

FINANCIAL DISCLOSURE REPORT FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) White, Gregory A.	2. Court or Organization Northern District of Ohio	3. Date of Report 04/28/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 02/29/2016
7. Chambers or Office Address 801 West Superior Avenue Chambers 11B Cleveland, Ohio 44113		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member - Executive Board	Federal Bar Association-NDOH
2.	Member - Executive Board	Partnership for Safer Cleveland
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1979	Ohio Public Employees Retirement System, Defined Benefit Plan.
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

White, Gregory A.

Date of Report

04/28/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	Ohio Public Employees Retirement System	\$89,240.86
2. 2016	Ohio Public Employees Retirement System	\$14,900.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	The University of Akron - Salary
2. 2016	School Employees Retirement System of Ohio
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

White, Gregory A.

Date of Report

04/28/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

White, Gregory A.

Date of Report

04/28/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. EDS Electronic Data Systems, Retirement System, No Control	D	Distribution	M	W					
2. 1/21st Int. - Condo Rental, Port Clinton, OH	A	Rent	J	R					
3. Talmer Bank	A	Interest	K	T					
4. Biocryst Pharmaceutical Inc.		None	J	T					
5. UBS Resource Management Account: UBS Bank USA - PACE (H)	D	Dividend	M	T					
6. - PACE Money Market Investment Fund Class P Cash Equiv									
7. - AMG Yackman Fund					Sold	04/22/15	J	A	
8. - Diamond Hill Small Cap					Buy (add'l)	04/22/15	J		
9.					Buy (add'l)	06/16/15	J		
10. - Fidelity Advisor New Insights Fund Class I					Buy (add'l)	04/22/15	J		
11.					Buy (add'l)	06/16/15	J		
12. - Invesco Equally-Weighted S&P 500 Fund Class Y					Buy (add'l)	04/22/15	K		
13.					Buy (add'l)	06/16/15	J		
14. - JP Morgan Equity Income Fund Select					Buy (add'l)	04/22/15	J		
15.					Buy (add'l)	06/16/15	J		
16. - MFS International Diversification Fund Class I					Buy (add'l)	04/22/15	J		
17.					Buy (add'l)	06/16/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

White, Gregory A.

Date of Report

04/28/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Oak Ridge Small Cap Growth Fund Class Y					Buy (add'l)	04/22/15	J		
19.					Buy (add'l)	06/16/15	J		
20. - Principal Midcap Fund Class P					Buy (add'l)	04/22/15	J		
21.					Buy (add'l)	06/16/15	J		
22. - Double Line Total Return Fund					Buy (add'l)	04/22/15	J		
23.					Buy (add'l)	06/16/15	J		
24.					Buy (add'l)	12/16/15	J		
25. - Loomis Sayles Investment Grade Bond Class Y					Buy (add'l)	04/22/15	J		
26.					Buy (add'l)	06/16/15	J		
27. - Prudential Short-Term Corporate Bond Fund					Buy (add'l)	04/22/15	J		
28.					Buy (add'l)	06/16/15	J		
29.					Buy (add'l)	12/16/15	J		
30. - Blackrock Global Long/Short Credit Fund					Buy (add'l)	04/22/15	J		
31.					Buy (add'l)	04/23/15	J		
32.					Buy (add'l)	06/16/15	J		
33. - Gabelli ABC Advisor Fund					Buy (add'l)	04/22/15	J		
34.					Buy (add'l)	06/16/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

White, Gregory A.

Date of Report

04/28/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. - Mainstay Marketfield Fund Class I					Sold	04/22/15	J	A	
36. - FT Templeton Global Bond A					Buy (add'l)	04/22/15	J		
37.					Buy (add'l)	06/16/15	J		
38.					Sold	12/16/15	J	A	
39. - Pimco Total Return Fund Class A					Sold	04/20/15	J	A	
40. -Metropolitan West Total Return Bond Fund					Buy	04/23/15	J		
41.					Buy (add'l)	06/16/15	J		
42. -William Blair Allocation Fund					Buy	04/22/15	J		
43.					Buy (add'l)	06/16/15	J		
44. UBS Financial Services IRA - PACE Portfolio (H)	E	Dividend	N	T					
45. - UBS PACE Money Market Investment Fund Class P Cash Equiv.									
46. - AMG Yacktman Fund					Sold	04/22/15	K	C	
47. - Diamond Hill Small Cap					Sold (part)	04/22/15	J	B	
48.					Buy (add'l)	12/16/15	J		
49. - Fidelity Advisor New Insights Fund Class I					Sold (part)	04/22/15	J	A	
50.					Sold (part)	12/16/15	J	A	
51. - Invesco Equally-Weighted S&P 500 Fund Class Y					Buy (add'l)	12/16/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

White, Gregory A.

Date of Report

04/28/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. - JP Morgan Equity Income Fund Select					Sold (part)	04/22/15	J	A	
53.					Sold (part)	12/16/15	J	A	
54. - MFS International Diversification Fund Class I					Buy (add'l)	12/16/15	J		
55. - Oak Ridge Small Cap Growth Fund Class Y					Sold (part)	04/22/15	J	A	
56.					Buy (add'l)	12/16/15	J		
57. - Principal Midcap Fund Class P					Sold (part)	04/22/15	J	A	
58.					Buy (add'l)	12/16/15	J		
59. - Double Line Total Return fund					Buy (add'l)	12/16/15	K		
60. - Loomis Sayles Investment Grade Bond Class Y					Buy (add'l)	12/16/15	J		
61. - Prudential Short-Term Corporate Bond Fund					Buy (add'l)	12/16/15	K		
62. - Blackrock Global Long/Short Credit Fund					Buy (add'l)	04/23/15	J		
63.					Buy (add'l)	12/16/15	J		
64. - Gabelli ABC Advisor Fund					Sold (part)	12/16/15	J	A	
65. - Gateway Fund Class Y					Sold (part)	12/16/15	J	A	
66. - Mainstay Marketfield Fund Class I					Sold	04/22/15	J	A	
67. - Permanent Portfolio Fund INC					Sold	12/16/15	J	A	
68. - FT Templeton Global Bond A					Sold	12/16/15	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

White, Gregory A.

Date of Report

04/28/2016

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. - Pimco Total Return Fund Class A					Sold	04/22/15	K	A	
70. -Metropolitan West Total Return Bond Fund					Buy	04/22/15	K		
71.					Sold (part)	12/16/15	J	A	
72. -William Blair Allocation Fund					Buy	04/22/15	J		
73.					Buy (add'l)	12/16/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

White, Gregory A.

Date of Report

04/28/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. Investments and Trusts, page 4, line 2 - purchased 12/27/96...purchase price \$109,500

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

White, Gregory A.

Date of Report

04/28/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Gregory A. White

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544