

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Federman, Arthur B.	2. Court or Organization U.S. Bankruptcy Court	3. Date of Report 05/11/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Bankruptcy Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address Charles Evans Whittaker Cthse. 400 East 9th St., Suite 6552 Kansas City, Mo. 64106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee, with no significant management duties - all assets are listed @VII 1990 and 1992 Federman Trusts, beneficiaries are the reporting person and [REDACTED], Assets are disclosed in Part VII

2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Second Mortgage Investment Co., Inc - a 1.58% shareholder	A	Interest	K	W					
2. Commerce Bank NA, Lenexa Ks.- MMrtk - no add delete detail needed	A	Interest	K	T					
3. Commerce Bank NA, Lenexa Ks - CKG - no add delete detail needed	A	Interest	J	T					
4. Commerce Bank NA, Lenexa Ks - MMRKT - no add delete detail needed	B	Interest	M	T					
5. Vanguard Fed MMT Fund	B	Int./Div.	J	T					
6. Vanguard - 500 Index Fund Admiral Shares - Total Bal for this Fund	D	Int./Div.	N	T					
7. - Vanguard - 500 Index Fund Admiral Shares - added in 2014					Buy (add'l)	12/31/14	J		Div reinvested for yr
8. - Vanguard - 500 Index Fund Admiral Shares - added in 2014					Buy (add'l)	12/31/14	K		Total of reg. mo. adds
9. Vanguard - Total Intern. Stock IX (was IDX) Adm-Total Bal for this Fd	D	Int./Div.	M	T					
10. - Vanguard - Total Intern Stock IX Admiral addition in 2014					Buy (add'l)	12/31/14	J		Div reinvested for yr
11. - Vanguard - Total Intern. Stock IX Admiral addition for 2014					Buy (add'l)	12/31/14	K		Total of Reg Mo. Adds
12. Vanguard - Total Stock Market Index Fund Admiral Shrs-Total Bal of Fd	D	Dividend	N	T					
13. - Vanguard - Total Stock Market Index Fund Admiral - added in 2014					Buy (add'l)	12/19/14	J		Div reinvested for yr
14. - Vanguard - Total Stock Market Index Fund - Afmral - added in 2014					Buy (add'l)	12/31/14	K		Total of reg. mo. adds
15. Northwestern Mutual Life Ins Policy	B	Interest	N	T					
16. Federman [REDACTED] L.P. - Limited Partnership Interest	E	Interest	P1	W	Open	12/31/14	N		[REDACTED]
17. Commerce Bank N.A. , Kansas City, Mo. - Ckg Acct.	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Goldman Sachs Bank USA - CD Matures 1-27-14	A	Interest			Matured	01/27/14	L		no gain (loss)
19. Discover Bank - CD - Matures 5-9-14	A	Interest			Matured	05/09/14	M		no gain (Loss)
20. State Bank of India - CD - Matures - 10-14-14	A	Interest			Matured	10/14/14	L		no gain (loss)
21. State Bank of India - CD Matures 1/26/15	A	Interest	J	T					
22. ALLY Bank - CD - Matures 7-24-15	A	Interest	L	T					
23. Firtsbank Puerto Rico CD - matures 01/29/15	A	Interest	L	T	Buy	01/13/14	L		
24. Discover Bank CD - matures 06/13/16	A	Interest	M	T	Buy	06/11/14	M		
25. State Bank of India CD - matures 10/17/17	A	Interest	L	T	Buy	10/17/14	L		
26. IRA Acct. -Total Assets in Accounts - Total Bal & Detail Follows -	D	Dividend	M	T					
27. - IRA Acct. - (GNMA) Govt. Natl. Mtg. Ass Pool - Commerce					Matured (part)	12/31/14	J		normal principal paydowns
28. - IRA Acct - Vangaurd acct - Prime MMKT FD - no add delete detail									
29. - IRA Acct - Fidelity Select Health Care					Buy (add'l)	12/31/14	J		dividends reinvested
30. - IRA Acct - T.Rowe Price New ERA					Buy (add'l)	12/31/14	J		dividends reinvested
31. - IRA Acct - spouse - Capital Federal Savings									
32. Trust # 1 - Total Assets of Trust -Total Bal & Asset Detail Follows	E	Int./Div.	P1	T	Buy (add'l)	12/31/14	J		Incr is inc & net CV incre
33. - Trust # 1 - Guardian Life Ins . - Cash Value					Buy (add'l)	12/31/14	J		Incr. CV for Life INS DIV
34. - Trust # 1 - Northwestern Mutual Life - Cash Value					Buy (add'l)	12/31/14	K		Incr CV for Life Ins DIV

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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35. - Trust # 1 - Met Life Ins INC-									
36. - Trust # 1 - Commerce Bank N.A., Ckg acct.									
37. - Trust # 1 - Comm Bank NA - Kansas City Mo					Closed	12/09/14	K		closed to chg acct 12-9-14
38. - Trust # 1 - GE Capital Bank - CD					Matured	12/16/14	K		no gain or (loss)
39. - Trust # 1 - Goldman Sachs Bank USA - CD - Matures 4/10/15									
40. - Trust # 1 - Bank of Baroda - CD - Matures 12/16/14					Buy	12/16/14	K		
41. Trust # 2 - Total Assets of Trust -Total Bal & Asset Detail Follows	D	Int./Div.	P1	T					
42. - Trust # 2 - Vanguard Fed MM Portfolio, Philadelphia, Pa.									
43. - Trust # 2 - Vanguard Health Care Fund Inv - VGHCX					Buy (add'l)	08/13/14	J		reinvested div. only
44. - Trust # 2 - Vanguard Health Care Fund Inv - VGHCX					Merged (with line 45)	08/13/14	L		transferred to Fund VGHAX
45. - Trust # 2 - Vanguard Health Care Fund Adm - VGHAX					Open	08/13/14	L		transfer from Fund VGHCX
46. - Trust # 2 - Vanguard Health Care Fund Adm - VGHAX					Buy (add'l)	12/31/14	J		reinvested div only
47. - Trust # 2 - Vanguard Total Stock Mkt Idx FundFund					Buy (add'l)	12/31/14	J		reinvested div. only
48. - Trust # 2 - Commerce Bank NA - ckg acct.									
49. - Trust # 2 - Sallie Mae Bk /Murray - CD 8-22-14 (corrected date)					Matured	08/22/14	M		no gain (loss)
50. - Trust # 2 - State Bank of India CD - 10-14-14					Matured	10/14/14	M		no gain (loss)
51. - Trust # 2 - Ally Bank - CD - 12/05/14					Matured	12/05/14	M		no gain (loss)

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52. - Trust # 2 - Discover Bank - CD - Matures 07/10/14					Matured	07/10/14	K		no gain (loss)
53. - Trust # 2 - Bank of India NY - CD - Matures - 09/30/14					Matured	09/30/14	L		no gain (loss)
54. - Trust # 2 Bank of Baroda - CD - Matures 11/28/14					Matured	11/28/14	L		no gain (loss)
55. - Trust # 2 FirstBank Puerto Rico - CD - Matures 02/27/15									
56. - Trust # 2 - FirstBank Puerto Rico - CD - Matures 05/29/15									
57. - Trust # 2 - FirstBank Puerto Rico CD - Matures 06/01/15									
58. - Trust # 2 - ALLY Bank CD - Matures 09/18/15									
59. - Trust # 2 - Bank of India NY -CD - Matures 07/29/15					Buy	07/30/14	L		
60. - Trust # 2 - Bank of China (NY) - CD - Matures 08/27-15					Buy	08/27/14	L		
61. - Trust # 2 - Pacific Western Bank -CD - Matures 09/29/15					Buy	09/29/14	L		
62. - Trust # 2 - Discover Bank - CD - Matures 10/16/15					Buy	10/16/14	M		
63. - Trust # 2 - Enerbank USA - CD - Matures - 12/04/15					Buy	12/05/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The assets and value amount references shown in Part VII which are identified as Trust # 1 are for the full value of the assets held in Trust even though I am only entitled to a 1/3 beneficial interest in the Trust. I am the trustee of the Trust.

The assets and value references shown in Part VII which are identified as Trust # 2 are for the full value of the assets held in Trust even though I am only the beneficiary of a 1/3 interest in the Trust. I am the trustee of the Trust.

Asset # 16 is a limited partnership interest - I have received periodic [REDACTED] of additional limited ownership interests in this limited partnership over the years. My ownership interest is 33% as of 12-31-14. The partnership's investments are almost exclusively in State Tax Exempt Bonds, Federal Bonds & Bills or Agency securities and Certificates of Deposit. I have no management responsibilities for the activities of this partnership.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Arthur B. Federman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544