

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) VANSICKLE, FRED	2. Court or Organization U.S. DISTRICT COURT	3. Date of Report 12/11/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) SENIOR DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 7 N. Wenatchee Avenue, Suite 310 Wenatchee, WA 98801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Washington State Judicial Retirement	\$58,050.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	State of Washington Retirement
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wash Mutual Investors Fund	A	Dividend			Sold	08/19/13	K	D	
2. Mutual Quest Fund, IRA	A	Dividend			Sold	08/19/13	L	E	
3. Mutual Shares Fund, IRA	A	Dividend			Sold	08/19/13	L	E	
4. Windsor Fund, IRA	A	Dividend			Sold	08/19/13	L	E	
5. AT&T	A	Dividend			Sold	10/05/13	J	B	
6. Exxon/Mobil	A	Dividend	K	T					
7. Boeing	A	Dividend	L	T					
8. Franklin Tax Free High Yield	A	Dividend			Sold	08/19/13	L		
9. Royal Dutch Petrol	A	Dividend	K	T					
10. Microsoft	A	Dividend	L	T	Sold (part)	08/09/13	J		
11. St. Jude Med	A	Dividend	K	T					
12. Wash Trust Savings	A	Interest	J	T					
13. Hewlett Packard	A	Dividend			Sold	08/09/13	K	D	
14. Eric Tel	A	Dividend			Sold	08/09/13	J	A	
15. Johnson & Johnson	A	Dividend	K	T	Sold (part)	11/05/13	K	D	
16. Intel	A	Dividend			Sold	11/05/13	K	E	
17. RMPI Account	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Numerica CU	A	Interest	L	T					
19. America Movil	A	Dividend			Sold	11/05/13	L	E	
20. Comcast Corp	A	Dividend	K	T					
21. Procter & Gamble	A	Dividend			Sold	11/05/13	K	D	
22. Spokane School Dist 81	A	Interest			Sold	08/14/13	J	B	
23. North Cascades Bank Shares	A	Dividend	K	T					
24. Port of Seattle	A	Interest			Sold	08/14/13	J	A	
25. Wash St Health Care	A	Interest			Sold	08/14/13	K	A	
26. McDonalds Corp	A	Dividend			Sold (part)	08/09/13	K	D	
27. McDonalds Corp	A	Dividend			Sold	11/15/13	K	C	
28. Wash St. Housing	A	Interest			Sold	08/14/13	J	A	
29. Apache Corp	A	Dividend	K	T	Buy	08/19/13	K		
30. Broadcom Corp	A	Dividend	K	T	Buy	08/18/13	K		
31. Corning, Inc	A	Dividend	K	T	Buy	08/19/13	K		
32. FMI Large Capital Fund	A	Dividend	J	T	Buy	08/19/13	J		
33.					Sold (part)	12/10/13	J	A	
34. 1 Shares S&P Mid Cap	A	Dividend	K	T	Buy	08/19/13	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. JP Morgan Tax Aware	A	Dividend	M	T	Buy	08/19/13	M		
36. Lifelock Inc	A	Dividend	K	T	Buy	08/19/13	K		
37. Nasdaq 100 Shares	A	Dividend	L	T	Buy	11/12/13	L		
38. Potash Corp	A	Dividend	K	T	Buy	08/09/13	K		
39. S&P 500 Growth E & F	A	Dividend	L	T	Buy	11/27/13	L		
40. Schwab U.S. Mid-Cap E&F	A	Dividend	M	T	Buy	08/19/13	L		
41. Schwab U.S. Mid-Cap E&F	A	Dividend	M	T	Buy	11/27/13	M		
42. Schwab U.S. Mid-Cap E&F	A	Dividend	K	T	Buy	08/19/13	K		
43. Steel Path MLP Select 40	A	Dividend	L	T	Buy	08/19/13	L		
44. Verizon Comm	A	Dividend	K	T	Buy	08/19/13	K		
45. Hart Cap Management Acct (X)	A	Interest	L	T					
46.									
47.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ FRED VANSICKLE**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544