

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HOLMAN, THOMAS C.	2. Court or Organization EASTERN DISTRICT OF CALIFORNIA	3. Date of Report 11/05/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE FULL TIME	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address UNITED STATES BANKRUPTCY COURT 501 I STREET, SUITE 3-200 SACRAMENTO, CA 95814		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BANK OF AMERICA (3 ACCTS)	A	Interest	L	T					
2. BANK OF AMERICA ACCOUNT (Trust 1)	A	Interest	M	T					
3. BANK OF AMERICA ACCOUNT (Trust 2)	A	Interest	J	T	Open	06/27/12	J		SEE PART VIII
4. COST (IRA NO. 1)	C	Dividend	K	T					
5. SWY (IRA NO. 1)	B	Dividend			Sold	09/06/12	K	A	
6. TGVAX (IRA NO. 1)	A	Dividend			Sold	09/06/12	L	E	
7. THCGX (IRA NO. 1)	A	Dividend	M	T	Buy (add'l)	09/06/12	L		
8. ABALX (IRA NO. 1)	D	Dividend	N	T					
9. AGTHX (IRA NO. 1)	B	Dividend	N	T					
10. BRCLCAB (457B PLAN NO. 1)	A	Int./Div.	K	T					
11. MDCPIND (457B PLAN NO. 1)	A	Int./Div.	J	T					
12. MS EAFE (457B PLAN NO. 1)	A	Int./Div.	J	T					
13. RUS IND (457B PLAN NO. 1)	A	Int./Div.	J	T					
14. STK IND (457B PLAN NO. 1)	A	Int./Div.	L	T					
15. (IRA NO. 1)		None	J	T					
16. DREYFUS OPPORTUNISTIC MIDCAP VALUE - DMCVX (IRA NO. 2)	A	Dividend	J	T					
17. SYMETRA LIFE INSURANCE CO.	C	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. DREYFUS LIQUID ASSETS - DLAXX (IRA NO. 2)		None	J	T					
19. JACKSON NATIONAL LIFE INSURANCE CO. - ANNUITY (SEP IRA NO. 2)	A	Interest	J	T					
20. TRMXX (IRA NO. 1)	A	Dividend	N	T					
21. TRMXX (IRA NO. 2)	A	Dividend	L	T					
22. SCHWAB BANK MONEY MARKET ACCOUNT		None	J	T					
23. SCHWAB BANK CHECKING ACCOUNT	A	Interest	K	T					
24. RENTAL PROPERTY #1 (SANTA CRUZ, CA) (Trust 1)		None	P1	Q					SEE PART VIII
25. RENTAL PROPERTY #2 (SANTA CRUZ, CA) (Trust 1)		None	N	Q					SEE PART VIII
26. FRANKLIN TEMPLETON (FKTFX) (Trust 2)	D	Dividend	M	T					SEE PART VIII
27. PUTNAM CA TAX EXEMPT INCOME A (PCTEX) (Trust 2)	D	Dividend	N	T					SEE PART VIII
28. PUTNAM GROWTH & INCOME A (PGRWX) (Trust 2)	B	Dividend	L	T					SEE PART VIII
29. WELLS FARGO (3 ACCTS)	A	Interest	K	T					
30. RKT (IRA NO. 2)	A	Dividend	J	T					
31. (IRA NO. 2)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII, LINE 3 --- THE INTEREST INCOME AND YEAR-END VALUE REPRESENT 100% OWNERSHIP OF THE TOTAL INCOME AND THE VALUE OF \$100.00. [REDACTED] IS A TRUSTEE OF Trust 2.

PART VII, LINE 24 --- THE RENTAL PROPERTY YEAR-END VALUE REPRESENTS 100% OWNERSHIP OF THE APPRAISAL VALUE OF \$1,032,000 WHICH WAS PERFORMED ON 11/03/2009. [REDACTED] IS A TRUSTEE AND BENEFICIARY OF Trust 1.

PART VII, LINE 25 --- THE RENTAL PROPERTY YEAR-END VALUE REPRESENTS 100% OWNERSHIP OF THE APPRAISAL VALUE OF \$362,000 WHICH WAS PERFORMED ON 11/03/2009. [REDACTED] IS A TRUSTEE AND BENEFICIARY OF Trust 1.

PART VII, LINE 26 --- THE DIVIDEND INCOME AND YEAR-END VALUE REPRESENT 100% OWNERSHIP OF THE TOTAL INCOME AND THE VALUE OF \$231,585. THE OWNERSHIP OF THIS INVESTMENT WAS TRANSFERRED FROM Trust 1, TO Trust 2. [REDACTED] IS A TRUSTEE OF BOTH TRUSTS.

PART VII, LINE 27 --- THE DIVIDEND INCOME AND YEAR-END VALUE REPRESENT 100% OWNERSHIP OF THE TOTAL INCOME AND THE VALUE OF \$292,149. THE OWNERSHIP OF THIS INVESTMENT WAS TRANSFERRED FROM Trust 1 TO Trust 2. [REDACTED] IS A TRUSTEE OF BOTH TRUSTS.

PART VII, LINE 28 --- THE DIVIDEND INCOME AND YEAR-END VALUE REPRESENT 100% OWNERSHIP OF THE TOTAL INCOME AND THE VALUE OF \$78,859. THE OWNERSHIP OF THIS INVESTMENT WAS TRANSFERRED FROM Trust 1 TO Trust 2. [REDACTED] IS A TRUSTEE OF BOTH TRUSTS.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **THOMAS C. HOLMAN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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