

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Mullin, Mark X.	2. Court or Organization U.S. Bankruptcy Court, Northern District of Texas	3. Date of Report 05/15/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Eldon B. Mahon U.S. Courthouse 501 W. 10th Street, Rm. 147 Fort Worth, TX 76102-3643		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2014	Haynes and Boone Retirement Savings Plan, no control (Rollover to Ameriprise Financial on November 17, 2016)
2. 2014	Haynes and Boone Investment Directed Profit Sharing Plan, no control (Rollover to Ameriprise Financial on November 17, 2016)
3. 2014	Haynes and Boone LLP Partners' Retirement Plan (frozen), no control
4. 2015	Haynes and Boone Partners' Cash Balance Plan, no control

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. The Center for American and International Law	February 24-26, 2016	New Orleans, LA	5th Circuit Bench-Bar Bankruptcy Conference (speaker) (speaker)	Airfare, Hotel, Taxi, Airport Parking
2. American Bankruptcy Institute	March 15-16, 2016	Las Vegas, NV	VALCON (Valuation Conference) (speaker)	Airfare, Hotel, Taxi, Airport Parking
3. Tarrant County Bankruptcy Bar	April 18, 2016	Fort Worth, TX	Monthly Tarrant County Bankruptcy Bar Meeting (speaker)	Lunch
4. Center for American and International Law	June 10, 2016	Plano, TX	Interenational Academy Program (speaker)	Lunch

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5.	DFW Consumer Bar Association	November 7, 2016	Arlington, TX	2016 Consumer Bankruptcy Bar Semenar (speaker)	Lunch
6.	Mississippi Bankruptcy Bar Conference	November 9-10, 2016	Jackson, MS	2016 Mississippi Bankruptcy Bar Conference (speaker)	Airfare, Hotel, Taxi, Airport Parking

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Cisco Systems, Inc. Common Stock	A	Dividend	J	T					
2. Mutual Fund - MCMAX -- AMG Managers Cadence Mid Cap Inv	A	Int./Div.	J	T					
3. Mutual Fund - MIGFX - Mass MFS Investors Growth Stock Class A	A	Int./Div.	J	T					
4. Mutual Fund - PGRWX - Putnam Growth & Income-A	A	Int./Div.	J	T					
5. Mutual Fund - PNGAX - Putnam International Value-A	A	Int./Div.	J	T					
6. Mutual Fund - VAFAX - Invesco American Franchise Fund-Class A- VAFAX	A	Int./Div.	J	T					
7. Minnesota Life - Adjustable Life Insurance	B	Dividend	K	T					
8. BOK Financial - Vanguard Target Retirement 2025	A	Int./Div.			Closed	11/17/16	P1	E	
9. Ameriprise Brokerage Account - AIMMA - tax qualified Retirement Account	A	Int./Div.	P1	T	Buy	11/17/16	P1		
10. Bank of America Cash Account (X)		None	L	T					
11. Ameriprise One Financial Account (X)	A	Int./Div.	K	T	Buy	11/17/16	K		
12. Mutual Fund - ACLAX - American Century Mid Cap Value CL A (X)	A	Int./Div.	J	T	Buy	11/17/16	J		
13. Mutual Fund - BHYAX - Blackrock High Yield Bond Investor CL A (X)	A	Int./Div.	J	T	Buy	11/17/16	J		
14. Mutual Fund - BASIX - Blackrock Strategic Income Oppty Investor (X)	A	Int./Div.	K	T	Buy	11/17/16	K		
15. Mutual Fund - AIOAX - Columbia Income Oppty CL A (X)	A	Int./Div.	K	T	Buy	11/17/16	K		
16. Mutual Fund - CSEX Active Portfolio Multi MGR Small Cap Equity (X)	A	Int./Div.	J	T	Buy	11/17/16	J		
17. Mutual Fund - CMCPX - Active Portfolio Multi MGR Total Retym Bond (X)	C	Int./Div.	M	T	Buy	11/17/16	M		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Mutual Fund - CSLGX Acgove Portfolio Multi MGR Growth CL A (X)	A	Int./Div.	K	T	Buy	11/17/16	K		
19. Mutual Fund - CDEIX - Active Portfolio Aulti GGR Value CL A (X)	A	Int./Div.	K	T	Buy	11/17/16	K		
20. Mutual Fund - CDAAX Active Profolio Multi Mgr Directional Alt (X)	A	Int./Div.	J	T	Buy	11/17/16	J		
21. Mutual Fund - OLGAX - JPMorgan Large Cap Growth CL A (X)	A	Int./Div.	J	T	Buy	11/17/16	J		
22. Mutual Fund - MGIAX - MFS Intl Value CL A (X)	A	Int./Div.	K	T	Buy	11/17/16	K		
23. Mutual Fund - MEIAX - MFS Value CLA A (X)	A	Int./Div.	J	T	Buy	11/17/16	J		
24. Mutual Fund - OIGAX Oppenheimer Intl Growth CL A (X)	A	Int./Div.	J	T	Buy	11/17/16	J		
25.									
26.									
27.									
28.									
29.									
30.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII; lines 8 and 9 - 401K retirement account rolled over from BOK to Ameriprise during 2016.
Part VII; lines 11-24 - mutual funds were purchased with an inheritance received in 2016.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mark X. Mullin**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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