

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hayden, Katharine S.	2. Court or Organization US District Court - DNJ	3. Date of Report 05/13/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United States District Court USPO & Courthouse Building Newark, New Jersey 07101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Board of Directors	Federal Judges Association
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 1/13-12/13

Partner, Law Firm - Walder Hayden PA, Roseland, NJ

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED1. Committee on Defender
Services

02/20/13-02/21/13

Baltimore, MD

Committee Meeting

Hotel, Transportation, Meals

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BROKERAGE ACCOUNT #1									
2. - Arbor Realty Trust Inc. Common Stock	A	Distribution	J	T					
3. - Consolidated Edison Inc. Common Stock	A	Dividend	K	T					
4. - Duke Energy Corp New Common Stock	A	Dividend	J	T					
5. - Encana Corp-Cad Common Stock		None			Sold	01/15/13	J	B	
6. - Enterprise Prods Partners LP Common Stock	B	Distribution	K	T					
7. - GlaxoSmithKline PLC SP ADR Common Stock	A	Dividend	J	T					
8. - JP Morgan Chase & Co. Common Stock	A	Dividend	K	T					
9. - Kimberly Clark Corp. Common Stock	A	Dividend	K	T	Sold (part)	11/08/13	J	B	
10. - Pfizer Inc. Common Stock	A	Dividend	J	T					
11. - Sasol Ltd., Spons. ADR Common Stock	A	Dividend	K	T					
12. - Walt Disney Co. Common Stock		None	K	T					
13. - Altria Group Inc. Common Stock	A	Dividend	J	T					
14. - Aqua America Inc. Common Stock	A	Dividend	J	T	Sold (part)	08/30/13	J	A	See Note in Part VIII
15. - AT&T Inc. Common Stock	B	Dividend	K	T					
16. - Chesapeake Energy Corp. Common Stock	A	Dividend			Sold	01/11/13	J		
17. - Honeywell Intl Inc. Common Stock	A	Dividend	K	T	Sold (part)	11/08/13	J	C	

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. - Microsoft Corp Common Stock	A	Dividend	K	T	Buy (add'l)	09/17/13	J		
19. - Mitsubishi UFJ Financial Group Inc. ADR Common Stock	A	Dividend	J	T					
20. -Procter & Gamble Co. Common Stock	A	Dividend	K	T	Sold (part)	03/06/13	J	C	
21. - Wal-Mart Stores Inc. Common Stock	A	Dividend	J	T					
22. -CVS Caremark Corp. Common Stock	A	Dividend	J	T					
23. - Seaspan Corp Common Stock	A	Distribution	J	T	Sold (part)	11/18/13	J		
24. - Energy Transfer Partners LP Common Stock	B	Distribution	K	T	Buy (add'l)	01/11/13	J		
25. - Home Depot Inc Common Stock	A	Dividend	J	T	Sold (part)	03/14/13	J	C	
26. - Layne Christensen Co Common Stock		None	J	T					
27. - Lowes Companies Inc Common Stock	A	Dividend			Sold	08/16/13	J	C	
28. - McDonalds Corp Common Stock	A	Dividend	K	T					
29. - Wells Fargo & Co Common Stock	A	Dividend	J	T					
30. - Bristol Myers Squibb Co. Common Stock	A	Dividend	K	T					
31. - Conagra Foods Inc. Common Stock	A	Dividend	K	T	Buy (add'l)	11/15/13	J		
32. - Deere & Co. Common Stock	A	Dividend	K	T					
33. - General Electric Co. Common Stock	A	Dividend	J	T					
34. - H J Heinz Co. Common Stock	A	Dividend			Sold	06/10/13	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. - Kellogg Co. Common Stock	A	Dividend	J	T					
36. - Mondelez Intl Inc. Common Stock	A	Dividend	J	T					
37. - National Oilwell Varco Inc. Common Stock	A	Dividend	J	T	Buy (add'l)	10/17/13	J		
38.					Buy (add'l)	12/04/13	J		
39. - Nustar Energy LP Common Stock	B	Distribution	K	T	Buy (add'l)	01/15/13	J		
40. - Parker-Hannifin Corp. Common Stock	A	Dividend	K	T					
41. - Philip Morris Intl Inc. Common Stock	B	Dividend	K	T					
42. - Quanta Services Inc. Common Stock		None	J	T					
43. - Raytheon Company Common Stock	A	Dividend	K	T					
44. - Cenovus Energy Inc. Common Stock	A	Dividend			Sold (part)	01/11/13	J	D	
45.					Sold	10/17/13	J	C	
46. - General Cable Corp Comon Stock	A	Dividend			Sold	11/08/13	J		
47. - Florida Citizens Ppty Ins Corp Sr Sec Municipal Bond	B	Interest	K	T					
48. - NJ Edu Facs Auth Rev Rfndg Bonds - Uni of Med/Den of NJ	C	Interest	L	T					
49. - New Jersey ST Edl Facs Auth Rev Refdg - Kean Univ Bond	B	Interest	K	T					
50. - California St Var Purp Genl Oblig Municipal Bond	B	Interest	K	T					
51. - AXT Inc. Common Stock		None	J	T					

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. - Boeing Co. Common Stock	A	Dividend	K	T					
53. - New York Community Bancorp Common Stock	A	Dividend	J	T					
54. - Public Service Enterprise Grp Common Stock	A	Dividend	J	T					
55. - Whirlpool Corp Common Stock		None			Sold	02/22/13	J	B	
56. - Casino Reinvestment Dev Auth NJ Bond	A	Interest	K	T					
57. - NJ Higher Ed Assistant Auth Bond	B	Interest	K	T					
58. - El Du Pont De Nemours & Co Common Stock	A	Dividend	J	T					
59. - Emerson Electric Co Common Stock	A	Dividend	K	T					
60. - Nucor Corp Common Stock	A	Dividend	K	T					
61. - Virgin Islands PFA Rev Bond	B	Interest	K	T					
62. - Citibank NA Bank Deposit Program	A	Interest	M	T					
63. - Buckeye Partners LP	A	Distribution	J	T					
64. - Eaton Corp. PLC SHS Common Stock	A	Dividend	J	T					
65.	A	Distribution							
66. - Kinder Morgan Inc. Common Stock	A	Dividend	J	T	Buy (add'l)	01/31/13	J		
67. - Kraft Foods Group Inc. Common Stock	A	Dividend	J	T					
68. - Mine Safety Appliances Co Common Stock	A	Dividend	K	T	Buy (add'l)	01/11/13	J		

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69. - Pentair Ltd. Common Stock	A	Dividend	K	T					
70. - Weyerhaeuser Co. Common Stock	A	Distribution	J	T	Buy (add'l)	08/16/13	J		
71. - Xylem Inc. Common Stock	A	Dividend	K	T	Buy (add'l)	01/11/13	J		
72.					Buy (add'l)	01/15/13	J		
73. - Apple Inc. Common Stock		None	J	T	Buy	12/18/13	J		
74. - BP PLC ADS Common Stock		None	J	T	Buy	12/04/13	J		
75. - Briggs & Stratton CP Wisc Common Stock	A	Dividend	J	T	Buy	01/11/13	J		
76.					Buy (add'l)	10/17/13	J		
77. - Cablevision Systems Corp Common Stock		None	J	T	Buy	12/02/13	J		
78. - Gaslog Ltd Common Stock	A	Dividend	J	T	Buy	09/04/13	J		
79. - Hartford Fin Sers Grp Inc Common Stock	A	Dividend	J	T	Buy	03/04/13	J		
80.					Buy (add'l)	08/16/13	J		
81.					Buy (add'l)	11/15/13	J		
82. - Hess Corporation Common Stock	A	Dividend	J	T	Buy	10/17/13	J		
83. - Johnson Controls Incorporated Common Stock		None	J	T	Buy	09/17/13	J		
84.					Buy (add'l)	11/22/13	J		
85.					Buy (add'l)	12/18/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86. - Timken Co Common Stock	A	Dividend	K	T	Buy	01/15/13	J		
87.					Buy (add'l)	08/16/13	J		
88.					Buy (add'l)	10/17/13	J		
89.					Buy (add'l)	10/28/13	J		
90.					Buy (add'l)	11/15/13	J		
91.					Buy (add'l)	11/22/13	J		
92. - Puerto Rico Elec Pwr Auth Rev Ref Ser- MM Bond		None	K	T	Buy	07/05/13	K		
93. - Ishares FTSE/China 25 Index Fd		None			Buy	01/15/13	J		
94.					Sold	04/19/13	J		
95. - Linn Energy LLC Uts Rep Ltd LI	A	Distribution			Buy	03/28/13	J		
96.					Sold (part)	07/03/13	J		
97.					Sold	10/28/13	J		
98. BROKERAGE ACCOUNT #2									
99. - Fidelity Investments Money Market Account	A	Dividend	J	T					
100. - Western Asset Municipal Money Market	A	Interest	J	T					
101. BROKERAGE ACCOUNT #3									
102. - Citibank NA Bank Deposit	A	Interest	L	T					

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103. IRA/401K ACCOUNTS									
104. - IRA Smith Barney Money Funds Inc. Class A	A	Interest	K	T					
105. - IRA Smith Barney Money Funds Class A	D	Interest	N	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII-Line 14 - Fractional shares were sold on 8/30/13 due to a 5-4 stock split of Aqua America Inc. common stock

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Katharine S. Hayden**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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