

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) NORGLER, CHARLES R.	2. Court or Organization U.S. DISTRICT COURT	3. Date of Report 05/10/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT COURT, ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address UNITED STATES COURTHOUSE 219 SOUTH DEARBORN STREET STE 2346 CHICAGO, IL 60604-1844		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	ADJUNCT FACULTY	NORTHWESTERN SCHOOL OF LAW
2.	ADJUNCT FACULTY	THE JOHN MARSHALL LAW SCHOOL
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

NORGLE, CHARLES R.

Date of Report

05/10/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	NORTHWESTERN UNIVERSITY SCHOOL OF LAW	\$2,000.00
2. 2015	THE JOHN MARSHALL LAW SCHOOL	\$18,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	ELMHURST COLLEGE
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

NORCLE, CHARLES R.

Date of Report

05/10/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	CHASE CREDIT CARD	CONSUMER CREDIT	J
2.	BANK OF AMERICA CREDIT CARD	CONSUMER CREDIT	J
3.	CTTIBANK CREDIT CARD	CONSUMER CREDIT	J
4.	AMERICAN EXPRESS CREDIT CARD	CONSUMER CREDIT	J
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

NORGLÉ, CHARLES R.

Date of Report

05/10/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CHINA TECHNOLOGY GLOBAL		None	J	T					
2. SUPPORTSAVE SOLUTIONS		None	J	T					
3. DEUTSCHE BANK AG		None	K	T					
4. RESEARCH IN MOTION		None	K	T					
5. ARENA PHARMACEUTICALS		None			Sold (part)	07/22/15	M		
6. ARENA PHARMACEUTICALS		None			Sold	11/05/15	L		
7. CASTLE A M CO. COM		None			Sold (part)	04/15/15	M		
8. CASTLE A M CO. COM		None			Sold	05/11/15	J		
9. INT'L BUSINESS MACHINES		None			Sold	02/27/15	L		
10. SPRINT CORP COM		None			Sold	08/17/15	K		
11. UNITED STATES STEEL CORP		None			Buy	05/11/15	O		
12. UNITED STATES STEEL CORP		None			Sold	05/29/15	O		
13. GENERAL STEEL HOLDINGS INC		None			Sold	10/09/15	J		
14. GENERAL STEEL HOLDINGS INC		None			Sold	11/24/15	J		
15. AMERICAN EXPRESS		None			Buy	02/23/15	K		
16. AMERICAN EXPRESS		None			Sold	02/23/15	K	A	
17. BLACKBERRY LTD. COM		None			Buy	03/30/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. BLACKBERRY LTD. COM		None			Sold	04/02/15	J	A	
19. DUPONT E I DE NEMOURS		None			Buy	01/15/15	K		
20. DUPONT E I DE NEMOURS		None			Sold	04/22/15	K	A	
21. INTEL CORP		None			Buy	06/04/15	L		
22. INTEL CORP		None			Sold	07/24/15	L		
23. JAGUAR ANIMAL HEALTH		None			Buy	05/13/15	K		
24. JAGUAR ANIMAL HEALTH		None			Sold	11/20/15	J		
25. MERCK & CO.		None			Buy	01/14/15	K		
26. MERCK & CO.		None			Sold	02/27/15	K	A	
27. MICROSOFT CORP		None			Buy	01/14/15	L		
28. MICROSOFT CORP		None			Sold	02/12/15	L	B	
29. TIFFANY & CO		None			Buy	03/20/15	J		
30. TIFFANY & CO		None			Sold	03/23/15	J	A	
31.									
32.									
33.									
34.									

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FINANCIAL DISCLOSURE REPORT

Page 6 of 8

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35.									
36.									

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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

NORGLER, CHARLES R.

Date of Report

05/10/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

NORGLE, CHARLES R.

Date of Report

05/10/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ CHARLES R. NORGLE**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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