

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kelly, Maureen P.	2. Court or Organization U.S. District Court for the Western District of Pennsylvania	3. Date of Report 07/27/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief United States Magistrate Judge Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. District Court for the Western District of Pennsylvania 9280 U.S. Post Office and Courthouse 700 Grant Street Pittsburgh, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Kelly, Maureen P.

Date of Report

07/27/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Architect, S-Corp, Hayes Design Group - Architects, Inc - W2 and K1 (Employee & 100% Shareholder)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Allegheny County Bar Association	6/15/17 - 6/16/17	Champion, PA	Bench - Bar Conference	Food and lodging
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Kelly, Maureen P.

Date of Report

07/27/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Kelly, Maureen P.

Date of Report

07/27/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Schwab retirement inherited IRA (H)									
2. -Cash & Cash Equivalents		None	J	T					
3. -Large Domestic Equity Berk Hathaway class B	C	Distribution	K	T	Sold (part)	12/05/17	J	C	
4. PNC Savings Account	C	Interest	L	T					
5. PNC Savings Account	C	Interest	L	T					
6. Schwab Brokerage Account (H)									
7. -Large US Equity Berk Hath new Class B		None	N	T	Sold (part)	05/31/17	J	C	
8. -Large US Equity Polen Growth Fund	A	Dividend	N	T	Buy (add'l)	02/06/17	L		
9.					Sold (part)	07/20/17	K	D	
10.					Distributed (part)	12/07/17	J	C	
11. -Large US Equity Dodge & Cox Stock Fund		None			Sold	02/03/17	M	E	
12. -Large US Equity Yacktman Focused Inst	B	Dividend	N	T	Buy (add'l)	02/06/17	M		
13.					Distributed (part)	12/27/17	K	E	
14. -Large US Equity Touchstone Sands Capital		None			Sold (part)	02/03/17	L	D	
15.					Sold	07/20/17	K	D	
16. -Large US Equity Fairholme Fund		None			Sold (part)	05/31/17	J		
17.					Sold	12/13/17	L		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Kelly, Maureen P.

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07/27/2018

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Large US Equity Jensen Quality Growth Fd	B	Dividend	M	T	Distributed (part)	12/20/17	J	C	
19. -Large US Equity Chase Investment Counsel		None			Sold (part)	02/03/17	K	A	
20.					Sold	07/20/17	K	C	
21. -Large US Equity American Express Company	B	Dividend	L	T					
22. -Large US Equity Harbor Cap Appreciation		None			Sold	02/03/17	L	E	
23. -Large US Equity Kinetics Paradigm Fund C I I		None	M	T	Buy (add'l)	02/06/17	K		
24. -Large US Equity Longleaf Partners Fund	A	Dividend	L	T	Buy (add'l)	02/06/17	L		
25.					Distributed (part)	11/16/17	J	D	
26.					Sold (part)	12/13/17	J	A	
27. -Large US Equity Sequoia Fund		None	K	T	Distributed (part)	06/12/17	J	A	
28.					Distributed (part)	11/13/17	J	B	
29.					Buy (add'l)	12/14/17	J		
30. -Large US Equity PNC Finl Services Gp Inc	A	Dividend	J	T					
31. -Mid US Equity Akre Focus Fd Inst		None	M	T	Buy (add'l)	02/06/17	K		
32.					Distributed (part)	12/15/17	J	B	
33. -Mid US Equity Nuance Concentrated Value		None	K	T	Buy (add'l)	02/06/17	K		
34.					Distributed (part)	12/21/17	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$5,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Kelly, Maureen P.

Date of Report

07/27/2018

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -Small US Equity FPA Capital Fund CI A	A	Dividend	M	T	Distributed (part)	07/03/17	J	D	
36.					Sold (part)	12/14/17	K		
37. -Small US Equity Longleaf Partners Small Cap Fund		None	M	T	Distributed (part)	11/16/17	J	D	
38. -Small US Equity Intrepid Endurance Fund		None	L	T	Distributed (part)	12/27/17	J	B	
39. -Small US Equity Wintergreen Fund		None			Sold	02/03/17	K	C	
40. -Small US Equity Vulcan Value Partners Small Cap		None	K	T	Distributed (part)	12/05/17	J	A	
41.					Buy (add'l)	12/14/17	J		
42. -Small US Equity Towle Deep Value	A	Dividend	M	T	Buy	01/23/17	K		
43.					Buy (add'l)	02/03/17	L		
44.					Distributed (part)	12/06/17	K	E	
45. -Small US Equity Cove Street Capital	C	Dividend	M	T	Buy	01/23/17	K		
46.					Buy (add'l)	02/03/17	L		
47.					Distributed (part)	11/10/17	J	C	
48. -Global Equity Oakmark Global Select (Line 33 from 2016 report)	A	Dividend	N	T	Distributed (part)	12/14/17	J	D	
49. -Global Equity Evermore Global Value Fd CI I	B	Dividend	M	T	Buy (add'l)	02/03/17	L		
50.					Distributed (part)	11/30/17	J	C	
51.					Buy (add'l)	12/14/17	L		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Kelly, Maureen P.

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07/27/2018

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52. -International Developed Equity Tweedy Browne Global Value Fund		None	M	T	Buy (add'l)	07/21/17	K		
53.					Distributed (part)	12/27/17	J	A	
54. -International Developed Equity Harbor International Fund Inst C1	B	Dividend	M	T	Buy (add'l)	07/21/17	K		
55.					Distributed (part)	12/18/17	J	D	
56. -International Developed Equity First Eagle Overseas Fund C1	A	Dividend	M	T	Buy (add'l)	07/21/17	K		
57.					Distributed (part)	12/13/17	J	C	
58. -International Developed Equity Lazard Intl Strategic			M	T					
59. -International Emerging Equity Seafarer Overseas Gwth & Inc	D	Dividend	M	T	Buy	02/03/17	M		
60.					Buy (add'l)	07/21/17	K		
61.					Buy (add'l)	12/14/17	J		
62.					Distributed (part)	12/14/17	J	A	
63. -Alternative Securities Baron Real Estate Fund		None	L	T	Distributed (part)	11/28/17	J	C	
64. -Alternative Securities Litman Gregory Masters Alt Strat Inst		None	L	T					
65. -Alternative Securities AQR Diversified		None	L	T					
66. -Alternative Securities Calamos Market Neutral	A	Dividend	J	T	Sold (part)	05/31/17	J	A	
67.					Sold (part)	12/14/17	J	A	
68.					Distributed (part)	12/14/17	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Kelly, Maureen P.

Date of Report

07/27/2018

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69. -Fixed Income Long/Intermediate Loomis Sayles Bond C1 I		None	K	T	Distributed (part)	12/15/17	J	A	
70. -Fixed Income Long/Intermediate Third Avenue Focused Credit Fd Inst C1		None	J	T					
71. -Fixed Income Short DFA One Year Fixed Incm	A	Dividend	J	T	Buy	07/20/17	J		
72. -Cash & Cash Equivalents (Schwab various accounts)	A	Int./Div.	L	T					
73. Allegiant Federal Credit Union Cash Account	B	Interest	L	T		01/02/17			

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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting	Date of Report
Kelly, Maureen P.	07/27/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

For the investments section, the Large Domestic Equity Longleaf Partners Fund and Large Domestic Equity Wintergreen Fund under the Schwab retirement inherited IRA are not listed this year because they were disposed of in previous years. They should not have been on the 2016 report (Lines 4 and 5 on 2016 report). The BCCZ 401k Retirement Plan (no control) from the 2016 report is not on this report because it was rolled into the Schwab Brokerage Account in previous years. This shouldn't have been on the 2016 report (Line 8 from 2016 report).

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Kelly, Maureen P.

Date of Report

07/27/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Maureen P. Kelly

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544