

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) TEILBORG, JAMES A.	2. Court or Organization U. S. DISTRICT COURT	3. Date of Report 04/30/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. DISTRICT JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2012 to 12/31/2012
7. Chambers or Office Address 401 WEST WASHINGTON STREET SUITE 523-SPC 51 PHOENIX, AZ 85003-2154		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1997	METROPOLITAN LIFE # 1 (STRUCTURED SETTLEMENT - COMPENSATION FOR PRIOR SERVICES)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2012	METROPOLITAN LIFE (STRUCTURED SETTLEMENT - COMPENSATION FOR PRIOR SERVICES)	\$13,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. RAW LAND, (2000, \$79,000.)		None	L	R					
2. (H) PACIFIC LIFE VARIABLE ANNUITY # 1									
3. --- PIMCO INFLATION MANAGED		None	J	T					
4. --- PIMCO MANAGED BOND		None	J	T					
5. --- T. ROWE PRICE SHRT DUR BD(PREV. MANAGED BY GOLMAN SACHS)		None	K	T					
6. --- COMSTOCK-INVESCO (PREV. MANAGED BY VAN KAMPEN)		None	L	T					
7. --- JANUS FOCUSED 30		None	J	T					
8. --- LAZARD MID-CAP EQUITY		None	J	T					
9. --- BLACK ROCK SMALL CAP INDEX		None	K	T					
10. --- JPMORGAN INT'L VALUE (PREV. MANAGED BY BERNSTEIN)		None	K	T					
11. --- DIVIDEND GROWTH-T ROWE PRICE		None	L	T					
12. THERMOSURGERY, INC.		None	J	T					
13. (H) NATIONWIDE FLEXIBLE VARIABLE UNIVERSAL LIFE POLICY									
14. --- NVIT MID CAP INDEX 1		None	K	T					
15. --- DREY VIF APPRECIATION PT		None	K	T					
16. --- OPP MDCAP/VA NSS		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

17. --- OPP MS ST/VA NSS		None	K	T					
18. --- JnsAspOverseas Svc(Formerly JAS INTL GRTH PORT SV SHRS)		None	K	T					
19. --- NVIT Mult-Mgr MdCap Gr 1		None	J	T					
20. (H)PACIFIC LIFE VARIABLE ANNUITY POLICY # 2 (SELECT EXEC II)									
21. --- FIXED RATE OPTIONS		None			Redeemed	12/14/12	J	A	
22. --- MAIN STREET CORE		None			Redeemed	12/14/12	J	A	
23. (H) EQUITABLE LIFE POLICY									
24. --- EQ/ INTRMDTE GOVT BOND INDX(FORMERLY ALLBRNSTN INT GOVT)		None	K	T					
25. --- EQ/ BLACK ROCK BASIC VALUE EQUITY		None	K	T					
26. --- EQ/ MID CAP		None	K	T					
27. --- EQ/ LARGE CAP CORE PLUS		None	J	T					
28. --- EQ/ EQUITY GROWTH PLUS (FORMERLY EQ/FOCUS PLUS)		None	K	T					
29. --- EQ/ INTERNATIONAL CORE PLUS		None	J	T					
30. --- MULTIMANAGER SMALL CAP VALUE		None	K	T					
31. HARTFORD LIFE INSURANCE LEADERS ANNUITY	B	Dividend			Redeemed	12/12/12	L	B	
32. HANGER INC.		None	L	U					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only)	P4 = More than \$50,000,000	S = Assessment	T = Cash Market
(See Column C2)	Q = Appraisal	V = Other	W = Estimated		
	U = Book Value				

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33. FIRST CREDIT UNION ACCOUNTS	A	Interest	J	T					
34. DELAWARE DIVERSIFIED INCOME FUND		None			Sold	01/04/12	J		
35. JOHN HANCOCK FREEDOM 529, PORTFOLIO 2013-2016A (NO CONTROL)	A	Int./Div.	J	T					
36. JOHN HANCOCK FREEDOM 529, PORTFOLIO A, (NO CONTROL)	A	Int./Div.	J	T					
37. JOHN HANCOCK FREEDOM 529, PORTFOLIO 2017-2020A, (NO CONTROL)	A	Int./Div.	J	T					
38. JOHN HANCOCK FREEDOM 529, PORTFOLIO 2017-2020A, (NO CONTROL)	A	Int./Div.	J	T					
39. JOHN HANCOCK FREEDOM 529, PORTFOLIO 2021-20524A (NO CONTROL)	A	Int./Div.	J	T					
40. NUVEEN MUNICIPAL VALUE FUND	A	Dividend	J	T					
41. OPPENHEIMER INTL BOND FD CL A		None			Sold	01/03/12	J		
42. (H) LINCOLN NATIONAL AMERICAN LEGACY III VIEW 14L									
43. --- BL CHP INCOME & GROWTH		None	L	T					
44. ---GROWTH		None	M	T					
45. --- GROWTH & INCOME		None	L	T					
46. --- GLOBAL SMALL CAP		None	K	T					
47. --- INTERNATIONAL		None	L	T					
48. --- NEW WORLD		None	K	T					

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49. --- GLOBAL GROWTH-INCOME		None	K	T					
50. --- GLOBAL GROWTH		None	L	T					
51. --- BOND		None	K	T					
52. --- GLOBAL BOND		None	K	T					
53. --- GOV'T/AAA SECURITIES		None	K	T					
54. --- HIGH INCOME BOND		None	J	T					
55. AXA EQUITABLE LIFE INSURANCE	A	Int./Div.	L	T					
56. GENERAL AMERICAN WHOLE LIFE INSURANCE POLICY	A	Int./Div.	J	T					
57. AQUILA THREE PEAKS		None			Sold	01/03/12	J		
58. FIA CARD SERVICES	A	Dividend	K	T					
59. ISHARES BARCLAYS 1-3 YEARS TREASURY BOND FD	A	Dividend			Sold (part)	01/03/12	K		
60.					Sold (part)	02/01/12	K	A	
61.					Sold (part)	03/01/12	L		
62.					Buy (add'l)	04/02/12	J		
63.					Buy (add'l)	06/01/12	K		
64.					Buy (add'l)	07/02/12	J		
65.					Buy (add'l)	08/01/12	J		

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66.					Sold (part)	09/04/12	L	A	
67.					Sold	10/01/12	K	A	
68. ISHARES BARCLAYS AGGRT BD FD	A	Dividend			Sold (part)	01/03/12	K	A	
69.					Sold	02/01/12	N	D	
70. ISHARES BARCLAYS TIPS BOND		None			Sold	02/01/12	J	A	
71. ISHARES BARCLAYS 3-7 YEAR TREASURY BOND FD	A	Dividend			Sold (part)	01/03/12	K	A	
72.					Sold (part)	02/01/12	M	B	
73.					Sold	08/01/12	K	A	
74. ISHARES BARCLAYS MBS BOND FUND	B	Dividend	M	T	Buy (add'l)	02/01/12	L		
75. MAINSTAY FLOATING RATE FUND		None			Sold	01/03/12	J		
76. PIMCO 1-3 YR US TREASURY INDEX	A	Dividend			Buy (add'l)	01/03/12	M		
77.					Sold	02/01/12	M		
78. SPDR GOLD TRUST		None			Sold	01/03/12	K		
79. VANGUARD SHORT TERM CORPORATE BD		None			Sold	02/01/12	M	B	
80. VANGUARD SHORT TERM GOV BD	A	Dividend			Buy	01/03/12	J		
81.					Sold (part)	01/03/12	J	A	
82.					Sold (part)	02/01/12	M		

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83.					Sold	10/01/12	K	A	
84. VANGUARD TOTAL BOND MKT	A	Dividend			Sold (part)	01/03/12	K	A	
85.					Sold	02/01/12	M	B	
86. (H) PRUDENTIAL RETIREMENT B SERIES ANNUITY									
87. ---AST SCHRODERS MULTI-ASSET WORLD STRAT.		None	L	T					
88. ---AST ACADEMIC STRATEGIES ASSET ALLOCATION		None	L	T					
89. ---AST HORIZON MODERATE ASSET ALLOCATION		None	L	T					
90. ---AST BLACKROCK GLOBAL STRATEGIES PORT.		None	L	T					
91. ELEMENTS - ROGERS TR SV-		None			Buy	01/03/12	K		
92.					Buy (add'l)	03/01/12	K		
93.					Sold (part)	06/01/12	K		
94.					Sold	08/01/12	K		
95. ELEMENTS - ROGERS TR SV-		None	K	T	Buy	09/04/12	K		
96.					Sold (part)	11/01/12	J		
97.					Buy (add'l)	12/03/12	K		
98. ISHARES DOW JONES US REAL ESTATE	B	Dividend	K	T	Buy	01/03/12	K		
99.					Buy (add'l)	02/01/12	K		

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100.					Sold (part)	12/03/12	K	C	
101. ISHARES GOLD TR		None	K	T	Buy	02/01/12	K		
102.					Sold (part)	04/02/12	K		
103.					Buy (add'l)	09/04/12	K		
104. ISHARES IBOXX \$ INV GRADE CORP BD FD	B	Dividend	K	T	Buy	02/01/12	L		
105.					Sold (part)	08/01/12	K	B	
106. ISHARES IBOXX 4 HIGH YIELD CORP BD FD	B	Dividend	K	T	Buy	02/01/12	K		
107. ISHARES JP MORGAN EM BOND	A	Dividend	K	T	Buy	02/01/12	K		
108. ISHARES MSCI EAFE INDEX FD	D	Dividend	M	T	Buy	01/03/12	L		
109.					Buy (add'l)	02/01/12	K		
110.					Buy (add'l)	03/01/12	M		
111.					Sold (part)	06/01/12	L		
112.					Buy (add'l)	09/04/12	L		
113. ISHARES RUSSELL 1000 GROWTH INDEX FD	B	Dividend	M	T	Buy	02/01/12	M		
114. SECTOR SPDR FINANCIAL	A	Dividend			Buy	04/02/12	K		
115.					Sold	10/01/12	K		
116. SECTOR SPDR INDUSTRIAL	A	Dividend			Buy	04/02/12	L		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	P4 ~More than \$50,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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TEILBORG, JAMES A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
117.					Sold	07/02/12	K		
118. VANGUARD CONSUMER STAPLES ETF		None			Buy	02/01/12	K		
119.					Sold	04/05/12	K	C	
120. VANGUARD CONSUMER STAPLES ETF	B	Dividend	K	T	Buy	10/01/12	K		
121. VANGUARD FINANCIALS ETF	B	Dividend	L	T	Buy	02/01/12	K		
122. VANGUARD HEALTH CARE ETF	A	Dividend	K	T	Buy	10/01/12	K		
123. VANGUARD INDUSTRIAL ETF	A	Dividend	K	T	Buy	02/01/12	K		
124. VANGUARD INFORMATION TECH ETF		None			Buy	02/01/12	K		
125.					Sold	10/01/12	K	C	
126. VANGUARD INTERMEDIATE TERM BOND ETF	C	Dividend	L	T	Buy	02/01/12	L		
127.					Buy (add'l)	08/01/12	K		
128. VANGUARD MATERIALS ETF	A	Dividend	K	T	Buy	02/01/12	K		
129. VANGUARD MSCI EMERGING MKTS ETF	B	Dividend	M	T	Buy	02/01/12	L		
130.					Buy (add'l)	03/01/12	L		
131.					Sold (part)	06/15/12	L		
132.					Buy (add'l)	10/01/12	L		
133. VANGUARD SHORT TERM BOND	B	Dividend	L	T	Buy	02/01/12	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

TEILBORG, JAMES A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
134.					Sold (part)	03/01/12	M		
135.					Buy (add'l)	04/02/12	K		
136.					Buy (add'l)	06/01/12	M		
137.					Buy (add'l)	08/01/12	K		
138.					Sold (part)	09/04/12	M	A	
139.					Buy (add'l)	11/01/12	K		
140. VANGUARD TELECOMM SRVCS ETF	B	Dividend	K	T	Buy	07/02/12	K		
141.					Buy (add'l)	08/01/12	J		
142. VANGUARD UTILITIES ETF	A	Dividend			Buy	02/01/12	K		
143.					Sold	04/02/12	K	A	
144.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

TEILBORG, JAMES A.

Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ JAMES A. TEILBORG**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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