

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Davison, Paul E.	2. Court or Organization US District Court, SDNY	3. Date of Report 4/29/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (full time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 300 Quarropas Street White Plains, New York 10601		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2015

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. NY Intellectual Property
Lawyers Association

3/27/15 - 3/28/15

New York City

Annual Dinner

Meals, hotel

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Dan Terris	Broadway tickets ("China Doll")	\$472.50
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Harvard College	Tuition and Fees	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. JP Morgan Chase Bank Account		None	K	T					
2. Citibank Bank Accounts		None	J	T					
3. Aberdeen Asia Pac Income (FAX)	C	Int./Div.	K	T					
4. Allergan Inc (AGN)	A	Dividend			Sold	03/26/15	J		
5. Angel Oak Multistrat Income (ANGIX)	A	Int./Div.	K	T	Buy	11/06/15	K		
6. Black Rock A Intl Index (MDIIX)	A	Int./Div.	L	T	Sold (part)	10/06/15	K		
7. Columbia A Small Cap Index (NMSAX)	C	Int./Div.	K	T	Sold (part)	10/06/15	K		
8.					Buy (add'l)	12/19/15	J		
9. Consolidated Edison (ED)	A	Dividend	K	T					
10. DFA Emerging Markets Core Equity (DFCEX)	A	Int./Div.	K	T					
11. DFA Global Real Estate Sec (DFGEX)		None			Sold	02/03/15	K		
12. Disney (DIS)	B	Dividend	L	T	Donated (part)	12/04/15	J		
13. DoubleLine I Total Return Bond (DBLTX)	C	Int./Div.	L	T					
14. Dreyfus S&P 500 Index (PEOPX)	D	Int./Div.	M	T	Buy (add'l)	03/26/15	J		
15.					Buy (add'l)	12/19/15	J		
16. Fidelity Cash Reserve (Qual) (FDRXX)	A	Int./Div.	K	T					
17. Fidelity Equity Income (FEQIX)	B	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$5,001 - \$15,000	D = \$15,001 - \$50,000 E = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000 L = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
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18. Fidelity Floating Rate High Income (FFRHX)	B	Int./Div.	K	T	Buy	02/03/15	K		
19. Fidelity New York Municipal Money Market (FNYXX)	A	Int./Div.	J	T					
20. Fidelity Retirement Money Market (FRTXX)	A	Int./Div.	L	T					
21. Fidelity Spartan Emerging Markets Index Advantage (FPMAX)	A	Int./Div.	K	T					
22. Franklin High Income A (FHAIX)	C	Int./Div.	L	T	Buy (add'l)	10/06/15	K		
23. Glaxosmithkline PLC ADR (GSK)	A	Dividend			Donated	12/04/15	J		
24. Great-West Lifetime 2055 Fund II T1	A	Int./Div.	J	T	Buy	08/14/15	J		
25. iShares Core MSCI Emerging Markets (IEMG)	A	Int./Div.	K	T					
26. iShares iBoxx High Yield Corp Bd (HYG)	B	Int./Div.	K	T	Buy	01/05/15	K		
27.					Sold (part)	06/09/15	K		
28. iShares MSCI EAFE Small Cap Index (SCZ)	A	Int./Div.			Sold	10/06/15	J		
29. iShares iBoxx Investment Grade Corp Bond (LQD)	A	Int./Div.	K	T	Buy	09/03/15	K		
30.					Buy (add'l)	11/06/15	K		
31. iShares S&P Global Timber & Forestry Index (WOOD)	A	Int./Div.	K	T					
32. Johnson & Johnson (JNJ)	C	Int./Div.	L	T	Donated (part)	12/04/15	J		
33. Nuveen Build Amer Bd Fd (NBB)	C	Int./Div.	L	T					
34. Nuveen Build America (NBD)	D	Int./Div.	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Nuveen Credit Strategies Income Fund (JQC)	A	Int./Div.	K	T	Buy	10/06/15	K		
36. Nuveen NY Select (NXN)	A	Int./Div.	J	T	Buy	08/12/15	J		
37. Powershares Build America Bond (BAB)	A	Int./Div.	K	T	Sold	01/05/15	L		
38.					Buy	06/09/15	K		
39. SPDR Barclays Municipal (TFI)	A	Int./Div.	K	T					
40. SPDR Blackstone GSO Sr Loan (SRLN)	B	Int./Div.	K	T	Buy	02/24/15	K		
41. SPDR S&P Emerging Markets Small Cap (EWX)	A	Int./Div.	J	T					
42. SPDR Series Trust (BABS)	A	Int./Div.			Sold	01/05/15	K		
43. T Rowe Price Income R (RRTIX)	A	Int./Div.			Sold	02/03/15	J		
44. SPRTN Total Mkt Indx Investor Class (FSTMX)	A	Int./Div.	J	T	Buy	04/02/15	J		
45. TCW I Total Return Bond (TGLMX)	B	Int./Div.			Sold	11/06/15	L		
46. Zimmer Biomet Holdings Inc (ZBH)	A	Dividend	K	T					
47. New York 529 Inflation Protected Securities Portfolio		None	K	T	Buy (add'l)	06/09/15	J		
48. TRUST #1									
49. -Aberdeen Asia Pac Income (FAX)	B	Int./Div.	L	T	Sold (part)	02/04/15	K		
50.					Buy (add'l)	07/21/15	K		
51.					Buy (add'l)	08/20/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52.					Buy (add'l)	08/25/15	K		
53. -DoubleLine I Total Return Bond (DBLTX)	B	Int./Div.			Sold	08/25/15	L		
54. -Fidelity New York Municipal Income (FTFMX)	C	Int./Div.	L	T					
55. -Fidelity New York Municipal Money Market (FNYXX)	A	Int./Div.	L	T					
56. -Fidelity Spartan 500 Index Advantage (FUSVX)	C	Int./Div.	M	T					
57. -Fidelity Spartan Extended Market Index Adv (FSEVX)	B	Int./Div.	K	T					
58. -Fidelity Spartan Tax-Free Bond (FTABX)	B	Int./Div.	K	T					
59. -Fidelity Spartan Total Market Index - Adv (FSTVX)	D	Int./Div.	M	T					
60. -iShares Core MSCI Emerging Markets (IEMG)	A	Int./Div.	K	T	Buy	08/20/15	J		
61.					Sold	08/25/15	J		
62.					Buy	12/08/15	K		
63. iShares iBoxx High Yield Corporate Bond (HYG)	A	Int./Div.			Buy	10/06/15	K		
64.					Sold	12/08/15	K		
65. -iShares MSCI EAFE Index (EFA)	C	Int./Div.	M	T					
66. -iShares MSCI Emerging Markets Index (EEM)	B	Int./Div.	L	T					
67. -iShares Russell 1000 Index (IWB)	A	Int./Div.	K	T					
68. -iShares S&P 500 Index (IVV)	B	Int./Div.	L	T	Buy (add'l)	08/20/15	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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69. -iShares S&P 500 Value (IVE)	A	Int./Div.	K	T	Buy	08/25/15	J		
70.					Buy (add'l)	09/22/15	K		
71. -iShares S&P Global Timber & Forestry Index (WOOD)	A	Int./Div.	K	T					
72. -JP Morgan Tax Aware Real Return - Instl (TXRIX)	A	Int./Div.			Sold	08/20/15	L		
73. -Nuveen Credit Strategies Income Fund (JQC)	A	Int./Div.	K	T	Buy	12/08/15	K		
74. -Nuveen Energy MLP Total Return (JMF)	C	Int./Div.	K	T					
75. -Nuveen NY Municipal Dividend Advantage (NAN)	D	Int./Div.	M	T					
76. -Payden High Income (PYHRX)	B	Int./Div.	K	T	Buy (add'l)	01/29/15	K		
77.					Sold (part)	06/16/15	K		
78.					Buy (add'l)	12/08/15	K		
79. -Plum Creek Timber Co (PCL)	A	Dividend	J	T					
80. -Potlatch Corp (PCH)	A	Dividend			Sold	10/06/15	J		
81. -Puerto Rico Comwlth Pub Impt-Ser A 5.25% 7/1/2024 (7451455A6)	A	Interest			Sold	04/24/15	J		
82. -Puerto Rico Pub Bldgs AuthSer P 6.25% 7/1/2026 (745235L58)	A	Interest			Sold	07/06/15	J		
83. -S&P Dep Receipts (SPY)	A	Int./Div.	K	T					
84. -SPDR Barclays Municipal (TFI)	B	Int./Div.	L	T					
85. -SPDR Dow Jones Industrial Average (DIA)	B	Int./Div.	K	T					

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86. -TCW Total Return Bond I (TGLMX)	B	Int./Div.	L	T					
87. -Vanguard Emerging Markets (VWO)	A	Int./Div.			Buy	08/25/15	K		
88.					Sold	12/08/15	K		
89. -Vanguard FTSE (VSS)	A	Int./Div.	K	T					
90. -Vanguard Short Term Corp Bond (VCSH)	B	Int./Div.	L	T					
91. -WisdomTree Emerging Mkts Small Cap Div (DGS)	A	Int./Div.			Sold	08/25/15	K		
92.									
93.									
94.									
95.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- Zimmer Holdings Inc (ZMH), reported on line 74 of the 2014 disclosure report, merged with Biomet Inc and became Zimmer Biomet Holdings (ZBH), which appears on line 46 on the 2015 disclosure report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Paul E. Davison

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544