

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BREYER, STEPHEN G.	2. Court or Organization U.S. SUPREME COURT	3. Date of Report 07/15/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ASSOC. JUSTICE SUPREME COURT	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address SUPREME COURT OF UNITED STATES 1 FIRST STREET, N.E. WASHINGTON, D.C. 20543		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. TRUSTEE (HONORARY)	DANA-FARBER CANCER INSTITUTE
2. JUROR	THE PRITZKER ARCHITECTURE PRIZE
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

BREYER, STEPHEN G.

Date of Report

07/15/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	RANDOM HOUSE, INC.; ROYALTY INCOME	\$10,809.52
2. 2013	THE AUTHORS REGISTRY, INC; ROYALTY INCOME	\$148.46
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. PRITZKER ORGANIZATION	JANUARY 18-20, 2013	NEW YORK, NY	PRITZKER PRIZE JURY MEETINGS	AIRFARE, MEALS & LODGING
2. NEW COLLEGE OF THE HUMANITIES - LONDON	JANUARY 30 - FEBRUARY 5, 2013	LONDON, UNITED KINGDOM	PARTICIPANT IN CONFERENCE	AIRFARE & MEALS
3. ACADEMIE DES SCIENCES MORALES ET POLITIQUES, UNIVERSITY OF FRANCE	APRIL 1-10, 2013	PARIS, FRANCE	ELECTED INTO MEMBERSHIP OF ACADEMIE DES SCIENCES MORALES ET POLITIQUES	AIRFARE & MEALS (SELF & SPOUSE)
4. ASPEN INSTITUTE	JULY 1-2, 2013	ASPEN, CO	PARTICIPANT IN CONFERENCE, ASPEN IDEAS FESTIVAL	AIRFARE (SELF & SPOUSE)

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

BREYER, STEPHEN G.

Date of Report

07/15/2019

5.	DAVID RUBENSTEIN	AUGUST 30, 2013	NANTUCKET, MA	WEDDING	AIR TRANSPORTATION
6.	SUPREME COURT OF CANADA	SEPTEMBER 23-25, 2013	OTTAWA, CANADA	CANADA - US LEGAL EXCHANGE	LODGING, MEALS & LOCAL TRANSPORTATION
7.	YALE UNIVERSITY	SEPTEMBER 25-28, 2013	NEW HAVEN, CT	PARTICIPANT IN YALE GLOBAL SEMINAR	AIRFARE, MEALS & LOCAL TRANSPORTATION
8.	HARVARD LAW SCHOOL	OCTOBER 1, 2013	CAMBRIDGE, MA	TO HONOR JUSTICE BREYER'S 20TH ANNIVERSARY OF APPOINTMENT	AIRFARE
9.	NEW YORK UNIVERSITY	OCTOBER 2, 2013	NEW YORK CITY, NY	PARTICIPANT IN MEMORIAL SERVICE FOR PROF. RONALD DWORKIN	AIRFARE & ONE-WAY TRAIN FARE
10.	INTERNATIONAL BAR ASSOCIATION	OCTOBER 11, 2013	BOSTON, MA	PARTICIPANT IN RULE OF LAW SYMPOSIUM	AIRFARE
11.	PRITZKER ORGANIZATION	NOVEMBER 16-23, 2013	NORWAY, SWEDEN, COPENHAGEN	VIEWING ARCHITECTURE AS ONE OF THE JURORS ON THE COMMITTEE	AIRFARE, MEALS & LODGING
12.	WORLD POLICY CONFERENCE	DECEMBER 13-15, 2013	MONACO, FRANCE	PARTICIPANT IN CONFERENCE	AIRFARE, MEALS & LODGING

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

BREYER, STEPHEN G.

Date of Report

07/15/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

BREYER, STEPHEN G.

Date of Report

07/15/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. SMITH BARNEY CITIGROUP IRA ACCOUNT:									
2. CITIBANK NA BANK DEPOSIT PROGRAM	A	Interest			Closed	10/03/13	M		
3. U.S. TREASURY NOTE 8/15/13	B	Interest			Matured	08/15/13	K	A	
4. IRA ACCOUNT:									
5. DWS (FKA SCUDDER) CORE PLUS INCOME FUND	A	Dividend	K	T					
6. DWS (FKA SCUDDER) LARGE CAPITAL VALUE FUND	D	Dividend	L	T					
7. OTHER HOLDINGS:									
8. DWS (FKA SCUDDER) CORE PLUS INCOME FUND - IRA	A	Dividend	K	T					
9. BANK OF AMERICA - CHECKING	A	Interest	L	T					
10. TIAA/CREF	G	Int./Div.	P1	T					
11. TRUST:									
12. TAI SHAN FUND	C	Int./Div.	M	U					
13. WINDSOR FUND	A	Dividend	L	T					
14. AUTOMATIC DATA PROCESSING INC COMMON STOCK	B	Dividend	L	T					
15. SIGMA ALDRICH CORP. COMMON STOCK	B	Dividend	M	T					
16. CINTAS CORP. COMMON STOCK	B	Dividend	M	T					
17. SYSCO CORP. COMMON STOCK	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

BREYER, STEPHEN G.

Date of Report

07/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. VANGUARD S&P 500 INDEX FUND	D	Dividend	N	T					
19. APPLIED ANALYSIS INC - COMMON STOCK		None	J	T					
20. APPLIED ANALYSIS INC - PREFERRED STOCK		None	J	T					
21. ISHARES MSCI PAC EX-JAPAN INDEX FD	A	Dividend			Sold	09/17/13	K	A	
22. ISHARES MSCI EMERGING MARKETS IDX FD	A	Dividend	K	T					
23. ISHARES MSCI EAFE INDEX FD	A	Dividend	K	T					
24. U.S. TREASURY BILL - 8/15/13	B	Interest			Matured	08/15/13	K		
25. VANGUARD DIVIDEND GROWTH FUND -IV	C	Dividend	M	T					
26. VANGUARD MID-CAP INDEX FUND -A	A	Dividend	L	T					
27. DODGE & COX INTERNATIONAL STOCK FUND	B	Dividend	L	T					
28. ROYCE LOW-PRICED STOCK SERVICE FUND	A	Dividend	L	T					
29. OAKMARK INTERNATIONAL FUND - I	B	Dividend	L	T					
30. FEDERATED US TREASURY CASH RSV FD-I	A	Dividend	K	T					
31. INTERSENSE INC. CLASS C PREFERRED SERIES C-1		None	J	T					
32. INTERSENSE INC. CLASS A PREFERRED SERIES A-2		None	J	T					
33. INTERSENSE INC. CLASS B PREFERRED SERIES B-1		None	J	T					
34. VANGUARD SMALL CAP INDEX-INV	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

BREYER, STEPHEN G.

Date of Report

07/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. FIDELITY MA MUNICIPAL INCOME	C	Dividend	L	T					
36. U.S. TREASURY BILL - 8/21/14	A	Interest	K	T	Buy	09/16/13	K		
37. OTHER ASSETS:									
38. TIAA/CREF RETIREMENT ACCOUNT	A	Dividend	M	T					
39. SK-N-A NATIONAL BANK SAVINGS ACCT	A	Interest	K	T					
40. PEARSON ORD. STOCK	E	Dividend	P1	T					
41. RENTAL PROPERTY - NEVIS WEST INDIES	A	Rent	N	W					
42. TOTAL SA SPONSORED ADR	C	Dividend	L	T					
43. NOKIA CORP. ADS	A	Dividend	K	T					
44. LAND IN CONCORD, MA		None	L	W					
45. LAND IN PLAINFIELD, NH		None	N	W					
46. HEINZ HJ CO - COMMON STOCK	A	Dividend			Sold	06/10/13	L	E	
47. IBM - COMMON STOCK	B	Dividend	L	T					
48. LOWES COMPANIES INC - COMMON STOCK	A	Dividend	L	T					
49. PACCAR INC - COMMON STOCK	B	Dividend	M	T					
50. QUEST DIAGNOSTICS INC. - COMMON STOCK	A	Dividend	L	T					
51. UNITED TECHNOLOGIES CORP - COMMON STOCK	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

BREYER, STEPHEN G.

Date of Report

07/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. CISCO SYSTEMS INC - COMMON STOCK	B	Dividend	K	T					
53. AIR PRODUCTS & CHEMICAL INC - COMMON STOCK	B	Dividend	L	T					
54. SSGA MONEY MARKET FUND	A	Dividend	M	T					
55. SUNCOR ENERGY INC - COMMON STOCK	A	Dividend	K	T					
56. BHP BILTON LTD	B	Dividend	L	T					
57. CAMBRIDGE, MA 4.00% 01/01/2014	C	Interest	L	T					
58. SHARON, MA 4.00% 9/15/2013	C	Interest			Matured	09/15/13	L		
59. EMC CORP MASS - COMMON STOCK	A	Dividend	L	T					
60. JOHNSON CONTROLS INC - COMMON STOCK	A	Dividend	K	T					
61. STANCROFT TRUST LIMITED	E	Dividend	M	W					
62. FIRST CARIBBEAN INTERNATIONAL BANK - CHECKING	A	Interest	K	T					
63. EATON VANCE MULTI-CAP GROWTH FUND -A		None	L	T					
64. EATON VANCE LARGE CAP GROWTH FUND - I	E	Dividend	N	T					
65. EATON VANCE LARGE CAP VALUE FUND - I	E	Dividend	M	T					
66. EATON VANCE TAX M S/C		None	L	T					
67. EATON VANCE STRUCTURED EMERGING MKTS-I	A	Dividend	K	T					
68. EATON VANCE ATLANTA SM CP-I	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

BREYER, STEPHEN G.

Date of Report

07/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. ZELFI SPORTS HOLDING LTD.		None	M	W					
70. TOPGOLF INTERNATIONAL, INC.		None	L	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

BREYER, STEPHEN G.

Date of Report

07/15/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

THE REPORT WAS PREPARED BY:

CITRIN COOPERMAN & COMPANY, LLP
529 FIFTH AVENUE
NEW YORK, NEW YORK 10017

PART VII, LINES 69-70:

SHARES OF ZELFI SPORTS HOLDING LTD AND TOPGOLF INTERNATIONAL, INC. (BOTH HELD BY MY SPOUSE) WERE INADVERTENTLY OMITTED FROM THIS FINANCIAL DISCLOSURE REPORT. TOPGOLF INTERNATIONAL, INC. IS NOT A PUBLICLY TRADED COMPANY, AND THE VALUE OF ITS SHARES IS NOT CURRENTLY ASCERTAINABLE. THE VALUE CODE LISTED IN COLUMN C(1) IS THE ORIGINAL PURCHASE PRICE OF THE SHARES IN 2006.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

BREYER, STEPHEN G.

Date of Report

07/15/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ STEPHEN G. BREYER

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544