

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) REAVLEY, THOMAS M.	2. Court or Organization Fifth Circuit Court of Appeals	3. Date of Report 10/04/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE - SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address Bob Casey Federal Courthouse 515 Rusk Street, Room 11009 Houston, Texas 77002		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	State of Texas, Judicial Retirement	\$76,608.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Spouse Carolyn Dineen King; Norton Rose Fulbright Defined Benefit Pension (See Part VIII)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Baylor Law School	10/29/2015-10/30/2015	Washington, DC	Rule of Law Presentation	Reimbursement of Lodging
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Prudential Insurance Co.	Policy Loan	K
2.	None for Spouse Carolyn Dineen King	None	
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Compass Bank (Houston)		None	M	T					
2. Royalty (Hendrix) Pecos County, Texas	A	Royalty	J	W					
3. Minerals (Samson) Nacogdoches County, Texas	E	Royalty	L	W					
4. Minerals (Apache) Nacogdoches County, Texas	A	Royalty	J	W					
5. Chase Bank (Houston)	A	Interest	M	T					
6. Minerals (Chesapeake) Nacogdoches County, Texas	A	Royalty	J	W					
7. Fee Land, Llano County, Texas		None	K	W					
8. Prudential Insurance Company - Whole Life Policy	A	Int./Div.	J	T					
9. Minerals (Indigo) Nacogdoches County, Texas	D	Royalty	M	W					
10. Vallence Operating Co Panola County, Texas	D	Royalty	M	W					
11. Minerals (Memorial Production Co AETHON) Nacogdoches County, Texas	A	Royalty	J	W					
12. Minerals (Patara & Gulf Coast) Shelby County, Texas	A	Royalty	J	W					
13. Minerals (Noble & Hyperion) Nacogdoches County, Texas	B	Royalty	K	W					
14. Minerals (XTO) Nacogdoches County, Texas	A	Royalty	J	W					
15. Minerals (Delta Petroleum) Newton County, Texas		None	J	W					
16. Texas State Bond 5% Due 10/1/22	D	Interest	M	T					
17. Greater Clark County, Colorado Bond 5% Due 1/15/16	A	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Akron, Ohio Bond 5% Due 12/1/17	A	Interest			Redeemed	12/15/15	M		Issuer
19. Houston, Texas Bond (SER, E)	B	Interest			Redeemed	03/02/15	L		Issuer
20. Massachusetts Bond	C	Interest	L	T					
21. Barclays Bank PLC	A	Interest			Closed	12/07/15	J		Issuer
22. Texas GO Series B Bond 4% Due 4/01/24	B	Interest	M	T	Buy	07/13/15	M		
23. Apple Common Stock	A	Dividend	L	T	Buy	08/24/15	L		
24. Walt Disney Common Stock	A	Dividend	L	T	Buy	12/07/15	L		
25. Arkansas St. Bond	B	Interest	L	T	Buy	07/13/15	L		
26. Stifel Bank & Trust	A	Interest	N	T	Open	12/07/15	N		
27. American Express Centurion Bank	A	Interest	N	T	Open	12/07/15	N		
28. The Bank of East Asia	A	Interest	K	T	Open	12/07/15	P4		
29. Carolyn D. King (Spouse) Holdings Listed Below:									
30. Compass Bank Houston, TX (Money Market)	A	Interest	O	T					
31. J.P. Morgan Chase Common Stock (formerly J.P. Morgan & Co.)	B	Dividend	K	T					
32. General Electric Co. Common Stock	C	Dividend	M	T					
33. IBM Common Stock	B	Dividend	K	T					
34. Exxon Mobil Corp. Common Stock	C	Dividend	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Compass Bank Checking Account		None	K	T					
36. T. Rowe Price Small Cap Value Fund	B	Distribution	M	T					
37.		Dividend							
38. T. Rowe Price New Era Fund	B	Distribution	L	T					
39.		Dividend							
40. Northwestern Mutual Whole Life Policies - See Part VIII	E	Dividend	O	V					
41. Abbott Labs Common Stock	B	Dividend	K	T					
42. Illinois Tool Works Inc Common Stock	D	Dividend	N	T					
43. Proctor & Gamble Common Stock	C	Dividend	M	T					
44. 3.8% NY State Thruway Aut. Gen. Rev. Bonds Due 1/1/2015	B	Interest			Redeemed	01/01/15	L		Issuer
45. 5% Denver Colo City & Cnty Rev. Bonds Due 9/1/2015	B	Interest			Redeemed	09/01/15	L		Issuer
46. Akron, OH CTFS PARTN 5% Bonds Due 12/01/2017	D	Interest			Redeemed	12/15/15	M		Issuer
47. Northwestern Mutual Variable Annuity Policy (Son, annuitant)		None	M	T					
48. Tarrant Cnty Tex Hea B LTH FACS Dev Corp 5% Bonds-12/1/2019	C	Interest	M	T					
49. Texas Transn Commun ST Hwy FD Rev 5% Bonds Due 4/1/2019	C	Interest	M	T					
50. Northwestern Mutual Insurance Service Account	A	Interest	J	T					
51. Glaxosmithkline PLC	B	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Texas GO 5% Bonds Due 4/1/2019	C	Interest	M	T					
53. Phoenix AZ Rev. 5% Bonds Due 7/1/2018	C	Interest	M	T					
54. Chevron Corp.	C	Dividend	L	T					
55. Royal Dutch Shell	C	Dividend	K	T					
56. Dallas Cnty TX Cmnty College GO 5% Bonds Due 2/15/21	C	Interest	M	T					
57. Massachusetts GO 5.25% Bonds Due 8/1/2021	D	Interest	M	T					
58. Caterpillar Common Stock	C	Dividend	L	T					
59. Barclays Bank PLC	A	Interest			Closed	12/07/15	J		Issuer
60. Abbvie Inc. Common Stock	B	Dividend	L	T					
61. Home Depot Common Stock	A	Dividend	L	T					
62. Microsoft Common Stock	C	Dividend	M	T	Buy	08/24/15	K		
63. Apple Common Stock	A	Dividend	L	T	Buy	08/24/15	L		
64. Walt Disney Common Stock	A	Dividend	K	T	Buy	08/24/15	K		
65. Wisconsin Clean Water Bond 4% Due 6/01/23	B	Interest	M	T	Buy	07/13/15	M		
66. Texas GO Series B Bond 4% Due 4/01/24	B	Interest	M	T	Buy	07/13/15	M		
67. Arkansas GO Higher ED Bond 4% Due 6/1/24	B	Interest	M	T	Buy	07/13/15	M		
68. Stifel Bank & Trust	A	Interest	N	T	Open	12/07/15	N		

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69. American Express Centurion Bank	A	Interest	N	T	Open	12/07/15	N		
70. The Bank of East Asia Ltd.	A	Interest	M	T	Open	12/07/15	M		
71. Dreyfus Appreciation Fund (401(k)) SEE PART VIII	F	Int./Div.	M	T	Sold (part)	12/07/15	J		
72. Fidelity Contra Fund (401(k)) SEE PART VIII	E	Int./Div.	N	T	Sold (part)	12/07/15	K		
73. Vanguard Prime MM (401(k)) SEE PART VIII	A	Int./Div.	J	T	Sold (part)	12/07/15	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I am married to Circuit Judge Carolyn Dineen King.

1. In response to Part VII C, Judge King has reported the aggregate cash surrender value for the whole life policies. In response to part VII B, Judge King has shown the aggregate amount of the dividends on those policies, which are used to pay the premiums and increase the cash surrender value.
2. The pension shown in response to Part III B is a bequest from Judge King's former husband who died on August 8, 2015. The income shown was received after that date. The 401(k) assets (nos. 71, 72 & 73) shown in response to Part VII were also a bequest from Judge King's former husband.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ THOMAS M. REAVLEY**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544