

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Schroeder, H. Kenneth Jr.	2. Court or Organization U.S. District Court, W.D.N.Y.	3. Date of Report 04/27/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge-Full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. Courthouse 2 Niagara Square Buffalo, New York 14202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ **NONE** (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. May 2000	Hodgson, Russ, Andrews, Woods & Goodyear Retirement Plan - former law firm - no control
2. May 2000	Hodgson, Russ, Andrews, Woods & Goodyear Capital Accounts - former law firm - no control
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 12/08/10	Hodgson,Russ,Andrews,Woods&Goodyear-Retirement income from pension plan.	\$75,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA ACCOUNT - Common Stock & money funds:									
2. MLIKX Money Funds		None	J	T					
3. Hodgson, Russ, Andrews, Woods & Goodyear 401(k) - no control									
4. Common Stock:									
5. Harbor Capital Appreciation		None	K	T					
6. Managers Special Equity		None	K	T					
7. Dodge & Cox Stock Fund		None	K	T					
8. Loomis Sayles Small Cap		None	K	T					
9. Fleet Bank-checking account	A	Interest	J	T					
10. Common Stock - M&T Bank	A	Dividend	L	T					
11. Mutual Funds:									
12. AIM Large Cap Growth Fund		None	J	T					
13. Alliance Premier Growth Fund		None	J	T					
14. Legg Mason Value Trust		None	J	T					
15. Lord Abbett Secs Tr.		None	J	T					
16. Putnam Int. Growth Fund		None	J	T					
17. Templeton Funds Inc		None	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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18. Van Kampen Comstock CL		None	J	T					
19. Van Kampen Equity Trust		None	J	T					
20. Money Market Funds:									
21. Legg Mason Cash Reserve Trust		None	K	T					
22. Section 529 - Internal Revenue Code Education Funds for									
23. New York's College Savings Program (age/ risk based invest.)									
24.		None	K	T					
25.		None	K	T					
26.		None	K	T					
27. M&T BANK (common stock)	B	Dividend	K	T					
28. M&T BANK (common stock)	A	Dividend	J	T					
29. M&T BANK (common stock)	A	Dividend	K	T					
30. M&T BANK (common stock)	A	Dividend	K	T					
31. M&T BANK (common stock)	B	Dividend	J	T					
32. M&T BANK (common stock)	B	Dividend	J	T					
33. M&T BANK (common stock)	B	Dividend	J	T					
34. M&T BANK (common stock)	B	Dividend	J	T					

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35. UNITEDHEALTH GROUP INC		None	J	T					
36. BERKSHIRE HATHAWAY CLB		None			Sold	06/21/11	J	A	
37. CONSTELLATION ENERGY GP		None	J	T					
38. HERSHEY FOODS CORP		None	J	T					
39. IMMUNEX CORP NEW		None	J	T					
40. INDYMAC BANCORP INC		None	J	T					
41. LEHMAN BROTHRS HLDGS COM		None	J	T					
42. HCA INC		None	J	T					
43. VISHAY INTERTECHNLGY		None	J	T					
44. BARNES & NOBLE INC		None	J	T					
45. WACHOVIA CORP		None	J	T					
46. REHABCARE GROUP INC		None	J	T					
47. ELECTRS BOUTIQUE HLDGS		None	J	T					
48. AT&T CORP		None	J	T					
49. HARLEY DAVIDSON INC		None	J	T					
50. MID ATLANTIC MED SVCS		None	J	T					
51. OCEAN ENERGY INC		None	J	T					

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52. PUB SVC NEW MEX PR		None	J	T					
53. AFFILIATED COMP SVCS		None	J	T					
54. AMERICAN STD COS		None	J	T					
55. ANIXTER INTL INC		None	J	T					
56. BOISE CASCADE		None	J	T					
57. MBNA CORP		None	J	T					
58. OPEN TEXT CORP		None	J	T					
59. LEAR CORP		None	J	T					
60. CAPITOL ONE FINL		None	J	T					
61. CAPITOL ONE FINL		None	J	T					
62. KINETICS CONCEPTS		None	J	T					
63. NEWS C ORP C1		None	J	T					
64. NORFOLK SOUTHERN		None	J	T					
65. OPEN TEXT CORP		None	J	T					
66. SONY CORP		None	J	T					
67. WEIGHT WATCHERS INT		None	J	T					
68. HARTFORD FINL SVCS GROUP		None	J	T					

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69. COMMERCE BANCORP INC NJ		None	J	T					
70. INGRAM MICRO INC CL A		None	J	T					
71. MOTOROLA INC COM		None	J	T					
72. MICRO DEVICES INC		None	J	T					
73. SCIENTIFIC ATLANTA		None	J	T					
74. MATSUSHTA EL IND ADR		None	J	T					
75. FISHER SCIENTIFIC 1 NEW		None	J	T					
76. THERMO ELECTRON CORP		None	J	T					
77. LEXMARK INTL INC CL A		None	J	T					
78. UNIVISION COMM INC CL A		None	J	T					
79. ALTRIA GROUP INC		None			Sold	08/19/11	J	A	
80. SAP AKGSLTT SPONSORD ADR		None	J	T					
81. KOHLS CORP WISC PV ICT		None	J	T					
82. SIMON PROPERTY GROUP DEL REIT		None			Sold	03/09/11	J	B	
83. GLOBAL SANTAFE CORP		None	J	T					
84. REGIONS FINL CORP		None	J	T					
85. CITIZENS COMMNCTNS CO		None	J	T					

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86. HEWLETT PACKARD CO DE		None			Sold	05/20/11	J	A	
87. COACH INC		None			Sold	08/19/11	J	A	
88. AT&T INC		None			Sold	04/08/11	J	B	
89. EXPRESS SCRIPTS INC CO		None			Sold	06/21/11	J	A	
90. AMERICAN TOWER CORP CL A		None			Sold	08/19/11	J	A	
91. PENSKE AUTO GROUP INC		None	J	T					
92. GARMIN LTD (KAYMAN IS)		None	J	T					
93. CHEVRON CORP		None	J	T					
94. CHEVRON CORP		None	J	T					
95. FASTENAL COMPANY		None			Sold	06/21/11	J	A	
96. TRAVELERS COS INC		None			Sold	08/19/11	J	A	
97. EXXON MOBIL CORP COM		None			Sold	08/19/11	J	A	
98. GENERAL MILLS		None			Sold	08/19/11	J	A	
99. WAL-MART STORES INC		None			Sold	08/16/11	J	A	
100. COCA COLA COM		None			Sold	08/19/11	J	A	
101. ALTRIA GROUP INC		None	J	T					
102. ONEOK INC (OKLAHOMA)		None			Sold	02/09/11	J	B	

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103. MYLAN INC		None			Sold	08/19/11	J	A	
104. JM SMUCKER CO		None	J	T					
105. CHURCH&DWIGHT CO INC		None			Sold	05/13/11	J	B	
106. UNDER ARMOUR INC		None			Sold	02/16/11	J	B	
107. CROWN CASTLE INTL CORP		None			Sold	08/19/11	J	A	
108. TREEHOUSE FOODS INC COM STK		None	J	T					
109. ATC TECH CORP		None	J	T					
110. USG CORP COM NEW		None			Sold	07/27/11	J	A	
111. JACOBS ENGN GRP INC DELA		None			Sold	08/19/11	J	A	
112. GILEAD SCIENCES INC COM		None	J	T					
113. CHICOS FAS INC COM		None			Sold	08/19/11	J	A	
114. APACHE CORP		None	J	T					
115. PPG INDUSTRIES INC SHS		None			Sold	08/19/11	J	A	
116. GENUINE PARTS CO		None			Sold	01/21/11	J	B	
117. LIFE TECHNOLOGIES CORP		None			Sold	08/19/11	J	A	
118. ITT CORP		None			Sold	01/18/11	J	B	
119. WILLIS GROUP HLDINGS LTS		None			Sold	01/31/11	J	B	

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120. HOME PROPERTIES INC REIT		None			Sold	06/02/11	J	B	
121. MCKESSON CORPORATION COM		None			Sold	08/19/11	J	A	
122. MEDCO HEALTH SOLUTIONS I		None			Sold	06/02/11	J	B	
123. NEW YORK CMNTY BANCORP		None			Sold	01/31/11	J	B	
124. STATE STREET CORP		None			Sold	01/24/11	J	A	
125. JPMORGAN CHASE & CO		None			Sold	01/24/11	J	B	
126. DR REDDY'S LAB LTD ADR		None			Sold	01/31/11	J	A	
127. TUPPERWARE BRANDS CORP		None			Sold	03/08/11	J	A	
128. L.V.M.H. EUR 0.33EUR PAR ORDINARY		None	J	T					
129. PRUDENTIAL FINANCIAL INC		None			Sold	08/19/11	J	A	
130. NICE SYSTS LTD SPSD		None			Sold	08/19/11	J	A	
131. JOHNSON CONTROLS INC		None			Sold	08/19/11	J	A	
132. VERTEX PHARMCTLS INC		None			Sold	03/09/11	J	B	
133. PETROCHINA CO LTD SP		None			Sold	05/13/11	J	A	
134. UDR INC		None			Sold	06/02/11	J	B	
135. CBL & ASSOC PPTYS INC		None			Sold	08/19/11	J	A	
136. FEDEX CORP DELAWARE		None			Sold	08/19/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Schroeder, H. Kenneth Jr.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. UNITED CONTL HLDGS INC		None			Sold	08/19/11	J	A	
138. WORLD FUEL SERVICES		None			Sold	08/19/11	J	A	
139. RIO TINTO PLC SPNSRD		None			Sold	08/19/11	J	A	
140. MYLAN INC		None	J	T					
141. VF CORPORATION		None			Sold	10/03/11	J	A	
142. DISCOVERY COMMUNICATIONS		None			Sold	08/19/11	J	A	
143. E M C CORPORATION		None			Sold	03/04/11	J	B	
144. DOMINOS PIZZA INC		None			Sold	03/04/11	J	A	
145. METROPCS COMM INC		None			Sold	08/19/11	J	A	
146. AVNET INC		None			Sold	08/19/11	J	A	
147. TRW AUTOMOTIVE HLDGS		None			Sold	08/19/11	J	A	
148. APPLE INC		None			Sold	11/16/11	J	B	
149. TYSON FOODS INC CL A		None			Sold	02/08/11	J	A	
150. CUMMINS INC COM		None			Sold	08/19/11	J	A	
151. NOKIA CORP SPON ADR		None			Sold	01/24/11	J	A	
152. CVS CAREMARK CORP		None			Sold	08/19/11	J	A	
153. THERMO FISHER		None			Sold	08/19/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. MAGNA INTL INC CL A		None			Sold	01/18/11	J	B	
155. PEOPLES UNITED FNL INC		None			Sold	08/19/11	J	A	
156. PRECISION CASTPARTS CORP DE		None			Sold	11/01/11	J	A	
157. ARROW ELECTRONICS		None			Sold	01/21/11	J	B	
158. ITC HLDS CORP		None			Sold	05/13/11	J	A	
159. XEROX CORP		None			Sold	08/19/11	J	A	
160. MANITOWOC CO INC WIS		None			Sold	08/19/11	J	A	
161. FAMILY DOLLAR STORES		None			Sold	02/22/11	J	B	
162. SMITH A O CORP DEL		None			Sold	08/19/11	J	A	
163. DREAMWORKS		None			Sold	03/02/11	J	A	
164. UNTD RENTALS INC		None			Sold	08/19/11	J	A	
165. KELLY SVCS INC CL A		None			Sold	08/19/11	J	A	
166. PARKER HANNIFIN CORP		None			Sold	05/02/11	J	B	
167. ILLINOIS TOOL WORKS		None			Sold	07/29/11	J	B	
168. DEUTSCHE POST AG EUR		None			Sold	05/18/11	J	B	
169. AMEC 50P FN GBP		None			Sold	08/19/11	J	A	
170. ADVANCED ENERGY INDS INC		None			Sold	02/16/11	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Schroeder, H. Kenneth Jr.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. BOSTON PPTYS INC REIT		None			Sold	06/02/11	J	B	
172. WEBMD HEALTH CORP		None			Sold	05/13/11	J	A	
173. FIFTH THIRD BANCORP		None			Sold	02/07/11	J	A	
174. ORACLE CORP \$0.01 DEL		None			Buy	01/04/11	J		
175. ORACLE CORP \$0.01 DEL		None			Sold	08/19/11	J	A	
176. EXPEDIA INC DEL		None			Buy	01/10/11	J		
177. EXPEDIA INC DEL		None			Sold	05/25/11	J	B	
178. CARLSBERG AS-B 20.DKK		None	J	T	Buy	02/01/11	J		
179. TIME WARNER CABLE INC		None			Buy	02/01/11	J		
180. TIME WARNER CABLE INC		None			Sold	08/02/11	J	B	
181. OSHKOSH CORPORATION		None			Buy	02/02/11	J		
182. OSHKOSH CORPORATION		None			Sold	08/02/11	J	A	
183. ETHAN ALLEN INTERIORS		None			Buy	02/08/11	J		
184. ETHAN ALLEN INTERIORS		None			Sold	05/13/11	J	B	
185. LDK SOLAR CO LTD ADR		None			Buy	02/09/11	J		
186. LDK SOLAR CO LTD ADR		None			Sold	05/13/11	J	A	
187. UGI CORP NEW		None			Buy	02/16/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Schroeder, H. Kenneth Jr.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. UGI CORP NEW		None			Sold	08/19/11	J	A	
189. AKAMAI TECHNOLOGIES INC		None			Buy	02/16/11	J		
190. AKAMAI TECHNOLOGIES INC		None			Sold	05/13/11	J	A	
191. POLO RALPH LAUREN CORP		None			Buy	02/22/11	J		
192. POLO RALPH LAUREN CORP		None			Sold	09/14/11	J	A	
193. ILLUMINA INC COM		None			Buy	02/23/11	J		
194. ILLUMINA INC COM		None			Sold	05/02/11	J	B	
195. SWATCH GROUP (BR)		None	J	T	Buy	02/24/11	J		
196. MICROSEMI CORP		None			Buy	03/01/11	J		
197. MICROSEMI CORP		None			Sold	08/19/11	J	A	
198. F5 NETWORKS INC COM		None			Buy	03/01/11	J		
199. F5 NETWORKS INC COM		None			Sold	03/24/11	J	A	
200. MONOLITHIC PWR SYSTEMS INC		None			Buy	03/03/11	J		
201. MONOLITHIC PWR SYSTEMS INC		None			Sold	05/13/11	J	B	
202. COMP DE BEBIDAS SPN ADR		None			Buy	03/09/11	J		
203. COMP DE BEBIDAS SPN ADR		None			Sold	08/19/11	J	A	
204. TESCO CORP COM		None			Buy	03/11/11	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Schroeder, H. Kenneth Jr.

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04/27/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. TESCO CORP COM		None			Sold	03/25/11	J	B	
206. AUTOZONE INC NEVADA COM		None			Buy	03/11/11	J		
207. AUTOZONE INC NEVADA COM		None			Sold	03/31/11	J	B	
208. HEALTHSPRING INC		None			Buy	03/14/11	J		
209. HEALTHSPRING INC		None			Sold	08/19/11	J	A	
210. EXXON MOBIL CORP COM		None	J	T	Buy	03/14/11	J		
211. KYOCERA CORP ADR		None			Buy	03/15/11	J		
212. KYOCERA CORP ADR		None			Sold	05/13/11	J	B	
213. COVIDIEN PLC SHS		None			Buy	03/15/11	J		
214. COVIDIEN PLC SHS		None			Sold	08/19/11	J	A	
215. SUPERIOR IND INTL CALIF		None			Buy	03/21/11	J		
216. SUPERIOR IND INTL CALIF		None			Sold	08/19/11	J	A	
217. MARVELL TECHNOLOGY GROUP		None			Buy	03/21/11	J		
218. MARVELL TECHNOLOGY		None			Sold	08/19/11	J	A	
219. GENERAL MOTORS CO		None			Buy	03/21/11	J		
220. GENERAL MOTORS CO		None			Sold	08/19/11	J	A	
221. GOOGLE INC CL A		None			Buy	03/21/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. GOOGLE INC CL A		None			Sold	07/29/11	J	A	
223. FIFTH THIRD BANKCORP		None			Buy	03/22/11	J		
224. FIFTH THIRD BANCORP		None			Sold	05/18/11	J	A	
225. LAZARD LTD CL A		None			Buy	03/23/11	J		
226. LAZARD LTD CL A		None			Sold	08/19/11	J	A	
227. RELIANCE STL & ALUM CO		None			Buy	03/29/11	J		
228. RELIANCE STL & ALUM CO		None			Sold	08/19/11	J	A	
229. CSG SYSTS INTL INC COM		None			Buy	03/29/11	J		
230. CSG SYSTS INTL INC COM		None			Sold	08/08/11	J	A	
231. NEWFIELD EXPL CO COM		None			Buy	03/30/11	J		
232. NEWFIELD EXPL CO COM		None			Sold	08/19/11	J	A	
233. TIFFANY & CO NEW		None			Buy	03/31/11	J		
234. TIFFANY & CO NEW		None			Sold	08/19/11	J	A	
235. NEENAH PAPER INC		None			Buy	04/12/11	J		
236. NEENAH PAPER INC		None			Sold	08/19/11	J	A	
237. TUPPERWARE BRANDS CORP		None			Buy	05/02/11	J		
238. TUPPWEWARE BRANDS CORP		None			Sold	08/19/11	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. VIACOM INC NEW CL B		None			Buy	05/13/11	J		
240. VIACOM INC NEW CL B		None			Sold	08/19/11	J	A	
241. NEENNAH PAPER INC		None	J	T	Buy	05/13/11	J		
242. MAGNA INTL INC CL A VTG		None			Buy	05/13/11	J		
243. MAGNA INTL INC CL A VTG		None			Sold	08/19/11	J	A	
244. BARRICK GOLD CORPORATION		None			Buy	05/13/11	J		
245. BARRICK GOLD CORPORATION		None			Sold	08/19/11	J	A	
246. DISNEY (WALT) CO COM STK		None			Buy	05/18/11	J		
247. DISNEY (WALT) CO COM STK		None			Sold	08/19/11	J	A	
248. WEBMD HEALTH CORP		None			Buy	05/20/11	J		
249. WEBMD HEALTH CORP		None			Sold	07/21/11	J	A	
250. HEINZ H J CO PV 25CT		None			Buy	05/20/11	J		
251. HEINZ H J CO PV 25CT		None			Sold	08/19/11	J	A	
252. CLIFF'S NATURAL RESOURCES INC		None			Buy	06/02/11	J		
253. CLIFF'S NATURAL RESOURCES INC		None			Sold	08/19/11	J	A	
254. AGCO CORP DE		None			Buy	06/21/11	J		
255. AGCO CORP DE		None			Sold	08/19/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. MOODY'S CORP DE		None			Buy	06/21/11	J		
257. MOODY'S CORP DE		None			Sold	07/28/11	J	A	
258. INTL BUSINESS MACH DE		None	J	T	Buy	06/21/11	J		
259. EVERCORE PARTNERS INC CL A		None			Buy	06/21/11	J		
260. EVERCORE PARTNERS INC CL A		None			Sold	08/16/11	J	A	
261. PENTAIR INC DE		None			Buy	06/21/11	J		
262. PENTAIR INC DE		None			Sold	08/19/11	J	A	
263. NORDSTROM INC DE		None			Buy	06/21/11	J		
264. NORDSTROM INC DE		None			Sold	08/19/11	J	A	
265. EATON CORP DE		None			Buy	06/21/11	J		
266. EATON CORP DE		None			Sold	08/19/11	J	A	
267. DU PONT DE NEMOURS DE		None			Buy	06/21/11	J		
268. DU PONT DE NEMOURS DE		None			Sold	08/19/11	J	A	
269. COGNIZANT TECH SOLUTIONS CRP		None			Buy	06/21/11	J		
270. COGNIZANT TECH SOLUTIONS CRP		None			Sold	07/09/11	J	B	
271. AUTODESK INC DE		None			Buy	06/21/11	J		
272. AUTODESK INC DE		None			Sold	07/28/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Schroeder, H. Kenneth Jr.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. TRW AUTOMOTIVE HOLDINGS CORP		None	J	T	Buy	06/21/11	J		
274. SWATCH GROUP BEARER SHS CHF		None	J	T	Buy	06/21/11	J		
275. AT&T INC DE		None			Buy	06/21/11	J		
276. AT&T INC DE		None			Sold	08/19/11	J	A	
277. WILLIAMS COS INC (DEL) DE		None			Buy	06/21/11	J		
278. WILLIAMS COS INC (DEL) DE		None			Sold	08/19/11	J	A	
279. UNDER ARMOUR INC CL A DE		None			Buy	06/21/11	J		
280. UNDER ARMOUR INC CL A DE		None			Sold	08/19/11	J	A	
281. NETAPP INC DE		None			Buy	07/25/11	J		
282. NETAPP INC DE		None			Sold	08/23/11	J	A	
283. F5 NETWORKS INC DE		None			Buy	08/01/11	J		
284. F5 NETWORKS INC DE		None			Sold	11/01/11	J	A	
285. ILLUMINA INC DE		None			Buy	08/01/11	J		
286. ILLUMINA INC DE		None			Sold	10/17/11	J	A	
287. EARTHLINK INC DE		None			Buy	08/02/11	J		
288. EARTHLINK INC DE		None			Sold	08/19/11	J	A	
289. KYOCERA CORP ADR JAPAN ADR		None			Buy	08/03/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. KYOCERA CORP ADR JAPAN ADR		None			Sold	10/28/11	J	A	
291. HOLLYFRONTIER CORP COM DE		None			Buy	08/04/11	J		
292. HOLLYFRONTIER CORP COM DE		None			Sold	08/19/11	J	A	
293. WINDSTREAM CORP DE		None			Buy	08/10/11	J		
294. WINDSTREAM CORP DE		None			Sold	08/19/11	J	A	
295. CORN PRODUCTS INTL INC DE		None			Buy	08/10/11	J		
296. CORN PRODUCTS INTL INC DE		None			Sold	08/19/11	J	A	
297. MICROCHIP TECHNOLOGY INC DE		None			Buy	08/11/11	J		
298. MICROCHIP TECHNOLOGY INC		None			Sold	08/19/11	J	A	
299. BAIDU INC ADS REPSNTG CL A ORD SHS SPON ADR		None			Buy	08/16/11	J		
300. BAIDU INC ADS REPSNTG GL A ORD		None			Sold	08/19/11	J	A	
301. GUANGSHEN RAILWAY LTD SPON ADR		None	J	T	Buy	08/24/11	J		
302. CNOOC LTD SPON ADR DE		None			Buy	08/31/11	J		
303. CNOOC LTD SPON ADR DE		None			Sold	12/07/11	J	A	
304. ROYAL DUTCH SHELL PLC CL A SPON ADR		None			Buy	08/31/11	J		
305. ROYAL DUTCH SHELL PLC CL A SPON		None			Sold	10/03/11	J	A	
306. ATWOOD OCEANICS INC DE		None	J	T	Buy	09/13/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

307. APPLIED MATERIALS INC DE		None	J	T	Buy	09/15/11	J		
308. HOME PROPERTIES INC DE		None	J	T	Buy	09/27/11	J		
309. MCDONALDS CORP DE		None	J	T	Buy	09/27/11	J		
310. AEGON NV ADR NY SHS NETHERLANDS ADR		None	J	T	Buy	10/03/11	J		
311. SIMON PPTY GROUP INC SBI DE		None	J	T	Buy	10/03/11	J		
312. SCHLUMBERGER LTD NETHERLANDS ANTILLES		None	J	T	Buy	10/03/11	J		
313. BAIDU INC ADS REPSNTG CL		None			Buy	10/05/11	J		
314. BAIDU INC ADS REPSNTG CL		None			Sold	10/14/11	J	A	
315. ADVANCE AUTO PARTS INC DE		None	J	T	Buy	10/19/11	J		
316. HARRIS CORP DELA DE		None	J	T	Buy	10/19/11	J		
317. YAHOO INC DE		None	J	T	Buy	10/24/11	J		
318. AMER EXPRESS CO DE		None	J	T	Buy	10/26/11	J		
319. CERNER CORP DE		None	J	T	Buy	11/02/11	J		
320. FLOWSERVE CORP DE		None	J	T	Buy	11/02/11	J		
321. PARKER HANNIFIN CORP DE		None	J	T	Buy	11/03/11	J		
322. DELL INC DE		None	J	T	Buy	11/21/11	J		
323. ROCKWELL AUTOMATION INC NEW		None	J	T	Buy	11/25/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Schroeder, H. Kenneth Jr.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. US BANCORP DEL (NEW) DE		None	J	T	Buy	12/01/11	J		
325. VALEANT PHARMACEUTICALS INTL I CAD		None	J	T	Buy	12/08/11	J		
326. VODAFONE GROUP PLC NEW SPON ADR		None	J	T	Buy	12/09/11	J		
327. BAYERISCHE MOTORNWERKE AG SHS 98 EUR		None	J	T	Buy	12/09/11	J		
328. PRICELINE.COM INC NEW DE		None	J	T	Buy	12/19/11	J		
329. EMC CORP MASS DE		None	J	T	Buy	12/23/11	J		
330. AKAMAI TECHNOLOGIES INC DE		None	J	T	Buy	12/29/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Continuation of Part I. POSITIONS:

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Schroeder, H. Kenneth Jr.

Date of Report

04/27/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **H. Kenneth Jr. Schroeder**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544