

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Schroeder, HKennethJr	2. Court or Organization U.S. District Court, W.D.N.Y.	3. Date of Report 05/02/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge-Full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. Courthouse 2 Niagara Square Buffalo, New York 14202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	May 2000	Hodgson, Russ, Andrews, Woods & Goodyear Retirement Plan - former law firm - no control
2.	May 2000	Hodgson, Russ, Andrews, Woods & Goodyear Capital Accounts - former law firm - no control
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 12/01/15	Hodgson,Russ,Andrews,Woods&Goodyear-Retirement income from pension plan.	\$75,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA ACCOUNT - Common Stock & money funds:									
2. MLIKX Money Funds		None	J	T					
3. Hodgson, Russ, Andrews, Woods & Goodyear 401(k) - no control									
4. Common Stock:									
5. Harbor Capital Appreciation	A	Dividend	K	T					
6. Managers Special Equity		None	K	T					
7. Dodge & Cox Stock Fund		None	K	T					
8. Loomis Sayles Small Cap		None	K	T					
9. Fleet Bank-checking account		None	J	T					
10. Common Stock - M&T Bank	A	Dividend	L	T					
11. Mutual Funds:									
12. AIM Large Cap Growth Fund		None	J	T					
13. Alliance Premier Growth Fund		None	J	T					
14. Legg Mason Value Trust		None	J	T					
15. Lord Abbett Secs Tr.		None	J	T					
16. Putnam Int. Growth Fund		None	J	T					
17. Templeton Funds Inc		None	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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18. Van Kampen Comstock CL		None	J	T					
19. Van Kampen Equity Trust		None	J	T					
20. Money Market Funds:									
21. Legg Mason Cash Reserve Trust		None	K	T					
22. Section 529 - Internal Revenue Code Education Funds for									
23. New York's College Savings Program (age/ risk based invest.)									
24.		None	K	T					
25.		None	K	T					
26.		None	K	T					
27. M&T BANK (common stock)	B	Dividend	K	T					
28. M&T BANK (common stock)	A	Dividend	J	T					
29. M&T BANK (common stock)	A	Dividend	K	T					
30. M&T BANK (common stock)	A	Dividend	K	T					
31. M&T BANK (common stock)	B	Dividend	J	T					
32. M&T BANK (common stock)	B	Dividend	J	T					
33. M&T BANK (common stock)	B	Dividend	J	T					
34. M&T BANK (common stock)	B	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. LEAR CORP		None			Sold	09/21/15	J	A	
36. LEXMARK INTL INC CL A	A	Dividend			Sold	07/21/15	J	B	
37. BEIERSDORF AG ORD *FOREIGN SECURITY ORD	A	Dividend			Sold	09/21/15	J	A	
38. LAZARD LTD CL A DE	A	Dividend			Sold	09/21/15	J	A	
39. GILEAD SCIENCES INC DE	A	Int./Div.			Sold	09/21/15	J	A	
40. EASTMAN CHEMICAL CO DE	A	Dividend			Sold	09/21/15	J	A	
41. EOG RESOURCES INC DE	A	Dividend			Sold	05/05/15	J	B	
42. DISCOVER FINANCIAL SERVICES	A	Dividend			Sold	02/23/15	J	B	
43. AMERISOURCEBERGEN CORP	A	Dividend			Sold	09/21/15	J	A	
44. VALERO ENERGY CORP NEW	A	Dividend			Sold	09/21/15	J	A	
45. DELTA AIR LINES INC DELA NEW (X)	A	Dividend			Sold	09/21/15	J	A	
46. PRUDENTIAL FINANCIAL INC	A	Dividend			Sold	12/21/15	J	B	
47. QUALCOMM INC	A	Dividend			Sold	01/30/15	J	B	
48. GENERAL MOTORS CO	A	Int./Div.			Sold	09/21/15	J	A	
49. CBS CORP NEW CL B	A	Dividend			Sold	08/05/15	J	B	
50. JOHNSON CONTROLS INC	A	Dividend			Sold	09/21/15	J	A	
51. SOUTH JERSEY INC INC	A	Int./Div.			Sold	03/10/15	J	B	

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52. EMC CORP MASS	A	Dividend			Sold	09/21/15	J	B	
53. AETNA INC	A	Dividend			Sold	09/21/15	J	A	
54. ACTIVISION BLIZZARD INC	A	Int./Div.			Sold	02/25/15	J	B	
55. NRG ENERGY INC NEW	A	Int./Div.			Sold	05/08/15	J	B	
56. CELANESE CORP NEW SER A	A	Int./Div.			Sold	09/21/15	J	A	
57. AXA ADR (X)	A	Dividend			Sold	09/21/15	J	A	
58. TRIUMPH GROUP INC	A	Int./Div.			Sold	09/21/15	J	A	
59. ORIENT OVERSEAS INTL LTD ADR	A	Int./Div.			Sold	06/10/15	J	B	
60. KNOLL INC NEW	A	Int./Div.			Sold	09/21/15	J	A	
61. HUNTINGTON BANCSHARES DE VSP	A	Int./Div.			Sold	09/21/15	J	A	
62. TECK RESOURCES LTD CL B ORD CAD	A	Int./Div.			Sold	04/23/15	J	B	
63. STEEL DYNAMICS INC	A	Int./Div.			Sold	09/21/15	J	A	
64. HERMAN MILLER INC	A	Int./Div.			Sold	03/20/15	J	B	
65. TAIWAN SEMICONDUCTOR MFG CO LTD ADR (X)	A	Dividend			Sold	04/17/15	J	B	
66. MORGAN STANLEY	A	Int./Div.			Sold	09/21/15	J	B	
67. AMERICAN AIRLS GROUP INC (X)	A	Dividend			Sold	09/21/15	J	A	
68. POSCO SPON ADR	A	Int./Div.			Sold	09/21/15	J	A	

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69. APPLIED MATERIALS INC	A	Int./Div.			Sold	04/16/15	J	B	
70. MAGNA INTL INC CL A SUB VTG CANADA ORD CAD	A	Int./Div.			Sold	09/21/15	J	A	
71. CHICAGO BRIDGE & IRON CO NV EUR	A	Int./Div.			Sold	09/21/15	J	A	
72. FEDEX CORP	A	Dividend			Sold	09/21/15	J	A	
73. HEWLETT PACKARD CO	A	Int./Div.			Sold	09/21/15	J	A	
74. SYNnex CORP	A	Int./Div.			Sold	05/21/15	J	B	
75. BLACKSTONE GROUP LP/THE UNIT REPSTG LTD PARTNERSHIP INT DE VSP	A	Int./Div.			Sold	09/21/15	J	A	
76. SM ENERGY CO	A	Int./Div.			Sold	06/18/15	J	A	
77. SMITH & NEPHEW PLC NEW SPON ADR	A	Int./Div.			Sold	09/21/15	J	A	
78. KKR & CO L P DEL COM UNITS MLP	A	Int./Div.			Sold	09/21/15	J	A	
79. CUMMINS INC	A	Dividend			Sold	10/27/15	J	A	
80. LYONDELLBASELL INDUSTRIES N V SHS - A - CL A	A	Int./Div.			Sold	02/03/15	J	A	
81. TOYOTA MOTOR CORP NEW JAPAN SPON ADR	A	Int./Div.			Sold	09/21/15	J	A	
82. BARRICK GOLD CORP CAD	A	Dividend			Sold	02/23/15	J	B	
83. COPA HOLDINGS SA CL A	A	Dividend			Sold	05/07/15	J	A	
84. RELIANCE STEEL & ALUMINUM CO	A	Int./Div.			Sold	09/21/15	J	A	

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85. PRECISION CASTPARTS CORP	A	Dividend			Sold	04/16/15	J	B	
86. TRAVELERS COS INC/THE DE VSP	A	Int./Div.			Sold	04/21/15	J	B	
87. CDN IMPERIAL BK COMM CANADA CAD DE (Y)									
88. NEUROCRINE BIOSCIENCES INC NEW NEW DE	A	Int./Div.			Sold	01/08/15	J	B	
89. SPIRIT AIRLINES INC DE	A	Int./Div.			Sold	07/14/15	J	B	
90. HELIX ENERGY SOLUTIONS GROUP INC	A	Int./Div.			Sold	03/09/15	J	A	
91. BLUCORA INC DE	A	Int./Div.			Sold	05/07/15	J	A	
92. PHOTOTRONICS INC DE (Y)									
93. QUANTA SERVICES INC DE	A	Int./Div.			Sold	09/21/15	J	A	
94. JETBLUE AIRWAYS CORP DE	A	Int./Div.			Sold	09/21/15	J	A	
95. PRESTIGE BRANDS HOLDINGS INC DE	A	Int./Div.			Sold	09/21/15	J	A	
96. KORN FERRY INTL CAL DE	A	Int./Div.			Sold	09/21/15	J	A	
97. SHIRE PLC SPON ADR DE	A	Dividend			Sold	01/12/15	J	B	
98. OWENS ILLINOIS INC NEW DE	A	Int./Div.			Sold	02/03/15	J	B	
99. SPROUTS FARMERS MARKETS INC DE	A	Int./Div.			Sold	09/21/15	J	A	
100. MICHAEL KORS HLDGS LTD DE	A	Int./Div.			Sold	06/03/15	J	A	
101. FMC TECHNOLOGIES INC DE	A	Int./Div.			Sold	09/21/15	J	A	

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102. SKECHERS USA INC CL A DE	A	Int./Div.			Sold	09/21/15	J	A	
103. INFORMATICA CORP DE	A	Int./Div.			Sold	01/26/15	J	B	
104. SWIFT TRANSN CO CL A DE	A	Int./Div.			Sold	09/21/15	J	B	
105. EW SCRIPPS CO CL A DE	A	Int./Div.			Sold	09/21/15	J	A	
106. HOCHTIEF AG ORD DM 5 PR ORD EUR DE	A	Int./Div.			Sold	02/27/15	J	B	
107. FRANKLIN RESOURCES INC DE	A	Int./Div.			Sold	01/30/15	J	B	
108. MERITAGE HOMES CORP DE	A	Int./Div.			Sold	05/01/15	J	B	
109. XEROX CORP DE	A	Int./Div.			Sold	09/21/15	J	A	
110. KATE SPADE & CO DE	A	Int./Div.			Sold	03/03/15	J	B	
111. SHIRE PLC SPON ADR DE (Y)									
112. GOOGLE INC CL C DE	A	Dividend			Sold	07/17/15	J	B	
113. NORDEA BANK AB EUR DE	A	Int./Div.			Sold	05/04/15	J	B	
114. UNITED CONTL HLDGS INC DE	A	Int./Div.			Sold	09/21/15	J	B	
115. BERKSHIRE HATHAWAY INC NEW CL B DE VSP (X)	A	Int./Div.			Sold	09/21/15	J	A	
116. CONSTELLATION BRANDS INC CL A DE VSP (X)	A	Int./Div.			Sold	09/21/15	J	A	
117. CUMMINS INC DE	A	Dividend			Sold	09/21/15	J	A	
118. ICON PLC EUR DE VSP	A	Int./Div.			Sold	09/21/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119. NPS PHARMACEUTICALS DEL DE VSP	A	Dividend			Sold	01/12/15	J	D	
120. PAREXEL INTL CORP DE VSP	A	Dividend			Sold	09/21/15	J	A	
121. SUNEDISON INC DE VSP	A	Int./Div.			Sold	09/21/15	J	B	
122. UNTD RENTALS INC DE VSP	A	Int./Div.			Sold	09/21/15	J	A	
123. RF MICRO DEVICES INC DE (Y)									
124. TECK RESOURCES LTD CL B ORD CAD DE (Y)									
125. FREEPORT-MCMORAN INC DE	A	Dividend			Sold	01/27/15	J	A	
126. GOODYEAR TIRE & RUBBER CO DE	A	Dividend			Sold	12/10/15	J	B	
127. CIGNA CORP DE	A	Dividend			Sold	09/21/15	J	A	
128. FOSSIL GROUP INC DE	A	Dividend			Sold	02/23/15	J	B	
129. CELADON GROUP INC DE (Y)									
130. CENTURYLINK INC DE	A	Dividend			Sold	08/06/15	J	A	
131. DISCOVER FINANCIAL SERVICES DE (Y)									
132. TYSON FOODS INC CL A DE	A	Dividend			Sold	09/21/15	J	A	
133. CABOT CORP DE	A	Dividend			Sold	07/20/15	J	B	
134. ORANGE SPON ADR DE	A	Dividend			Sold	09/21/15	J	A	
135. UNITEDHEALTH GROUP INC (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136. CONSTELLATION ENERGY GP (Y)									
137. HERSHEY FOODS CORP (Y)									
138. IMMUNEX CORP NEW (Y)									
139. INDYMAC BANCORP INC (Y)									
140. LEHMAN BROTHERS HLDGS COM (Y)									
141. HCA INC (Y)									
142. VISHAY INTERTECHNLGY (Y)									
143. BARNES & NOBLE INC (Y)									
144. WACHOVIA CORP (Y)									
145. REHABCARE GROUP INC (Y)									
146. ELECTRS BOUTIQUE HLDGS (Y)									
147. HARLEY DAVIDSON INC (Y)									
148. MID ATLANTIC MED SVCS (Y)									
149. OCEAN ENERGY INC (Y)									
150. PUB SVC NEW MEX PR (Y)									
151. AFFILIATED COMP SVCS (Y)									
152. AMERICAN STD COS (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
153. ANIXTER INTL INC (Y)									
154. BOISE CASCADE (Y)									
155. MBNA CORP (Y)									
156. OPEN TEXT CORP (Y)									
157. CAPITOL ONE FINL (Y)									
158. KINETICS CONCEPTS (Y)									
159. NEWS CORP CI (Y)									
160. NORFOLK SOUTHERN (Y)									
161. OPEN TEXT CORP (Y)									
162. SONY CORP (Y)									
163. WEIGHT WATCHERS INT (Y)									
164. COMMERCE BANCORP INC NJ (Y)									
165. INGRAM MICRO INC CL A (Y)									
166. MOTOROLA INC COM (Y)									
167. MICRO DEVICES INC (Y)									
168. SCIENTIFIC ATLANTA (Y)									
169. MATSUSHTA EL IND ADR (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
170. FISHER SCIENTIFIC 1 NEW (Y)									
171. THERMO ELECTRON CORP (Y)									
172. UNIVISION COMM INC CL A (Y)									
173. SAP AKGSLTT SPONSORD ADR (Y)									
174. KOHLS CORP WISC PV 1CT (Y)									
175. GLOBAL SANTAFE CORP (Y)									
176. CITIZENS COMMNCTNS CO (Y)									
177. PENSKE AUTO GROUP INC (Y)									
178. GARMIN LTD (KAYMAN IS) (Y)									
179. TREEHOUSE FOODS INC COM STK (Y)									
180. ATC TECH CORP (Y)									
181. RAILCORP HOLDINGS INC NEW DE (Y)									
182. SCHLUMBERGER LTD NETHERLANDS ANTILLES (Y)									
183. VALUCLICK INC DE (Y)									
184. EVEREST RE GROUP LTD BERMUDA	A	Dividend			Sold	09/21/15	J	A	
185. L.V.M.H.EUR 0.00EUR PAR ORDINARY (Y)									
186. MEMC ELECTRONIC MATERIALS INC (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
187. ROYAL CARIBBEAN CRUISES LTD LIBERIA ORD (Y)									
188. KOREA ELECTRIC POWER CRP SPONSORED ADR SHS DE	A	Dividend	J	T	Buy	01/13/15	J		
189.					Sold	08/05/15	J	B	
190. BEIERSDORF AG ADR DE	A	Dividend	J	T	Buy	01/16/15	J		
191. ALLEGHENY TECHNOLOGY	A	Dividend	J	T	Buy	01/21/15	J		
192.					Sold	02/23/15	J	B	
193. AK STEEL HOLDING CORP DE	A	Int./Div.	J	T	Buy	01/27/15	J		
194. NUCOR CORP DE	A	Dividend	J	T	Buy	01/28/15	J		
195.					Sold	09/21/15	J	A	
196. UNITED STATES STEEL CORP NEW DE	A	Dividend	J	T	Buy	01/28/15	J		
197.					Sold	04/30/15	J	B	
198. ALIBABA GROUP HLDG LTD SPON ADR	A	Dividend	J	T	Buy	01/30/15	J		
199.					Sold	09/21/15	J	B	
200. CROWN HOLDINGS INC DE	A	Dividend	J	T	Buy	02/10/15	J		
201.					Sold	04/17/15	J	B	
202. ING GROEP N V NL SPON ADR DE	A	Dividend	J	T	Buy	02/11/15	J		
203.					Sold	08/05/15	J	B	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
204. NORSK HYDRO A.S NEW NORWAY SPON ADR DE	A	Dividend	J	T	Buy	02/11/15	J		
205.					Sold	03/05/15	J	B	
206. VF CORP DE	A	Dividend	J	T	Buy	02/13/15	J		
207.					Sold	09/21/15	J	A	
208. AXA ADR DE	A	Dividend	J	T	Buy	02/25/15	J		
209. NRG ENERGY INC NEW DE	A	Dividend	J	T	Buy	03/03/15	J		
210. KROGER COMPANY DE	A	Dividend	J	T	Buy	03/05/15	J		
211.					Sold	09/21/15	J	A	
212. ORIENT OVERSEAS INTL LTD ADR DE	A	Dividend	J	T	Buy	03/09/15	J		
213. ROWAN COMPANIES PLC CL A DE	A	Int./Div.	J	T	Buy	03/16/15	J		
214.					Sold	09/21/15	J	B	
215. WHARF HLDGS LTD UNSPONSORED ADR DE	A	Dividend	J	T	Buy	03/16/15	J		
216.					Sold	05/07/15	J	B	
217. PULTE GROUP INC DE	A	Dividend	J	T	Buy	03/19/15	J		
218.					Sold	05/01/15	J	B	
219. UNITED CONTL HLDGS INC DE (Y)									
220. VALERO ENERGY CORP NEW DE	A	Dividend	J	T	Buy	03/19/15	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
221. DATANG INTL POWER GENERATION CO LTD SPON ADR DE	A	Int./Div.	J	T	Buy	03/20/15	J		
222.					Sold	04/29/15	J	B	
223. PING AN INSURANCE (GROUP) CO OF CHINA LTD REPSTG 20 H SHS SPON DE	A	Int./Div.	J	T	Buy	03/20/15	J		
224.					Sold	09/21/15	J	A	
225. SYNOVUS FINL CORP DE	A	Dividend	J	T	Buy	03/23/15	J		
226.					Sold	09/21/15	J	A	
227. CAPITAL ONE FINCL CORP DE	A	Dividend	J	T	Buy	04/16/15	J		
228.					Sold	07/24/15	J	A	
229. MOSAIC CO DE	A	Dividend	J	T	Buy	04/16/15	J		
230.					Sold	09/21/15	J	A	
231. HUNTINGTON BANC SHRES DE	A	Dividend	J	T	Buy	04/17/15	J		
232. CARTER'S INC DE	A	Dividend	J	T	Buy	04/29/15	J		
233.					Sold	09/21/15	J	A	
234. HERMES INTL SA FRF PAR ORD EUR DE	A	Dividend	J	T	Buy	04/29/15	J		
235.					Sold	09/12/15	J	B	
236. NEXT GROUP PLC ORD GBP DE	A	Dividend	J	T	Buy	04/29/15	J		
237.					Sold	09/21/15	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

238. SANOFI SPON ADR DE	A	Dividend	J	T	Buy	05/01/15	J		
239.					Sold	09/21/15	J	A	
240. IPSEN PROMESSES SHS ORD EUR DE	A	Dividend	J	T	Buy	05/04/15	J		
241.					Sold	09/21/15	J	A	
242. CHINA CONSTR BK CORP ADR DE	A	Dividend	J	T	Buy	05/05/15	J		
243.					Sold	09/21/15	J	A	
244. BJ'S RESTAURANTS INC DE	A	Dividend	J	T	Buy	05/06/15	J		
245.					Sold	09/21/15	J	A	
246. AMSURG CORP NEW DE	A	Dividend	J	T	Buy	05/07/15	J		
247.					Sold	09/21/15	J	A	
248. GROUP 1 AUTOMOTIVE INC DE	A	Dividend	J	T	Buy	05/07/15	J		
249.					Sold	09/21/15	J	A	
250. FOOT LOCKER INC DE	A	Dividend	J	T	Buy	05/22/15	J		
251.					Sold	09/21/15	J	A	
252. INTERCONTINENTALEXCHANGE GROUP DE	A	Dividend	J	T	Buy	06/09/15	J		
253. LATTICE SEMICONDUCTOR CORP DE	A	Dividend	J	T	Buy	06/09/15	J		
254. CVS HEALTH CORP DE	A	Dividend	J	T	Buy	06/15/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

255. ORANGE SPON ADR DE	A	Dividend	J	T	Buy	06/22/15	J		
256. PRUDENTIAL FINANCIAL INC DE	A	Dividend	J	T	Buy	06/22/15	J		
257. FRONTIER COMMUNICATIONS CORP DE	A	Dividend	J	T	Buy	06/29/15	J		
258.					Sold	09/21/15	J	A	
259. AVAGO TECHNOLOGIES LTD SGD DE	A	Dividend	J	T	Buy	07/14/15	J		
260.					Sold	09/21/15	J	A	
261. GOLDMAN SACHS GROUP INC	A	Dividend	J	T	Buy	07/17/15	J		
262. FORD MOTOR CO COM NEW DE	A	Dividend	J	T	Buy	07/20/15	J		
263.					Sold	09/21/15	J	A	
264. JUNIPER NETWORKS INC DE	A	Dividend	J	T	Buy	07/22/15	J		
265.					Sold	09/21/15	J	A	
266. LANDSTAR SYSTEMS INC DE	A	Dividend	J	T	Buy	07/24/15	J		
267.					Sold	09/21/15	J	A	
268. UNITED CONTL HLDGS INC DE (Y)									
269. MASCO CORP DE	A	Dividend	J	T	Buy	07/30/15	J		
270.					Sold	09/21/15	J	A	
271. PACCAR INC DE	A	Dividend	J	T	Buy	08/05/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

272.					Sold	09/21/15	J	A	
273. KBC GROUP SA/NV SHS EUR DE	A	Dividend	J	T	Buy	08/06/15	J		
274.					Sold	08/24/15	J	B	
275. SMITH A O CORP DE	A	Dividend	J	T	Buy	08/06/15	J		
276.					Sold	09/21/15	J	A	
277. SOUTHWESTERN ENERGY CO DE	A	Dividend	J	T	Buy	08/06/15	J		
278.					Sold	09/21/15	J	A	
279. HARMAN INTL INDS INC NEW DE	A	Dividend	J	T	Buy	08/24/15	J		
280.					Sold	09/21/15	J	A	
281. TWITTER INC DE	A	Dividend	J	T	Buy	10/12/15	J		
282. APPLE INC DE	A	Dividend	J	T	Buy	10/16/15	J		
283. SCHWAB CHARLES CORP NEW DE	A	Dividend	J	T	Buy	10/19/15	J		
284. TEGNA INC DE	A	Dividend	J	T	Buy	10/20/15	J		
285. KITE REALTY GROUP TRUST REIT DE	A	Dividend	J	T	Buy	10/26/15	J		
286. FEDERATED INVESTORS INC PA CL B DE	A	Dividend	J	T	Buy	10/27/15	J		
287. CONS ENERGY INC DE	A	Dividend	J	T	Buy	10/28/15	J		
288. WYNDHAM WORLDWIDE CORP DE	A	Dividend	J	T	Buy	10/28/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
289. LLOYDS BANKING GROUP PLC SPON ADR DE	A	Dividend	J	T	Buy	11/04/15	J		
290. REYNOLDS AMERN INC (HOLDING CO) DE	A	Dividend	J	T	Buy	11/10/15	J		
291. ENCANA CORP CAD DE	A	Dividend	J	T	Buy	11/13/15	J		
292. TJX COS INC NEW DE	A	Dividend	J	T	Buy	11/17/15	J		
293. BARCLAYS PLC ADR DE		None	J	T	Buy	12/10/15	J		
294. WYNDHAM WORLDWIDE CORP DE		None	J	T	Buy	12/10/15	J		
295. CLEARWATER PAPER CORP DE		None	J	T	Buy	12/21/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Continuation of Part I. POSITIONS:

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ HKennethJr Schroeder

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544