/16/12	J	A	
334. ----Wellpoint Inc. (common)					Sold	07/16/12	J	A	
335. ----Wells Fargo & Co. (common)					Sold	07/16/12	J	A	
336. ----Western Digital Corp. (common) (Y)									
337. ----XL Group PLC (common) (Y)									
338. ----VF Corp. (common)					Sold	07/16/12	J	A	
339. ----Walt Disney Co. (common)					Sold (part)	06/08/12	J	A	
340.					Sold	07/16/12	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 24 of 120

Name of Person Reporting

Tharp, John J.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. ---AB Discovery Growth Fund CL ADV					Buy	6/18/12	K		
342.					Sold	07/16/12	K	A	
343. ---Abbott Laboratories (common)					Buy	06/29/12	J		
344. --American Express (common)					Sold	07/16/12	J	A	
345. --Amgen Inc. (common)					Buy	5/17/12	J		
346.					Sold	07/16/12	J	A	
347. ---Ansys Inc. (common)					Buy	07/06/12	J		
348.					Sold	07/16/12	J	A	
349. ---Apollo Group Inc. (Class A) (X)					Sold	07/16/12	J	A	
350. ---Applied Materials Inc. (common) (X)					Sold	07/16/12	J	A	
351. ---Boeing Co. (common) (X)					Sold	5/7/12	J	A	
352. ---Centerpoint Energy Inc. (common) (X)					Sold	07/16/12	J	A	
353. ---Chevron Corp. (common)					Buy	06/08/12	J		
354.					Sold	07/16/12	J	A	
355. ---Chipotle Mexican Grill (Class A)					Buy	06/15/12	J		
356.					Sold	07/16/12	J	A	
357. ---Coach Inc. (common)					Buy	5/24/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 25 of 120

Name of Person Reporting

Tharp, John J.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358.					Sold	07/16/12	J	A	
359. ---Cognizant Tech Solutions (Class A) (X)					Sold	07/16/12	J	A	
360. ---Dollar Tree Inc. (common)					Buy	07/09/12	J		
361.					Sold	07/16/12	J	A	
362. ---F5 Networks Inc. (common) (X)					Sold	07/16/12	J	A	
363. ---Halliburton (common)					Buy	06/21/12	J		
364.					Sold	07/16/12	J	A	
365. ---Hershey Co. (common)					Buy	2/28/12	J		
366.					Sold	06/26/12	J	A	
367. ---Illumina Inc. (common) (X)					Sold	07/16/12	J	A	
368. ---Informatica Corp. (common)					Buy	06/28/12	J		
369.					Sold	07/16/12	J	A	
370. ---IBM (common)					Buy	5/31/12	J		
371.					Sold	07/16/12	J	A	
372. ---Kimberly-Clark Corp. (common)					Buy	06/26/12	J		
373.					Sold	07/16/12	J	A	
374. ---LAM Reserach Corp. (common) (X)					Sold	07/16/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 26 of 120

Name of Person Reporting

Tharp, John J.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. ---McDonald's Corp. (common)					Buy	06/15/12	J		
376.					Sold	07/16/12	J	A	
377. ---McKesson Corp. (common)					Buy	5/8/12	J		
378.					Buy (add'l)	5/31/12	J		
379.					Sold	07/16/12	J	A	
380. ---MGM Resorts Int'l (common)					Buy	1/6/12	J		
381.					Sold	07/16/12	J	A	
382. ---National Oilwell Inc. (common)					Buy	3/28/12	J		
383.					Sold	07/16/12	J	A	
384. ---Newell Rubbermaid Inc. (X)					Sold	07/16/12	J	A	
385. ---O'Reilly Automotive Inc. (common) (X)					Sold	07/16/12	J	A	
386. ---Oceaneering Int'l Inc. (common)					Buy	5/17/12	J		
387.					Sold	07/16/12	J	A	
388. ---Perrigo Inc. (common) (X)					Sold	06/14/12	J	A	
389. ---Philip Morris Int'l (common)					Buy	5/21/12	J		
390.					Sold	07/16/12	J	A	
391. ---Priceline.com (common)					Buy	06/19/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Sold	07/16/12	J	A	
393. ---Reynolds American Inc.					Buy	5/22/12	J		
394.					Sold	07/16/12	J	A	
395. ---Sherwin-Williams Co. (common)					Buy	06/13/12	J		
396.					Sold	07/16/12	J	A	
397. ---Stryker Corp. (common)					Buy	1/11/12	J		
398.					Sold	07/16/12	J	A	
399. ---Tibco Software Inc. (common)					Buy	5/24/12	J		
400.					Sold	07/16/12	J	A	
401. ---TRW Automotive Holdings Corp. (common) (X)					Sold	07/16/12	J	A	
402. ---Union Pacific Corp. (common)					Buy	06/22/12	J		
403.					Sold	07/16/12	J	A	
404. ---NOTEX-Northern Funds Tax Exempt Fund					Buy	09/04/12	J		
405. ---NSIDX-Northern Funds Sall Cap Index Fund					Buy	09/04/12	K		
406. ---NOSIX-Northern Funds Stock Index Fund					Buy	09/04/12	M		
407. ---NOMIX-Northern Mid Cap Index Fund					Buy	09/04/12	K		
408. ---TDTF-Flexshares TR IBOXX 5 yr Tgt TIPS Index Fd					Buy	09/04/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 28 of 120

Name of Person Reporting

Tharp, John J.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. ---DVY-ISHares Tr Dow Jones Select Divid Index Fd					Buy	09/04/12	K		
410. ---GLD-Spdr Gold Tr Gold Shares					Buy	09/04/12	K		
411. ---GUNR-Flexshares TR Morningstar Global Upstream Nat ResIdx					Buy	09/04/12	J		
412. ---DFCEX-Invt Dimensions Group Emerging Mkts Core Eq Ptf					Buy	09/05/12	K		
413. ---DFITX-Invt Dimensions Group Int'l Real Estate Secs Ptf					Buy	09/05/12	J		
414. ---PHYIX-Pimco Funds Pac Invt Mgmt Ser HY Fund					Buy	09/05/12	K		
415. ---HLMIX-Harding Loevner Funds Inc Int'l Equity Ptf					Buy	09/05/12	K		
416. ---CIVIX-Causeway Cap Mgmt Tr Int'l Value Fd					Buy	09/05/12	K		
417. ---DFREX-Invt Dimensions Gropu Real Estae Secs Ptf					Buy	09/05/12	J		
418. ---PCRIX-Pimco Pac Invt Mgmt Ser Commodity Real Trn Stgy Fd					Buy	09/05/12	J		
419. ---DFEVX-Emerging Markets Value Fd					Buy	09/05/12	K		
420. Trust #6	E	Distribution	M	W					
421. --Farm land, Christian County, Illinois									
422. --JP Morgan Chase Cash Account									
423. Trust #7	E	Dividend	O	T					
424. --Abbott Laboratories (common)					Sold (part)	1/9/12	J	A	
425.					Sold (part)	9/6/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 29 of 120

Name of Person Reporting

Tharp, John J.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426.					Sold	09/27/12	J	A	
427. --Ace Ltd (common)					Sold (part)	3/8/12	J	A	
428.					Sold	09/20/12	J	B	
429. --Amazon.Com Inc. (common)					Sold (part)	3/8/12	J	A	
430.					Sold (part)	4/9/12	J	B	
431.					Sold (part)	08/16/12	J	C	
432.					Sold	08/28/12	J	B	
433. --American Express Co. (common) (Y)									
434. --Anadarko Petroleum Corp. (common)					Sold (part)	3/8/12	J	A	
435.					Sold (part)	9/6/12	J	A	
436.					Sold	10/3/12	J	A	
437. --Apple Inc. (common)					Sold (part)	3/8/12	J	B	
438.					Sold (part)	8/16/12	K	D	
439.					Sold (part)	8/28/12	J	D	
440.					Sold (part)	9/6/12	J	C	
441.					Sold (part)	9/20/12	J	C	
442.					Sold (part)	9/27/12	J	B	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
443.					Sold	10/3/12	J	C	
444. --Artio International Equity II Fund					Sold	08/07/12	K	D	
445. --Artisan International Value Fund (Y)									
446. --Aston Optimum Mid Cap Fund I									
447. --AT&T Inc. (common)					Sold (part)	3/8/12	J	A	
448.					Sold	08/03/12	J	B	
449. --Autozone Inc. (common)					Sold (part)	3/8/12	J	A	
450.					Sold	08/28/12	J	A	
451. --Baidu inc. (ADR)					Sold	08/03/12	J	A	
452. --Bank of America (common)					Sold (part)	3/8/12	J	A	
453.					Sold	08/03/12	J	A	
454. --Biogen IDEC Inc. (common)					Sold (part)	3/8/12	J	A	
455.					Sold	08/03/12	J	D	
456. --Broadcom Corp. (common)					Sold	1/12/12	J	A	
457. --Cameron International Corp. (common)					Sold (part)	3/8/12	J	A	
458.					Sold	07/18/12	J	A	
459. --Campbell Soup Co. (common)					Sold (part)	3/8/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460.					Sold	4/13/12	J	A	
461. --Capital One Financial Corp. (common)					Sold (part)	3/8/12	J	A	
462.					Sold (part)	9/6/12	J	A	
463.					Sold	10/31/12	J	A	
464. --Cardinal Health Inc. (common)					Sold (part)	3/8/12	J	A	
465.					Sold	09/20/12	J	A	
466. --Carnival Corp. (common)					Sold (part)	2/28/12	J	A	
467.					Sold	2/29/12	J	A	
468. --CBS Corp. (common)					Sold (part)	3/8/12	J	A	
469.					Sold	10/09/12	J	B	
470. --Celgene Corp. (common)					Sold (part)	3/8/12	J	A	
471.					Sold	08/03/12	J	B	
472. --Centerpoint Energy Inc. (common)					Sold (part)	3/8/12	J	A	
473.					Sold (part)	08/16/12	J	A	
474.					Sold	08/28/12	J	A	
475. --Cisco Systems Inc. (common)					Sold (part)	3/8/12	J	A	
476.					Sold (part)	7/11/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477.					Sold (part)	9/6/12	J	A	
478.					Sold	10/9/12	J	A	
479. --Citigroup Inc. (common)					Sold (part)	3/8/12	J	A	
480.					Sold	08/28/12	J	A	
481. --Citrix Systems, Inc. (common)					Sold (part)	3/8/12	J	A	
482.					Sold	10/03/12	J	A	
483. --Cognizant Tech Solutions Corp. (common)					Sold (part)	3/8/12	J	A	
484.					Sold (part)	09/06/12	J	A	
485.					Sold	09/27/12	J	B	
486. --Colgate Palmolive Co. (common)					Sold (part)	3/8/12	J	A	
487.					Sold	07/27/12	J	B	
488. --Comcast Corp. (common)					Sold (part)	3/8/12	J	A	
489.					Sold (part)	08/16/12	J	B	
490.					Sold (part)	09/06/12	J	A	
491.					Sold	09/20/12	J	B	
492. --ConocoPhillips (common)					Sold	1/19/12	J	B	
493. --Covidien PLC (common)					Sold (part)	3/8/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494.					Sold (part)	08/16/12	J	A	
495.					Sold	08/28/12	J	A	
496. --CVS Caremark Corp. (common)					Sold (part)	3/8/12	J	A	
497.					Sold (part)	06/22/12	J	B	
498.					Sold	07/09/12	J	A	
499. --Darden Restaurants Inc. (common)					Sold	2/21/12	J	A	
500. --Delaware Emerging Markets Fund									
501. --Dendreon Corp. (common) (Y)									
502. --Devon Energy Corp. (common)					Sold	4/24/12	J	A	
503. --Dodge & Cox International Stock Fund (Y)									
504. --Dominion Resources Inc. (common)					Sold	4/24/12	J	A	
505. --DuPont (common)					Sold (part)	3/8/12	J	A	
506.					Sold (part)	07/18/12	J	A	
507.					Sold (part)	08/16/12	J	A	
508.					Sold	08/28/12	J	A	
509. --Eaton Vance Global Macro I Fund (EIGMX)									
510. --Eaton Vance Float Rate C1 I Fund (EIBLX)					Sold (part)	3/8/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
511.					Sold (part)	5/16/12	L	B	
512.					Sold	10/4/12	K	B	
513. --EMC Corp. (common)					Sold (part)	3/8/12	J	A	
514.					Sold	7/6/12	J	A	
515. --Emerson Electric (common)					Sold	09/06/12	J	A	
516. --EOG Resources Inc. (common)					Sold (part)	3/8/12	J	A	
517.					Sold (part)	09/06/12	J	A	
518.					Sold	09/27/12	J	A	
519. --Exxon Mobil (common)					Sold (part)	3/8/12	J	A	
520.					Sold (part)	06/21/12	J	A	
521.					Sold (part)	07/27/12	J	A	
522.					Sold (part)	08/16/12	J	A	
523.					Sold (part)	09/06/12	J	A	
524.					Sold	10/09/12	J	B	
525. --Freeport-McMoran Copper & Gold Inc. (common)					Sold (part)	3/8/12	J	A	
526.					Sold (part)	08/16/12	J	A	
527.					Sold	08/28/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
528. --General Electric (common)					Sold (part)	3/8/12	J	A	
529.					Sold	06/15/12	J	A	
530. --General Mills Inc. (common)					Sold (part)	3/8/12	J	A	
531.					Sold (part)	08/16/12	J	A	
532.					Sold (part)	09/06/12	J	A	
533.					Sold	10/03/12	J	A	
534. --General Motors Co. (common)					Sold (part)	3/8/12	J	A	
535.					Sold	08/03/12	J	A	
536. --Global Payments Inc. (common)					Sold	1/23/12	J	A	
537. --Goldman Sachs Group Inc. (common)					Sold (part)	3/8/12	J	A	
538.					Sold (part)	8/16/12	J	A	
539.					Sold	9/6/12	J	A	
540. --Highbridge Dynamic Comm Strg Fund-Sel					Sold	10/04/12	K	A	
541. --Home Depot (common)					Sold (part)	3/8/12	J	A	
542.					Sold (part)	8/16/12	J	A	
543.					Sold	10/3/12	J	A	
544. --Honeywell International Inc. (common)					Sold (part)	3/8/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
545.					Sold (part)	8/16/12	J	A	
546.					Sold (part)	9/6/12	J	A	
547.					Sold	9/12/12	J	A	
548. --Humana Inc. (common)					Sold (part)	3/8/12	J	A	
549.					Sold (part)	7/11/12	J	A	
550.					Sold (part)	7/12/12	J	A	
551.					Sold (part)	7/16/12	J	A	
552.					Sold (part)	7/17/12	J	A	
553.					Sold (part)	7/18/12	J	A	
554.					Sold (part)	7/19/12	J	A	
555.					Sold (part)	7/20/12	J	A	
556.					Sold	8/28/12	J	A	
557. --Intercontinental Exchange Inc.(common)					Sold (part)	3/8/12	J	A	
558.					Sold (part)	8/16/12	J	A	
559.					Sold (part)	9/6/12	J	A	
560.					Sold	10/3/12	J	A	
561. --Invesco Ltd. (common)					Sold (part)	3/8/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562.					Sold (part)	9/6/12	J	A	
563.					Sold	10/31/12	J	A	
564. --Invesco Van Kampen American Franchise Fund Class A (Y)									
565. --iShares Russell 2000 Index Fund (Y)									
566. --iShares Russell MidCap Index Fund					Sold (part)	10/4/12	J	A	
567. --iShares MSCI EAFE Index Fund					Buy (add'l)	6/8/12	L		
568.					Buy (add'l)	10/4/12	J		
569. --John Hancock II-Emerging Markets-I (Y)									
570. --Johnson & Johnson (common)					Sold (part)	1/19/12	J	A	
571.					Sold (part)	1/26/12	J	A	
572.					Sold	7/27/12	J	A	
573. --Johnson Controls Inc. (common)					Sold (part)	3/8/12	J	A	
574.					Sold (part)	9/6/12	J	B	
575.					Sold	9/20/12	J	A	
576. --JP Morgan Asia Equity Fund					Sold	06/26/12	K	A	
577. --JP Morgan High Yield Fund - Sel					Sold (part)	6/8/12	J	A	
578.					Sold (part)	7/5/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579.					Buy (add'l)	12/14/12	J		
580. --JP Morgan Inter. Tax Free Bond Fund - Sel					Buy (add'l)	3/8/12	J		
581.					Buy (add'l)	12/14/12	J		
582. --JP Morgan Market Expansion Index Fund					Buy (add'l)	12/14/12	J		
583. --JP Morgan Mid Cap Growth Fund (Y)									
584. --JP Morgan Research Mkt Neutral Fund (Y)									
585. --JP Morgan Str Opp Fund					Sold (part)	3/8/12	K	B	
586.					Buy (add'l)	9/17/12	K		
587.					Buy (add'l)	12/14/12	J		
588. --JP Morgan Tax Free Reserve Sweep Fund									
589. --JP Morgan Tr I Tax Aware Real Return Fund - Sel (Y)									
590. --JP Morgan U.S. Real Estate Fund					Sold (part)	7/5/12	J	A	
591.					Sold	10/4/12	K	C	
592. --Juniper Networks Inc. (common)					Sold (part)	3/8/12	J	A	
593.					Sold (part)	4/23/12	J	A	
594.					Sold	9/27/12	J	A	
595. --Kellogg Co. (common) (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596. --Kraft Foods Inc. Class A					Sold (part)	3/8/12	J	A	
597.					Sold	9/20/12	J	B	
598. --Lam Research Corp. (common)					Sold (part)	3/8/12	J	A	
599.					Sold (part)	8/16/12	J	A	
600.					Sold (part)	9/6/12	J	A	
601.					Sold	9/12/12	J	A	
602. --Lennar Corp. (common)					Sold (part)	3/8/12	J	A	
603.					Sold	10/31/12	J	C	
604. --Lowes Companies Inc. (common)					Sold (part)	3/8/12	J	A	
605.					Sold	10/3/12	J	C	
606. --Manning & Napier World Opportunities Series Fund (Y)									
607. --Mastercard Inc. Class A					Sold (part)	3/8/12	J	A	
608.					Sold	8/3/12	J	B	
609. --Merck (common)					Sold (part)	3/8/12	J	A	
610.					Sold (part)	4/13/12	J	A	
611.					Sold (part)	4/16/12	J	A	
612.					Sold (part)	4/17/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613.					Sold (part)	4/23/12	J	A	
614.					Sold (part)	4/24/12	J	A	
615.					Sold (part)	4/25/12	J	A	
616.					Sold (part)	4/26/12	J	A	
617.					Sold (part)	4/27/12	J	A	
618.					Sold (part)	8/16/12	J	A	
619.					Sold (part)	9/6/12	J	A	
620.					Sold	10/9/12	J	B	
621. --MetLife Inc. (common)					Sold (part)	3/8/12	J	A	
622.					Sold	9/6/12	J	A	
623. --Microsoft (common)					Sold (part)	3/8/12	J	A	
624.					Sold (part)	8/16/12	J	B	
625.					Sold (part)	9/6/12	J	B	
626.					Sold	9/12/12	J	C	
627. --Micros Systems Inc. (common)					Sold (part)	2/21/12	J	A	
628.					Sold (part)	2/22/12	J	A	
629.					Sold	2/23/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630. --Monsanto (common)					Sold	2/7/12	J	A	
631. --Net App Inc. (common)					Sold (part)	8/16/12	J	A	
632.					Sold (part)	9/6/12	J	A	
633.					Sold	9/12/12	J	A	
634. --Nike Class B (common)					Sold (part)	3/8/12	J	A	
635.					Sold (part)	6/15/12	J	B	
636.					Sold (part)	6/18/12	J	A	
637.					Sold	8/3/12	J	B	
638. --Norfolk Southern Corp. (common)					Sold (part)	2/8/12	J	B	
639.					Sold (part)	3/8/12	J	A	
640.					Sold (part)	3/20/12	J	B	
641.					Sold (part)	5/24/12	J	A	
642.					Sold (part)	5/25/12	J	A	
643.					Sold (part)	5/29/12	J	B	
644.					Sold (part)	5/30/12	J	A	
645.					Sold	5/31/12	J	A	
646. --Novellus Systems Inc. (common) (Y)									

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647. --Occidental Petroleum (common)					Sold (part)	2/1/12	J	A	
648.					Sold (part)	3/8/12	J	A	
649.					Sold (part)	8/16/12	J	A	
650.					Sold (part)	9/6/12	J	A	
651.					Sold	9/27/12	J	A	
652. --Oracle (common)					Sold (part)	3/8/12	J	A	
653.					Sold (part)	8/16/12	J	B	
654.					Sold (part)	9/6/12	J	A	
655.					Sold	10/3/12	J	A	
656. --Paccar Inc. (common)					Sold (part)	3/8/12	J	A	
657.					Sold	8/3/12	J	A	
658. --Pepsico (common)					Sold (part)	09/12/12	J	A	
659. --Pfizer (common)					Sold (part)	3/8/12	J	A	
660.					Sold	8/3/12	K	D	
661. --Pioneer Natrual Resouces Co. (common)					Sold (part)	3/8/12	J	A	
662.					Sold	8/28/12	J	A	
663. --Procter & Gamble Co. (common)					Sold (part)	3/8/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
664.					Sold (part)	6/21/12	J	A	
665.					Sold (part)	8/16/12	J	A	
666.					Sold (part)	9/6/12	J	A	
667.					Sold	10/3/12	J	A	
668. --Prudential Financial Inc. (common)					Sold (part)	9/6/12	J	A	
669.					Sold	9/20/12	J	A	
670. --Public Service Enterprise Group (common) (Y)									
671. --Qualcomm Inc. (common)					Sold (part)	3/8/12	J	A	
672.					Sold (part)	8/16/12	J	B	
673.					Sold (part)	9/6/12	J	A	
674.					Sold	9/27/12	J	B	
675. --Schlumberger Ltd. (common)					Sold (part)	3/8/12	J	A	
676.					Sold	8/3/12	J	A	
677. --Semptra Energy (common) (Y)									
678. --Southwestern Energy Co. (common)					Sold	3/5/12	J	A	
679. --Spectra Energy Corp. (common) (Y)									
680. --Spider Gold Trust									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
681. --St. Jude Medical Inc. (common) (Y)									
682. --Target Corp. (common)					Sold (part)	3/20/12	J	A	
683.					Sold (part)	3/21/12	J	A	
684.					Sold	3/22/12	J	A	
685. --TD Ameritrade Holding Corp. (common)					Sold (part)	3/8/12	J	A	
686.					Sold (part)	8/16/12	J	A	
687.					Sold	8/28/12	J	A	
688. --TE Connectivity Ltd. (common) (Y)									
689. --The Estee Lauder Cos. Inc. (common)					Sold (part)	3/8/12	J	A	
690.					Sold	10/31/12	J	A	
691. --Time Warner Inc. (common)					Sold (part)	3/8/12	J	A	
692.					Sold	8/3/12	K	C	
693. --T Rowe Price New Asia Fund					Buy (add'l)	12/20/12	J		
694. --Tyco International Ltd. (common)					Sold (part)	3/8/12	J	A	
695.					Sold (part)	9/6/12	J	A	
696.					Sold	9/12/12	J	B	
697. --UnitedHealth Group Inc. (common)					Sold (part)	3/8/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
698.					Sold (part)	9/6/12	J	A	
699.					Sold	9/12/12	J	A	
700. --United Technologies Corp. (common)					Sold (part)	3/8/12	J	A	
701.					Buy (add'l)	6/15/12	J		
702.					Sold (part)	8/16/12	J	B	
703.					Sold (part)	9/6/12	J	A	
704.					Sold	9/12/12	J	B	
705. --US Bancorp (common)					Sold (part)	3/8/12	J	A	
706.					Sold	6/5/12	J	B	
707. --ValueLine Premier Growth Fund (Y)									
708. --Vanguard MSCI Emerging Markets ETF (VWO)					Sold (part)	10/4/12	K	A	
709. --Verizon Communications (common)					Sold (part)	3/8/12	J	A	
710.					Sold (part)	8/16/12	J	B	
711.					Sold	8/28/12	J	D	
712. --Wells Fargo (common)					Sold (part)	3/8/12	J	A	
713.					Sold (part)	8/16/12	J	B	
714.					Sold (part)	9/6/12	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

715.					Sold	9/20/12	J	C	
716. --Williams Companies Inc. (common)					Sold (part)	3/8/12	J	A	
717.					Sold (part)	6/22/12	J	A	
718.					Sold (part)	6/25/12	J	A	
719.					Sold (part)	6/26/12	J	A	
720.					Sold (part)	6/27/12	J	A	
721.					Sold (part)	6/28/12	J	A	
722.					Sold (part)	6/29/12	J	A	
723.					Sold (part)	9/6/12	J	A	
724.					Sold	10/9/12	J	A	
725. --XILINX Corp. (common)					Sold (part)	3/8/12	J	A	
726.					Sold (part)	4/13/12	J	A	
727.					Sold (part)	4/16/12	J	A	
728.					Sold (part)	4/17/12	J	A	
729.					Sold	4/18/12	J	A	
730. --Yum Brands (common)					Sold (part)	3/8/12	J	A	
731.					Sold (part)	7/11/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
732.					Sold (part)	7/12/12	J	A	
733.					Sold (part)	8/3/12	J	C	
734. --Condominium Unit (real estate), Sarasota County, Florida									
735. --Allergan Inc. (common)					Buy (add'l)	1/19/12	J		
736.					Sold (part)	3/8/12	J	A	
737.					Sold (part)	9/6/12	J	A	
738.					Sold	10/9/12	J	A	
739. --Altera Corp. (common)					Buy	4/13/12	J		
740.					Buy (add'l)	4/16/12	J		
741.					Buy (add'l)	4/17/12	J		
742.					Buy (add'l)	4/18/12	J		
743.					Sold	8/28/12	J	A	
744. --Ameriprise Financial Inc. (common)					Buy	2/9/12	J		
745.					Sold (part)	3/8/12	J	A	
746.					Sold (part)	6/1/12	J	A	
747.					Sold	6/4/12	J	A	
748. --Baker Hughes Inc. (common)					Sold	2/10/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
749. --Carefusion Corp. (common)					Buy	4/13/12	J		
750.					Buy (add'l)	4/16/12	J		
751.					Buy (add'l)	4/17/12	J		
752.					Buy (add'l)	4/18/12	J		
753.					Buy (add'l)	4/19/12	J		
754.					Buy (add'l)	4/20/12	J		
755.					Buy (add'l)	4/23/12	J		
756.					Buy (add'l)	4/24/12	J		
757.					Buy (add'l)	4/25/12	J		
758.					Buy (add'l)	4/26/12	J		
759.					Buy (add'l)	4/27/12	J		
760.					Buy (add'l)	4/30/12	J		
761.					Buy (add'l)	5/1/12	J		
762.					Sold	8/3/12	J	A	
763. --CME Group Inc. (common)					Buy	2/14/12	J		
764.					Sold (part)	3/8/12	J	A	
765.					Buy (add'l)	6/5/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000 J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000 K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000 L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000 S = Assessment W = Estimated	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000 M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000 T = Cash Market	E ~\$15,001 - \$50,000
--	--	--	--	---	------------------------

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
766.					Sold (part)	8/16/12	J	A	
767.					Sold	8/28/12	J	A	
768. --Coca-Cola Co. (common) (X)					Sold	2/2/12	J	A	
769. --Costco Wholesale Corp. (common)					Buy	6/15/12	J		
770.					Sold (part)	9/6/12	J	A	
771.					Sold (part)	9/12/12	J	A	
772. --CSX Corp. (common)					Buy	5/24/12	J		
773.					Buy (add'l)	5/25/12	J		
774.					Buy (add'l)	5/29/12	J		
775.					Sold (part)	9/6/12	J	A	
776.					Sold	9/20/12	J	A	
777. --Dominion Resources Inc. (common) (X)					Sold	2/21/12	J	A	
778. --Dreyfus Laurel Emg Mkt Debt Fd					Buy (add'l)	3/8/12	J		
779.					Sold (part)	6/8/12	K	A	
780.					Buy (add'l)	10/4/12	J		
781. --Eaton Vance Floating Rate Advantage I (EIFAX)					Buy	5/17/12	K		
782.					Sold (part)	7/6/12	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
783. --EnSCO PLC (common)					Buy	7/2/12	J		
784.					Buy (add'l)	7/3/12	J		
785.					Buy (add'l)	7/18/12	J		
786.					Sold (part)	9/6/12	J	A	
787.					Sold	9/12/12	J	A	
788. --EQT Corp. (common)					Buy	2/21/12	J		
789.					Buy (add'l)	2/22/12	J		
790.					Buy (add'l)	3/5/12	J		
791.					Sold (part)	3/8/12	J	A	
792.					Sold (part)	7/2/12	J	A	
793.					Sold (part)	7/3/12	J	A	
794.					Sold (part)	7/5/12	J	A	
795.					Sold	7/6/12	J	A	
796. --Flour Corp. (common)					Buy	7/18/12	J		
797.					Sold (part)	9/6/12	J	A	
798.					Sold	9/12/12	J	A	
799. --Global Payments Inc. (common)					Sold	1/23/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
800. --Google Inc. (common)					Buy	7/11/12	J		
801.					Sold	8/28/12	J	B	
802. --iShares MSCI Japan Index Fd					Buy	3/9/12	K		
803.					Sold	9/14/12	K	A	
804. --JPMorgan Int'l Currency Income Fd					Buy (add'l)	3/8/12	J		
805.					Sold (part)	6/8/12	K	A	
806.					Buy (add'l)	10/4/12	J		
807.					Buy (add'l)	11/7/12	J		
808. --Kinder Morgan Inc. (common)					Buy	6/22/12	J		
809.					Buy (add'l)	6/25/12	J		
810.					Buy (add'l)	6/26/12	J		
811.					Buy (add'l)	6/27/12	J		
812.					Buy (add'l)	6/28/12	J		
813.					Buy (add'l)	6/29/12	J		
814.					Sold (part)	9/6/12	J	A	
815.					Sold	9/12/12	J	A	
816. --LinkedIn Corp. (common)					Buy	4/9/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A --\$1,000 or less F --\$50,001 - \$100,000 J --\$15,000 or less N --\$250,001 - \$500,000 P3 --\$25,000,001 - \$50,000,000	B --\$1,001 - \$2,500 G --\$100,001 - \$1,000,000 K --\$15,001 - \$50,000 O --\$500,001 - \$1,000,000	C --\$2,501 - \$5,000 H1 --\$1,000,001 - \$5,000,000 L --\$50,001 - \$100,000 P1 --\$1,000,001 - \$5,000,000 P4 --More than \$50,000,000	D --\$5,001 - \$15,000 H2 --More than \$5,000,000 M --\$100,001 - \$250,000 P2 --\$5,000,001 - \$25,000,000	E --\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q --Appraisal U --Book Value	R --Cost (Real Estate Only) V --Other	S --Assessment W --Estimated	T --Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
817.					Sold	8/3/12	J	A	
818. --Marathon Petroleum Corp. (common)					Buy	7/18/12	J		
819.					Buy (add'l)	7/27/12	J		
820.					Buy (add'l)	7/30/12	J		
821.					Buy (add'l)	7/31/12	J		
822.					Sold	8/28/12	J	A	
823. --Marriott International Inc. (common)					Buy	1/26/12	J		
824.					Buy (add'l)	2/28/12	J		
825.					Sold (part)	3/8/12	J		
826.					Sold (part)	7/11/12	J	A	
827.					Sold	8/3/12	J	A	
828. --Masco Corp. (common)					Buy	7/30/12	J		
829.					Sold	8/28/12	J	A	
830. --Nextera Energy Inc. (common) (X)					Sold (part)	3/8/12	J	A	
831.					Sold	3/16/12	J	A	
832. --Philip Morris Intl (common)					Buy	2/21/12	J		
833.					Buy (add'l)	2/22/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
834.					Sold (part)	3/8/12	J	A	
835.					Buy (add'l)	6/21/12	J		
836.					Sold (part)	8/16/12	J	A	
837.					Sold (part)	9/6/12	J	A	
838.					Sold	9/12/12	J	A	
839. --Pimco Commotiteis Plus Strategy Fd (X)					Sold (part)	6/8/12	K	A	
840.					Buy (add'l)	10/4/12	K		
841. --Texas Instruments Inc. (common)					Buy	2/1/12	J		
842.					Buy (add'l)	3/16/12	J		
843.					Sold	5/7/12	J	A	
844. --Time Warner Cable Inc.					Buy	7/27/12	J		
845.					Sold	8/28/12	J	A	
846. --Vertex Pharmaceuticals Inc. (common) (X)					Sold (part)	3/8/12	J	A	
847.					Sold	10/9/12	J	B	
848. --Walgreen Co. (common)					Buy	6/22/12	J		
849.					Sold (part)	9/6/12	J	A	
850.					Sold	9/12/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
851. --Walter Energy Inc. (common)					Buy	2/2/12	J		
852.					Buy (add'l)	2/10/12	J		
853.					Sold	8/3/12	J	A	
854. --WPX Energy Inc. (common)					Sold	1/4/12	J	A	
855. --Edgewood Growth Fd					Buy	09/10/12	K		
856. --Hartford Capital Appreciation Fd					Buy	10/4/12	M		
857.					Buy (add'l)	11/7/12	J		
858. --JPMorgan Intrepid America Fd					Buy	10/4/12	K		
859.					Buy (add'l)	10/22/12	K		
860. --JPMorgan Large Cap Growth Fd-Select					Buy	8/9/12	K		
861.					Buy (add'l)	8/30/12	K		
862.					Buy (add'l)	9/26/12	K		
863. --JPMorgan Large Cap Core Plus Fd-Select					Buy	10/22/12	K		
864.					Buy (add'l)	12/14/12	J		
865. --Neuberger Berman Multi Cap Opportunities Fd					Buy	8/17/12	L		
866.					Buy (add'l)	8/30/12	K		
867.					Buy (add'l)	12/18/12	J		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
868. --Primecap Odyssey Stock Fd					Buy	9/10/12	L		
869.					Buy (add'l)	9/17/12	K		
870. --Spdr S&P 500 ETF Trust					Buy	8/9/12	L		
871.					Buy (add'l)	8/17/12	K		
872.					Buy (add'l)	8/29/12	L		
873.					Buy (add'l)	9/17/12	J		
874.					Buy (add'l)	9/26/12	J		
875.					Buy (add'l)	10/2/12	K		
876.					Buy (add'l)	11/9/12	J		
877. --JPMorgan Intl Value Fd-Select (X)									
878. --MFS Intl Value Fd-I					Buy	8/7/12	K		
879.					Buy (add'l)	9/17/12	K		
880.					Buy (add'l)	10/4/12	K		
881. --RS Intl Growth Fd (X)									
882. --Vanguard MSCI Europe ETF (VGK)					Buy	9/18/12	K		
883. --iShares MSCI All Country Asia Ex Jpn (AAXJ)					Buy	6/26/12	K		
884.					Buy (add'l)	8/29/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

885. --AIM Invt Balanced Risk All Y Fd					Buy	7/5/12	K		
886.					Buy (add'l)	12/11/12	J		
887. --Arbitrage Funds-I CL I (ARBXX)					Buy	10/4/12	K		
888.					Buy (add'l)	12/20/12	J		
889. --Doubleline Total Return BD I					Buy	6/8/12	K		
890.					Buy (add'l)	9/17/12	J		
891. --JPMorgan Short Term Intermediate Muni Bond-Select					Buy	6/8/12	K		
892.					Buy (add'l)	9/17/12	J		
893. --JPMorgan Realty Income Fd-INSTL (URTLX)					Buy	10/4/12	K		
894.					Buy (add'l)	12/14/12	J		
895. Trust #8	E	Dividend	O	T					
896. --Allstate (common)									
897. --American Electric Power (common)									
898. --Anadarko Petroleum (common)									
899. --AT&T (common)									
900. --Bank of America (common)									
901. --Beam, Inc. (common)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

902. --Blackrock Global Allocation C									
903. --BP Amoco (ADR)									
904. --Conoco Phillips (common)									
905. --Dow Chemical (common)									
906. --Duke Energy (common)									
907. --DuPont (common)									
908. --Exelon (common)									
909. --Exxon (common)									
910. --Fortune Brands Home & Security (common)									
911. --Heinz (common)									
912. --Hershey (common)									
913. --Ivy Asset Strategy					Buy (add'l)	2/10/12	K		
914. --JP Morgan Chase Cash Accounts									
915. --Lincoln National Group (common)									
916. --Merck (common)									
917. --Monsanto (common)									
918. --Pfizer (common)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
919. --Phillips 66 (common)									
920. --Spectra Energy (common)									
921. --Walgreen's (common)									
922. Trust #9	D	Dividend	M	T					
923. --3-M (common)									
924. --Allstate (common)									
925. --Bank of America (common)									
926. --Dow Chemical (common)									
927. --Exelon (common)									
928. --Exxon (common)									
929. --IBM (common)									
930. --JP Morgan Chase (common)									
931. --JP Morgan Chase Cash Account									
932. --Lincoln National Corp. (common)									
933. --Pfizer (common)									
934. --Sherwin Williams (common)									
935. Trust #10	E	Dividend	O	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
Tharp, John J.	05/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
936. --3-M (common)									
937. --Exxon Mobil (common)									
938. --McDonald's (common)									
939. --JP Morgan Chase Cash Account									
940. Trust #11	B	Int./Div.	M	T					
941. --JP Morgan Chase Cash Account									
942. --25% of Moles Family Partnership									
943. ----Accenture PLC Ireland					Sold	2/27/12	J	A	
944. ----ACE Ltd. (common) (Y)									
945. ----Aetna inc. (common) (Y)									
946. ----Agrium Inc. (common) (Y)									
947. ----Air Products & Chemicals Inc. (common) (Y)									
948. ----Alcon Inc. (common) (Y)									
949. ----Allergan Inc. (common)					Sold	07/16/12	J	A	
950. ----Altria Group Inc. (common)					Sold	07/16/12	J	B	
951. ----Amazon.com Inc. (common)					Sold	06/08/12	J	B	
952. ----Ameriprise Financial Inc. (common) (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
953. ----Anadarko Petroleum Corp. (common)					Sold	07/16/12	J	A	
954. ----Apple Inc. (common)					Sold (part)	3/6/12	J	B	
955.					Sold (part)	06/18/12	J	C	
956.					Sold (part)	07/16/12	K	D	
957. ----Arcelormittal-NY Registered (Y)									
958. ----Archer-Daniels-Midland Co. (common) (Y)									
959. ----Astrazeneca PLC (common)					Sold	07/16/12	J	A	
960. ----AT&T Inc. (common)					Sold	07/16/12	J	A	
961. ----Bank of America Corp. (common) (Y)									
962. ----BB&T Corp. (common) (Y)									
963. ----Bernstein Tax-Managed Int'l Portfolio					Sold	07/16/12	M	A	
964. ----Berstein Emerging Markets Portfolio					Sold	07/16/12	K	D	
965. ----Borg Warner Automotive (common) (Y)									
966. ----Borg Warner Inc.(common)					Sold (part)	4/20/12	J	A	
967.					Sold	07/16/12	J	A	
968. ----BP PLC (Sponsored ADR)					Sold	07/16/12	J	A	
969. ----Broadcom Corp. (common)					Sold (part)	4/26/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S =Assessment W ~Estimated	T ~Cash Market	