

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Daniel, Wiley Y.	2. Court or Organization U.S. District Court, Colorado	3. Date of Report 04/23/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge, Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address United States District Court 901 19th Street, A 1038 Denver, CO 80294		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member of Board	Federal Judges Association
2.	Member of Board	Center for Legal Inclusiveness (f/k/a Colorado Campaign for Inclusive Excellence)
3.	Member of Board	Denver Kids, Inc.
4.	Member of Board	Center for African American Health
5.	Member of Board	Rocky Mountain Childrens Choir
6.	Member of Board	AMI Kids

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2017	University of Colorado School of Law for teaching	\$7,200.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. ACI Conference	1/24/17-1/26/17	Miami, FL	Speaker at Conference	Transportation, Lodging & Food
2. ACAM Conference	3/31/17-4/1/17	San Diego, CA	Speaker at Conference	Transportation, Lodging & Food
3. FJA Board Meeting	5/23/17-5/25/17	Washington, D.C.	Attendee at Meeting	Transportation, Lodging & Food
4. AMI Kids Conference	10/6/17-10/9/17	Clearwater Beach, FL	Attendee at Conference	Transportation, Lodging & Food
5. ACI Conference	11/2/17-11/3/17	New York, NY	Speaker at Conference	Transportation, Lodging & Food

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Akre Focus Fd Retail	A	Dividend	J	T	Sold (part)	06/01/17	J	A	N/A
2. Artisan International	A	Dividend			Sold	04/10/17	J	A	N/A
3. JOHCM International Select Fund	A	Dividend	J	T	Sold (part)	04/10/17	J	A	N/A
4. Oakmark International	A	Dividend			Sold	04/10/17	J	A	N/A
5. Oakmark Select	A	Dividend	J	T	Sold (part)	03/16/17	J	A	N/A
6. Oppenheimer Developing Markets	A	Dividend			Sold	06/01/17	J	A	N/A
7. Oppenheimer Emerging Markets	A	Dividend	J	T	Sold (part)	04/10/17	J	A	N/A
8. Riverpark Wedgewood	A	Dividend			Sold	06/01/17	J	A	N/A
9. Schwab S&P 500 Index	A	Dividend	J	T					
10. AQR Multi Strategy Alternative Class	A	Dividend	J	T	Sold (part)	04/10/17	J	A	N/A
11. Diamond Hill Long Short	A	Dividend	J	T	Sold (part)	06/01/17	J	A	N/A
12. Gateway Fund	A	Dividend	J	T	Sold (part)	06/01/17	J	A	N/A
13. Loomis Sayles	A	Dividend	J	T	Sold (part)	03/16/17	J	A	N/A
14. Cash	A	Dividend	J	T					
15. Onemain Holdings	A	Dividend	J	T					
16. Berkshire Hathaway	A	Dividend	J	T	Sold (part)	06/01/17	J	A	N/A
17. Charles Schwab Joint Brokerage Account Cash	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Wiley Y. Daniel**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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