

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CRISTOL, A. J.	2. Court or Organization U.S. BANKRUPTCY COURT, FLORIDA	3. Date of Report 06/20/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) CHIEF JUDGE EMERITUS	5a. Report Type (check appropriate type) ☐ Nomination Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address C. CLYDE ATKINS UNITED STATES COURTHOUSE 301 NORTH MIAMI AVENUE CHAMBERS 717 MIAMI, FLORIDA 33128		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Judicial Director	University of Miami School of Law Alumni Association
2. Trustee	Trust #2
3. Trustee	Trust #3
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Federal Judicial Center educational seminar or program	03/13/17 to 03/15/17	Charleston, NC	Educational seminar or program	Air, Hotel, meals
2.	Court governance or administrative/managerial meetings Funded by: Court (Judiciary)	03/30/17 to 04/01/17	Islamorada, FL	Judicial Conference	Hotel, Meals
3.	Bankruptcy Bar Association for the Southern District of Florida	05/11/17 to 05/14/17	Bonita Springs, FL	Educational seminar or program	Hotel, Meals
4.	The Florida Bar Association	06/22/17 to 06/23/17	Boca Raton, FL	Activity of professional assoc or civic organization	Hotel, Meals

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5.	National Conference of Bankruptcy Judges	10/07/17 to 10/11/17	Las Vegas, NV	Educational seminar or program	Air, Hotel, Meals
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. General Electric	D	Dividend	M	T	Sold (part)	11/14/17	K	A	
2. Hospitality Properties	B	Dividend	J	T					
3. Miami-Dade Aviation	B	Interest	K	T					
4. Cohen & Steers	C	Dividend	L	T					
5. Elbit	A	Dividend	K	T					
6. AT&T	C	Dividend	L	T					
7. Nuveen Real Estate Fund Com	A	Dividend	J	T					
8. CVS Caremark		None			Sold	03/30/17	K	A	
9. Starbucks	A	Dividend	K	T	Sold (part)	10/05/17	J	C	
10. Hillsborough County FL	B	Interest			Redeemed	11/01/17	K	A	
11. Lord Abbett Floating Rate/High Yield	D	Dividend	M	T	Buy (add'l)	01/04/17	L		
12. Apple Inc	B	Dividend	L	T					
13. Celgene Corp		None	K	T					
14. Comcast Corp NEW Special CL A	A	Dividend	K	T	Buy (add'l)	03/29/17	J		
15.					Buy (add'l)	06/30/17	J		
16.					Sold (part)	10/05/17	J	A	
17. Mednax Inc		None			Buy (add'l)	07/31/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Sold	10/05/17	J	A	
19. Grandeur Peak Int'l Opportunities	B	Dividend	K	T					
20. Genesis Energy LP	B	Distribution	J	T					
21. Angel Oak Multi Strategy	D	Dividend	M	T	Buy (add'l)	02/23/17	K		
22.					Sold (part)	04/05/17	L	A	
23. UBS AG Trigger		None			Redeemed	01/03/17	L		
24. Allergan PLC	A	Dividend	K	T	Buy (add'l)	05/09/17	J		
25. Gilead Sciences Inc	A	Dividend	J	T					
26. Alphabet Inc CL A		None	K	T					
27. Alphabet Inc CL C		None	K	T	Buy (add'l)	02/01/17	J		
28. Royal Bank of Canada Trigger PS SPX		None			Sold	01/03/17	K	D	
29. Grandeur Peak Global	B	Dividend	K	T					
30. Spectra Energy Partners LP	B	Distribution			Sold	11/14/17	M	A	
31. Florida ST BRD ED PUB ED	B	Interest	K	T					
32. Royal Bank of Canada Trigger STEP PS SXE		None			Sold	01/30/17	K	C	
33. Goldman Sachs Group Trigger		None	K	T					
34. Citigroup Inc Trigger		None			Sold	01/03/17	L	B	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Home Depot Inc	A	Dividend	K	T					
36. UnitedHealth Group Inc	A	Dividend	K	T					
37. Maine St Hsg Auth Mtge P SR D	B	Interest	L	T					
38. North Dakota St Hsg FIN Sr D RV	A	Interest	K	T					
39. Morgan Stanley L/O TPAOS SPX	B	Int./Div.			Redeemed	05/30/17	K	A	
40. HSBC USA Inc		None	K	T					
41. JP Morgan Chase Trigger PS SXE5		None			Sold	03/17/17	K	D	
42. Royal Bank of Canada Trigger ROS SPX		None			Sold	03/17/17	L	D	
43. Mastercard Inc CL A	A	Dividend	K	T					
44. Pepsico Inc	A	Dividend	J	T					
45. American Tower Corp REIT	A	Dividend	J	T					
46. Microsoft Corp	A	Dividend	K	T					
47. Nestle's A Sponsored (Y)									
48. Ishares MSCI Europe Financials (Y)									
49. Facebook Inc C1 A		None	K	T	Buy (add'l)	05/22/17	J		
50.					Buy (add'l)	06/09/17	J		
51.					Buy (add'l)	11/02/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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52. Visa Inc. CL A	A	Dividend	K	T					
53. Heico Corp New	A	Dividend	K	T	Buy (add'l)	01/26/17	J		
54.					Buy (add'l)	01/30/17	J		
55.					Sold (part)	04/19/17	J	A	
56. JP Morgan Chase Financial CT-ISS SPX		None	K	T					
57. JP Morgan Chase Financial LO/TA-CYN MXEA SPX	A	Int./Div.			Redeemed	02/15/17	K		
58. UBS AG L/O TA-CYN NDX RTY 7/18/2019	A	Int./Div.			Redeemed	01/19/17	K		
59. UBS AG L/O TA-CYN NDX RTY 12/04/2019	A	Int./Div.			Redeemed	06/01/17	K		
60. UBS AG L/O TA-CYN RTY SPX 10/19/2021	C	Int./Div.			Redeemed	10/19/17	K		
61. GS Finance Corp L/O SDT-AN MXEA SPX 11/30/2021		None			Redeemed	12/07/17	K	B	
62. UBS AG L/O TA-STD RTY SPX 11/30/2021		None			Redeemed	12/06/17	K	B	
63. Citigroup Global Markets TAR-SS MXEA SX5E 12/21/2021		None	L	T					
64. American Express Bank, FSB (y)									
65. Discover Bank (Y)									
66. State of Israel Bond (Y)									
67. Jazz Pharmaceuticals PLC (X)		None	K	T	Buy	01/06/17	J		
68.					Buy (add'l)	01/23/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000 J –\$15,000 or less N –\$250,001 - \$500,000 P1 –\$25,000,001 - \$50,000,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000 K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000 L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000 M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	E –\$15,001 - \$50,000
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69.					Buy (add'l)	05/19/17	J		
70.					Buy (add'l)	08/09/17	J		
71. Principal Preferred Securiteis Fund (X)	B	Dividend	K	T	Buy	01/26/17	K		
72.					Buy (add'l)	02/09/17	J		
73. Eagle Materials Inc (X)	A	Dividend			Buy	01/26/17	J		
74.					Sold	06/20/17	J	A	
75. Transamerica Mid Cap Value Opps FD Class I (X)	B	Dividend	K	T	Buy	01/31/17	J		
76.					Buy (add'l)	12/18/17	J		
77. Block Financial NTS B/A 4.125% 100120 DTD093015 (X)	B	Interest	K	T	Buy	02/06/17	K		
78. Viacom Inc B/E 4.250% 090123 DTD 081913 (X)	B	Interest	K	T	Buy	02/06/17	K		
79. Metropolitan Transit Auth SR E B/ER (X)	B	Interest	K	T	Buy	02/09/17	K		
80. North TX TWY Auth SR A RV B/E/R (X)	A	Interest	K	T	Buy	02/09/17	K		
81. American Tower Corp B/E 4.70% 031522 DTD031212 (X)	A	Interest	K	T	Buy	02/09/17	K		
82. Fidelity Natl Inform NTS 4.5% 101522 DTD102015 (X)	A	Interest	K	T	Buy	02/13/17	K		
83. NASDAQ QMX Group 4.225% 060124 DTD052914 (X)	B	Interest	K	T	Buy	02/13/17	K		
84. Monster Beverage Corp New Com (X)		None	K	T	Buy	03/13/17	J		
85.					Buy (add'l)	03/30/17	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$55,000,001 - \$25,000,000	
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86.					Buy (add'l)	03/31/17	J		
87.					Buy (add'l)	04/07/17	J		
88. Transamerica floating rate fund class I (X)	C	Dividend	L	T	Buy	03/17/17	K		
89.					Buy (add'l)	05/11/17	K		
90. SPDR S&P Bank ETF (X)	A	Dividend	K	T	Buy	04/06/17	J		
91.					Buy (add'l)	04/11/17	J		
92.					Buy (add'l)	05/17/17	J		
93.					Buy (add'l)	12/28/17	J		
94. T-Mobile US Inc Com (X)		None	K	T	Buy	06/20/17	J		
95.					Buy (add'l)	07/20/17	J		
96.					Buy (add'l)	09/14/17	J		
97. Ulta Beauty, Inc (X)		None			Buy	07/11/17	J		
98.					Buy (add'l)	07/17/17	J		
99.					Sold	07/17/17	K	A	
100. Huntington Ingalls Inds Inc (X)		None	J	T	Buy	12/13/17	J		
101. Federated Strategic Value Div Class IS (X)	A	Dividend	K	T	Buy	12/14/17	K		
102. Clearbridge Dividend Strategy FD CL I (X)	A	Dividend	K	T	Buy	12/14/17	K		

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2. Value Codes (See Columns C1 and D3)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	
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103. Trust # 2 (H)									
104. FL SIT BD (y)									
105. Hospitality Properties	A	Dividend	J	T					
106. General Electric	B	Dividend	K	T					
107. Heico	A	Dividend	K	T	Buy (add'l)	02/06/17	J		
108. AT&T	A	Dividend	K	T	Buy (add'l)	10/25/17	J		
109. Cohen&Steers	A	Dividend	J	T					
110. Palm Beach Solid Waste	B	Interest	K	T					
111. Miami Dade Aviation	C	Interest	L	T					
112. New York Development	A	Interest			Sold	02/10/17	L	C	
113. Lee Mem Hlth	B	Interest	K	T					
114. Alphabet Inc. CL A		None	L	T					
115. Port St. Lucie Fl Research Faci	A	Interest			Sold	01/30/17	L	A	
116. Georgia Hsg & Fin Auth	B	Interest	K	T	Sold (part)	07/01/17	J	A	
117. Lord Abbet Floating	D	Dividend	L	T					
118. Mednax Inc		None			Sold	08/22/17	K	A	
119. Mednax Inc		None	J	T	Buy	09/26/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A -\$1,000 or less F -\$50,001 - \$100,000	B -\$1,001 - \$2,500 G -\$100,001 - \$1,000,000	C -\$2,501 - \$5,000 H1 -\$1,000,001 - \$5,000,000	D -\$5,001 - \$15,000 H2 -More than \$5,000,000	E -\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J -\$15,000 or less N -\$250,001 - \$500,000 P3 -\$250,000,001 - \$50,000,000	K -\$15,001 - \$50,000 O -\$500,001 - \$1,000,000	L -\$50,001 - \$100,000 P1 -\$1,000,001 - \$5,000,000 P4 -More than \$50,000,000	M -\$100,001 - \$250,000 P2 -\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. SPDR Gold Trust		None	K	T	Sold (part)	12/05/17	J	A	
121. Angel Oak Multi Strategy Income Class Instl	A	Dividend	N	T					
122. Hercules Technology Growth		None			Sold	05/15/17	J	B	
123. Village Cmnty Dev No 6 Ser 2013	A	Interest	K	T					
124. Amer Express	A	Dividend	K	T	Buy (add'l)	04/05/17	J		
125. Energy Transfer Partners L.P. MLP (F/K/A) Energy Transfer Ptrs L	A	Distribution			Sold	11/14/17	K	A	
126. Blackstone Group LP	B	Distribution	K	T					
127. Costco Wholesale	B	Dividend	K	T	Buy (add'l)	04/04/17	J		
128.					Buy (add'l)	04/26/17	J		
129.					Buy (add'l)	06/19/17	J		
130.					Sold (part)	10/11/17	K	A	
131. Energy Transgr Partners (y)									
132. New York NY Fiscal	D	Interest	M	T					
133. Wisconsin St Clean Wtr	B	Interest	L	T					
134. Credit Suisse AG Trigger		None	K	T					
135. Royal Bank of Canada Trigger SPS SPX		None	K	T					
136. Angel Oak Flexible Income Fund Cclass I	C	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Lord Abbett High Yield Fd CL F		None	M	T					
138. Dowdupont Inc (Formerly DuPont De Demours)	A	Dividend	L	T					
139. Whiting USA		None			Sold	04/07/17	J	A	
140. Seadrill LTD US Line		None			Sold	04/05/17	J	A	
141. Facebook Inc CL A		None	J	T					
142. RMR Group Inc/CL A (Y)									
143. Barclays Bank PLC Airbag PS SPX		None			Sold	01/03/17	K	B	
144. Maine ST Hsg Auth Mtge P SR D	C	Interest	L	T	Sold (part)	11/03/17	J	A	
145. North Dakota ST Hsg Fin SR D RV	A	Interest	K	T					
146. Victory High Minucipal Bond Fund Class Y	C	Dividend	L	T					
147. Royal Bank of Canada Trigger PS EFA		None			Sold	01/03/17	J	A	
148. JP Morgan Chase & CO TriggerPS DXK		None	J	T	Sold (part)	01/03/17	K	A	
149. Alphabet Inc CL C (y)									
150. Fortune Brands Home & Sec Inc	A	Dividend			Sold	08/04/17	J	B	
151. Sealed Air Corp New		None			Sold	02/09/17	J	A	
152. Fidelity National Financial Inc	A	Dividend	J	T					
153. Priceline Group Inc New		None			Buy (add'l)	06/12/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	06/12/17	J		
155.					Buy (add'l)	08/09/17	J		
156.					Sold	11/07/17	K	A	
157. Hudson Infra Structure	B	Interest			Sold	03/23/17	L	A	
158. Indian Trace CMTY	A	Interest	K	T					
159. Nevada ST FGIC	B	Interest			Sold	12/01/17	K		
160. UBS AG L/O TA-CYN NDX RTY 7/18/19		None			Redeemed	01/19/17	K		
161. Citigroup Global Markets T-SS SPX 7/31/20		None	L	T					
162. JP Morgan Chase L/O TA-CYN SPX SX5E 10/19/20		None			Redeemed	04/20/17	K		
163. UBS AG L/O TA-CYN RTY SPX 10/19/21		None			Redeemed	10/19/17	K		
164. JP Morgan Chase L/O TA-CYN MXEA RTY 01/02/20		None			Redeemed	06/30/17	K		
165. UBS AG Trigger Step PS RTY 6/30/17 (X)		None			Sold	01/03/17	K	D	
166. The Goldman Sachs Group Trigger ROS MID 01/31/2018		None			Sold	01/03/17	L	D	
167. Citigroup Inc. Trigger PS SPX 11/30/2018		None			Sold	01/03/17	K	D	
168. Morgan Stanley L/O TPAOS SPX SX5E		None			Redeemed	05/30/17	K		
169. J- P Morgan Chase Trigger PS INTL 7/31/19 (Y)									
170. UBS AG CAR PS SX5E 12/31/19 (X)		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Transamerica Floating Rate Fund CL I (X)	A	Dividend	K	T	Buy	04/07/17	K		
172. Transamerica Mis Cap Value Opps FD CI I (X)	B	Dividend	K	T	Buy	01/04/17	K		
173. Transamerica Floating Rate Fund CL I (X)	C	Dividend	L	T	Buy	02/06/17	K		
174.					Buy (add'l)	03/07/17	K		
175. Blackstone Group LP/The Unit Repstg LTD (X)		None	K	T	Buy	02/23/17	J		
176. Cisco Systems Inc (X)	A	Dividend	J	T	Buy	04/11/17	J		
177.					Buy (add'l)	04/26/17	J		
178. TE Connectivity LTD CHF (X)	A	Dividend	J	T	Buy	05/17/17	J		
179. Victory Trivalent International Small Cap (X)	A	Dividend	J	T	Buy	08/09/17	J		
180. SPDR S&P bank ETF (X)	A	Dividend	J	T	Buy	09/05/17	J		
181. Black Knight Inc (X)		None			Spinoff (from line 152)	10/02/17	J		
182.					Sold	11/17/17	J	B	
183. Cobb County GA Dev Auth PK 2017 RV BE/R (X)	A	Interest	K	T	Buy	02/15/17	K		
184.					Buy (add'l)	12/19/17	K		
185. Dallas Fort Worth TX IN SR C RV (X)	A	Interest	K	T	Buy	03/01/17	J		
186.					Buy (add'l)	03/01/17	K		
187. King Co WA Pub HSP DST SR B 3/13/17 (X)	B	Interest	K	T	Buy	03/15/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P1 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Michigan St BLDG Auth SR I RV (X)	A	Interest	K	T	Buy	04/26/17	K		
189. Tallahassee FL Energy SY RV (X)		None	K	T	Buy	06/14/17	K		
190. Washington ST Univ RV BE (X)	A	Interest	K	T	Buy	06/30/17	K		
191. Washington ST CTFS Partn SR B RV (X)		None	K	T	Buy	07/18/17	K		
192. Rhode Island ST TPK & BR SR A RV (X)	A	Interest	K	T	Buy	08/28/17	K		
193. New York NY City Mun WT SR DDE BE (X)	A	Interest	K	T	Buy	09/14/17	K		
194. Stephen F Austin ST Univ RV BE (X)	A	Interest	K	T	Buy	09/21/17	K		
195. South Carolina ST HSG D A-1 BE (X)	A	Interest	L	T	Buy	10/24/17	L		
196. New Jersey Turnpike Auth RV BE/R (X)		None	K	T	Buy	12/20/17	K		
197. Miami Dade Cnty FL Avia A-1 RV/BE/R 5.250% 100124 DTD 083115 (X)	C	Interest	L	T					
198. Trust # 3 (H)									
199. General Motors Company Warrant 072016 (y)									
200. General Motors Company Warrant 072019		None	J	T					
201. General Motors Company(GM) (Y)									
202. Capital Product Partners LP	C	Dividend	K	T					
203. Transocean Limited REG SHS		None	K	T					
204. Amazon Com Incorporated		None	N	T	Sold (part)	10/05/17	K	E	

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Hi-Crush Partners LP Com	B	Distribution	L	T					
206. Memorial Prodn Partners LP (Y)									
207. Fidus Invt Corporation	D	Dividend	L	T					
208. Dowdupont inc F/K/A Du Pont E I De Nemours Co		None			Merged (with line 273)	10/05/17	K		
209. Angel Oak Multi-Strategy Inc Fund Class Instl	D	Dividend	M	T					
210. Lord Abbett Floating Rate fD Cl F	D	Dividend	M	T	Buy (add'l)	01/04/17	K		
211. Seadrill LTD US Line		None			Sold	04/05/17	J	A	
212. Florida ST Brd ED Lottery	D	Interest	M	T					
213. UBS AB Trigger		None			Sold	01/03/17	K	A	
214. Citigroup Inc Trigger		None			Sold	11/14/17	L	D	
215. Biogen Inc		None	J	T					
216. Home Depot Inc	A	Dividend	K	T					
217. Mastercard Inc CL A	A	Dividend	K	T					
218. Mednax Inc		None	J	T					
219. Pepsico Inc	A	Dividend	K	T	Buy (add'l)	09/20/17	J		
220. Verizon Inc (y)									
221. Walt Disney Co (Holding Co)	A	Dividend	K	T	Sold (part)	08/22/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Dallas Fort Worth TX IN SR C RV	B	Interest	K	T					
223. Florida ST Muni Pwr AGY	C	Interest	L	T					
224. Broward CO FL ARPT	B	Interest	K	T					
225. Miami Dade CNTY FLA AVIA	C	Interest	L	T					
226. Victory High Minucipal Bond Fund Class Y	D	Dividend	M	T					
227. Amgen Inc	A	Dividend	K	T	Buy (add'l)	05/22/17	J		
228. Celgene Corp		None	K	T	Buy (add'l)	06/01/17	J		
229. Cisco Systems Inc	A	Dividend	K	T	Buy (add'l)	05/31/17	J		
230.					Buy (add'l)	11/16/17	J		
231. Coresite Rlty Corp COM Reit	A	Dividend	K	T	Buy (add'l)	09/15/17	J		
232. Facebook Inc Cl A		None	K	T	Buy (add'l)	06/09/17	J		
233. Gilead Sciences Inc	A	Dividend	K	T					
234. Johnson Ctls Intl PLC	A	Dividend	J	T	Buy (add'l)	02/01/17	J		
235. Kraft Heinz Co/The	A	Dividend	K	T	Buy (add'l)	02/16/17	J		
236.					Buy (add'l)	05/11/17	J		
237.					Buy (add'l)	08/24/17	J		
238. Microsoft Corp	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239. New Jersey Turnpike Auth SR B RV	B	Interest	K	T					
240. Maine ST HSG Auth MTGE P SR C	B	Interest	K	T					
241. Allianzgi Short Duration High Income CI Intl	C	Dividend	L	T					
242. General Electric Co	D	Dividend	M	T					
243. Chesapeak Granite Wash Trus	D	Distribution	L	T					
244. JP Morgan Chase Financial TAR-GEARS SPX 8/31/2021		None	K	T					
245. JP Morgan Chase Financial CT-ISS SPX 3/29/2019		None	K	T					
246. JP Morgan Chase Financial L/O TA-CYN MXEA SPX 5/17/2019	A	Int./Div.			Redeemed	02/15/17	K		
247. UBS AG L/O CYN NDX RTY 7/18/2019	C	Int./Div.			Redeemed	01/19/17	K		
248. Barclays Bank PLC L/O TA-CYN RY SX5E 8/15/2019	A	Int./Div.			Redeemed	02/15/17	K		
249. Citigroup Global Markets T-SS SPX 7/31/2020		None	K	T					
250. UBS AG L/O TA-CYN RTY SPX 10/19/2021	A	Int./Div.			Redeemed	10/19/17	K		
251. Goldman Sach Group Inc		None			Sold	03/16/17	K	D	
252. Morgan Stanley L/O TPAOS SPX SX5E 11/30/2018	B	Int./Div.			Redeemed	05/30/17	K		
253. Goldman Sachs Group Inc Trigger PS EFA		None	K	T					
254. JP Morgan Chase Trigger PS SX5E 4/30/2020		None	J	T					
255. Diversified Property Fund Class I (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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06/20/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. Principal Preferred Securiteis Fund (X)	A	Dividend			Buy	01/27/17	K		
257.					Buy (add'l)	02/09/17	J		
258.					Merged (with line 274)	06/27/17	K		
259. Transamerica floating rate fund class I (X)	C	Dividend	M	T	Buy	01/31/17	K		
260.					Buy (add'l)	04/07/17	K		
261.					Buy (add'l)	07/17/17	K		
262. Atlanta GA ARPT Passenge SR A RV BE/ R (X)	B	Interest	L	T	Buy	02/14/17	L		
263. Thermo Fisher Scientific Inc (X)	A	Dividend	K	T	Buy	03/30/17	J		
264.					Buy (add'l)	05/09/17	J		
265. WCM Focused International Airport (X)	A	Dividend	K	T	Buy	05/22/17	K		
266.					Buy (add'l)	12/14/17	J		
267. Discovery Comm LLC B/E 3.250% 040123 dtd031913 (X)	A	Interest	K	T	Buy	07/24/17	K		
268. Verizon Communications 4.150% 031524 DTD 031714 (X)	A	Interest	K	T	Buy	07/24/17	K		
269. Verizon Communications 5.150% 091523 DTD 091813 (X)	A	Interest	K	T	Buy	08/03/17	K		
270. US Concrete Inc FKA WTS US Concrete Inc CL b Exp 08/31/17 (X)		None			Matured	08/31/17	J	A	
271. Bioverativ Inc		None	J	T	Spinoff (from line 215)	02/02/17	J		
272.					Sold (part)	02/02/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. Dowdupont Inc (X)	C	Dividend	M	T	Sold (part)	10/05/17	J	C	
274. Principal Investors Preferred Secs Inst F/K/ A Principal Preferred S(X)	B	Dividend	K	T					
275. UBS AG L/O TA-CYN MXEF NKYSX5E (X)		None	L	T	Buy	11/15/17	L		
276. UBS Traditional (IRA) #2 (H)									
277. Seadrill LTD US Line		None	J	T					
278. Angel Oak Multi-Strategy Inc FD CL Inst		None			Sold	03/17/17	L	A	
279. UBS AG Trigger PS SX5E		None	J	T					
280. Citigroup Inc. Trigger PS SX5E		None	J	T					
281. Goldman Sachs Group Inc Trigger PS EFA		None	J	T					
282. Morgan Stanley L/O TPAOS SPX SX5E		None	J	T					
283. JPMorgan Chase& CO Trigger PS SX5E		None	J	T					
284. UBS Traditional (IRA) #3 (H)									
285. UBS AG Trigger PS SX5E		None			Merged (with line 279)	04/18/17	J		
286. Citigroup Inc. Trigger PS SX5E		None			Merged (with line 280)	04/18/17	J		
287. Morgan Stanley L/O TPAOS SPX SX5E		None			Merged (with line 282)	04/18/17	J		
288. The Goldman Sachs Group Trigger ROS MID		None			Sold	03/16/17	J	A	
289. Goldman Sachs Group Inc Trigger PS EFA		None			Merged (with line 281)	04/18/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P1 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

290. JPMorgan Chase& CO Trigger PS SX5E		None			Merged (with line 283)	04/18/17	J		
291.									
292.									
293.									
294.									
295.									
296.									
297.									
298.									
299.									
300.									
301.									
302.									

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000 J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000 K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000 L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000 M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	
3. Value Method Codes (See Column C2)					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note 1

Line 103 - Heading Trust #2

All Lines items from prior year included a "-" before the name for not apparent reason. In order to use the search funtion, the "-" has been removed.

Note 2

Line 125, Name change from prior year (Energy Transfer Ptrs L to current (Energy Transfer Partners L.P. MLP) partnership interest was consequently sold in 2017.

Note 3

Line 138 - Name change from DuPont Demours to Dowdupont in 2017.

Note 4

Line 152, Fidelity National Financial spun off Line 181 Black Knight Inc - Black Knight Inc was subsequesly sold on Line 182.

Note 5

Line 198, Heading Trust #3

All Lines items from prior year included a "-" before the name for not apparent reason. In order to use the search funtion, the "-" has been removed.

Note 6

Line 208 - Name change from DuPont Demours to Dowdupont in 2017. Subsequently sold partial shares on Line 273.

Note 7

Line 284, Heading UBS Traditional IRA #3

Items in this section was transferred to Line 276, Heading UBS Traditional IRA #2.

AMENDED RETURN

PART IV:

Line 3, column "Source" was chagned from - Educational seminar or program Funded by: Bar Organization, or Bar Group, to Bankruptcy Bar Association for the Southern District of Florida

Line 4, column "Source" was changed from - Activity of professional assoc or civic organization Funded by: Bar Group, Bar to The Florida Bar Association

Line 5, column "Source" was chagned from - Educational seminar or program Funded by: Prof or Civic Group (Private), to National Conference of Bankruptcy Judges

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **A. J. CRISTOL**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544