

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jones, James P.	2. Court or Organization Western District of Virginia	3. Date of Report 05/06/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 1/1/2017 to 12/31/2017
7. Chambers or Office Address 180 West Main Street Rm. 104 Abingdon, VA 24210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	The Duke Endowment Trustee's fees
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. American College of Trial Lawyers, Virginia Chapter	April 7-8, 2017	Charlottesville, Virginia	Speaker at Chapter meeting	Lodging one night
2. Radford University Prelaw Society	September 19, 2017	Radford, Virginia	Speaker at student meeting	Meal
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. The Doris Duke Trust, income beneficiary	D	Dividend	P3	T					
2. Trust No. 1, income beneficiary	D	Dividend	O	T					
3. Trust No. 2, income beneficiary	D	Dividend	P2	T					
4. Trust No. 3, income beneficiary	D	Dividend	P2	T					
5. Trust No. 4, income beneficiary	E	Dividend	P1	T					
6. Hennessy Gas Utility Index Fund	A	Dividend	K	T					
7. Berkshire Hathaway B common stock		None	J	T					
8. Chevron Corp common stock	D	Dividend	M	T					
9. Duke Energy common stock	E	Dividend	O	T					
10. Exxon Mobil common stock	D	Dividend	N	T					
11. IBM common stock	D	Dividend	M	T					
12. AT&T Inc common stock	B	Dividend	K	T					
13. T. Rowe Price Va Bond Fund	C	Dividend	M	T					
14. T. Rowe Price Summit Municipal Intermediate Fund	B	Dividend	L	T					
15. T. Rowe Price Growth Stock Fund	F	Dividend	O	T					
16. T. Rowe Price New Horizons Fund	E	Dividend	N	T					
17. T. Rowe Price New Asia Fund	D	Dividend	M	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. T. Rowe Price Int'l Stock Fund	D	Dividend	M	T					
19. T. Rowe Price Int'l Stock Fund	D	Dividend	M	T					
20. T. Rowe Price New Income Fund	D	Dividend	P1	T					
21. T. Rowe Price Government Money Fund	B	Dividend	M	T					
22. T. Rowe Price Science & Tech. Fund	E	Dividend	N	T					
23. T. Rowe Price Blue Chip Growth Fund	E	Dividend	P1	T					
24. T. Rowe Price Equity Index 500 Fund	A	Dividend	M	T					
25. T. Rowe Price Equity Index 500 Fund	D	Dividend	O	T	Redeemed (part)	01/23/17	J	A	
26.					Redeemed (part)	02/23/17	J	A	
27.					Redeemed (part)	03/23/17	J	A	
28.					Redeemed (part)	04/23/17	J	A	
29.					Redeemed (part)	05/23/17	J	A	
30.					Redeemed (part)	06/23/17	J	A	
31.					Redeemed (part)	07/23/17	J	A	
32.					Redeemed (part)	08/23/17	J	A	
33.					Redeemed (part)	09/23/17	J	A	
34.					Redeemed (part)	10/23/17	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.					Redeemed (part)	11/23/17	J	A	
36.					Redeemed (part)	12/23/17	J	A	
37. T. Rowe Price Value Fund	E	Dividend	M	T					
38. T. Rowe Price Equity Income	E	Dividend	M	T					
39. T. Rowe Price High Yield Fund	A	Dividend	L	T					
40. T. Rowe Price Summit Cash Reserves	A	Dividend	K	T					
41. Wells Fargo bank account	A	Interest	K	T					
42. Highlands Union bank account (X)		None	L	T					
43. J P Morgan bank account (X)		None	K	T					
44. Virginia529 Plan James River Portfolio	D	Dividend	O	T	Redeemed (part)	02/06/17	K	A	
45.					Buy (add'l)	02/23/17	L		
46.					Redeemed (part)	08/24/17	K	A	
47. Transamerica TS&W Int'l Equity Portfolio	C	Dividend	N	T					
48. Advansix Inc common stock		None			Sold	03/02/17	J	A	
49. Allstate Corp common stock	A	Dividend	L	T					
50. Alphabet Inc common stock		None	M	T	Sold (part)	07/05/17	L	F	
51. AMC Networks Inc. common stock		None	K	T	Buy (add'l)	10/31/17	L		

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52. American Express Co. common stock	A	Dividend	L	T					
53. Antero Resources Corp common stock		None			Buy (add'l)	05/03/17	K		
54.					Sold	12/21/17	K	A	
55. Aramark common stock		None			Sold	02/08/17	K	A	
56. Avnet Inc common stock	A	Dividend	M	T	Buy (add'l)	10/27/17	K		
57.					Buy (add'l)	08/07/17	K		
58. Berkshire Hathaway B common stock		None	L	T	Buy (add'l)	11/22/17	J		
59. CBRE Group, Inc. common stock		None			Sold	01/25/17	L	E	
60. Chevron Corp common stock	B	Dividend	K	T					
61. Chubb LTD common stock	A	Dividend	J	T					
62. Cisco Systems Inc common stock	C	Dividend	L	T					
63. CIT Group Inc common stock	A	Dividend	K	T					
64. Comerica Inc common stock	A	Dividend	L	T					
65. Discovery Comm Inc common stock		None			Sold	10/27/17	L	A	
66. Disney Walt Co common stock	A	Dividend	L	T					
67. Dominion Resources Inc common stock	B	Dividend	K	T					
68. Dreyfus Emrg Mkts Debt		None			Sold	05/16/17	K	A	

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69. Emerson Electric Co common stock	A	Dividend	J	T					
70. EOG Resources, Inc common stock	A	Dividend	L	T					
71. First Solar Inc common stock		None			Buy (add'l)	06/29/17	K		
72.					Sold	10/27/17	L	E	
73. Gap Inc common stock	A	Dividend			Sold	01/25/17	K	D	
74. General Electric Co. common stock	A	Dividend			Sold (part)	04/28/17	J	C	
75.					Sold	10/27/17	K	D	
76. Johnson & Johnson common stock	B	Dividend	M	T					
77. JPMorgan Chase & Co common stock	C	Dividend	M	T					
78. Kellogg Co. common stock	B	Dividend	L	T					
79. L-3 Technologies Inc common stock	A	Dividend	K	T					
80. Laboratory Corp of America common stock		None	L	T					
81. Liberty Expedia Hold common stock		None	K	T					
82. Liberty Interactiv common stock		None	K	T					
83. Liberry Media Corp common stock C Braves		None			Sold	04/28/17	K	D	
84. Liberty Media Coip Common Stock C Sirius XM		None	K	T					
85. Macy's Inc common stock	A	Dividend	K	T	Sold (part)	05/08/17	J	A	

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86. Madison Square Garden common stock		None			Sold	08/17/17	K	D	
87. Michael Kors Holdings common stock		None	L	T					
88. Microsoft Corp common stock	B	Dividend	L	T					
89. Netapp Inc common stock	B	Dividend	L	T					
90. News Corp common stock A	A	Dividend	L	T	Buy (add'l)	08/17/17	K		
91. Norfolk Southern common stock	B	Dividend	L	T					
92. Northrop Grumman Corp common stock	A	Dividend	K	T					
93. Oracle Corp common stock	B	Dividend	L	T					
94. Pfizer Inc common stock	B	Dividend	L	T					
95. PPL Corp common stock	B	Dividend	K	T					
96. Praxair, Inc common stock	A	Dividend	K	T					
97. Prudential Financial Inc common stock	B	Dividend	K	T					
98. Rockwell Collins Inc common stock	A	Dividend	K	T					
99. Schlumberger Ltd common stock	A	Dividend	K	T	Sold (part)	11/22/17	J	A	
100. SPDR S&P 500 ETF		None	M	T					
101. Siemens AG ADR	A	Dividend	K	T					
102. Target Corp common stock	B	Dividend	L	T	Buy (add'l)	07/05/17	K		

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103.					Buy (add'l)	08/17/17	K		
104. Teva Pharm Inds LTD ADR	A	Dividend			Sold	08/11/17	K	A	
105. Total SA sponsored ADR	A	Dividend	J	T					
106. Unilever NV	A	Dividend	K	T					
107. Vanguard Interm Term Tax Exempt Fund	D	Dividend	N	T					
108. Vanguard Long Term Tax Exempt Fund	A	Dividend	K	T					
109. Vanguard Ltd Term Tax Exempt Fund	A	Dividend	J	T					
110. Versum Materials Inc common stock		None			Sold	03/02/17	J	A	
111. Willis Towers Watson	A	Dividend	K	T					
112. Wells Fargo Bk NA common stock	A	Dividend	K	T					
113. Xcel Energy Inc common stock	B	Dividend			Sold	04/28/17	L	E	
114. Xilinx Inc common stock	A	Dividend	K	T					
115. Schwab U.S. Treas Money Fund	C	Dividend	M	T					
116. Arlington Cnty Va muni bond	A	Interest	K	T					
117. Loudoun Cnty Va muni bond	A	Interest			Matured	12/01/17	K	A	
118. Metropolitan Washington muni bond	A	Interest			Matured	10/01/17	L	A	
119. Orange Co VA muni bond	A	Interest	K	T					

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. American International Group common stock	A	Dividend	L	T					
121. CIGNA Corp common stock	A	Dividend	L	T					
122. National Grid Plc common stock	A	Dividend	J	T					
123. Advance Auto Parts common stock	A	Dividend			Buy	04/28/17	K		
124.					Buy (add'l)	06/29/17	K		
125.					Buy (add'l)	08/07/17	K		
126.					Sold	08/17/17	L	A	
127. Air Products & Chemicals Inc common stock	A	Dividend	J	T					
128. Amazon Com Inc common stock		None	K	T					
129. AMG Managers Pictet Intl I common stock		None			Buy	03/21/17	J		
130.					Sold	05/08/17	J	A	
131. Apple Inc common stock	A	Dividend	M	T					
132. AT&T Inc common stock	A	Dividend	J	T					
133. Autozone Inc common stock		None	J	T					
134. Bank of America Corp common stock	A	Dividend	J	T					
135. Biogen Idec Inc common stock		None	J	T					
136. Bioverativ Inc common stock		None			Spinoff (from line 135)	02/01/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold	08/17/17	J	A	
138. Boston Scientific Corp common stock		None	J	T	Buy	03/28/17	J		
139. Brighthouse Financial Inc common stock		None	J	T	Spinoff (from line 235)	08/04/17	J		
140. Brown Advisory WMC Japan Alpha Oppty Inst Fund		None			Buy	03/21/17	J		
141.					Sold	05/08/17	J	A	
142. Campbell Soup Co common stock	A	Dividend	J	T					
143. Capital One Finl Corp common stock	A	Dividend			Buy	03/23/17	J		
144.					Sold	06/29/17	J	A	
145. Cardinal Health Inc common stock	B	Dividend	L	T	Buy (add'l)	08/17/17	K		
146.					Buy (add'l)	10/27/17	K		
147. Caterpillar Inc common stock	A	Dividend	J	T					
148. CBS Corp Class B common stock	A	Dividend	J	T					
149. Celgene Corp common stock		None	K	T					
150. Centerpoint Energy Inc common stock	A	Dividend	J	T					
151. Cisco Systems Inc common stock	A	Dividend	J	T					
152. Citigroup Inc New common stock	A	Dividend	K	T					
153. Citrix Systems Inc common stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Coca-Cola Co common stock	B	Dividend	J	T					
155. Colgate Palmolive Co common stock	A	Dividend	J	T					
156. Columbia Dividend Income Fund Cl Z		None	L	T					
157. Columbia Va Intermed Mun Bd Fund	B	Dividend	L	T					
158. Columbia Income Opportunities Fund	B	Dividend	K	T					
159. Columbia Short Term Mun Bd Fund Cl Z	B	Dividend	M	T					
160. Comcast Corp Cl A common stock	A	Dividend	K	T					
161. Constellations Brands Inc A common stock	A	Dividend	K	T					
162. CVS Health Corp. common stock	A	Dividend	K	T					
163. CSX Corp common stock	A	Dividend	K	T					
164. Darden Restaurants Inc common stock	A	Dividend	J	T					
165. Dell Technologies Inc common stock		None	L	T	Buy (add'l)	01/25/17	L		
166. Devon Energy Corp common stock	A	Dividend	J	T					
167. Dish Network Corp common stock		None	K	T	Buy	12/21/17	K		
168. Doubleline Total Return BD FD CL1	A	Dividend			Buy	03/21/17	J		
169.					Sold	05/08/17	J	A	
170. Dominion Energy Inc common stock	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Duke Energy Corp common stock	A	Dividend			Sold	03/02/17	K	D	
172. DowDuPont Inc common stock	A	Dividend	J	T	Buy (add'l)	12/09/17	J		
173. Eaton Corp PLC common stock	A	Dividend	J	T					
174. Eli Lilly & Co common stock	A	Dividend			Sold	10/27/17	J	C	
175. EMC Corp Mass common stock	A	Dividend	L	T					
176. Emerson Electric Co common stock	A	Dividend	J	T					
177. EOG Resoutces Inc common stock	A	Dividend	K	T					
178. Equinix Inc common stock	C	Dividend	L	T					
179. Equinox Campbell Strat FD I		None			Buy	03/21/17	J		
180.					Sold	05/08/17	J	A	
181. Equinox IPM Systemtic Macro I Fund		None			Buy	03/21/17	J		
182.					Sold	05/08/17	J	A	
183. Express Scripts Hldg common stock		None	K	T	Buy	08/17/17	K		
184. FirstEnergy Corp common stock	C	Dividend	M	T	Buy	01/30/17	L		
185.					Buy (add'l)	03/02/17	K		
186. Gotham Absolute Return Inst Fund		None			Buy	03/21/17	J		
187.					Sold	05/08/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Goldman Sachs Strategic Incm FD inst	A	Dividend			Buy	03/21/17	J		
189.					Sold	05/08/17	J	A	
190. Goldman Sachs Group Inc	A	Dividend	J	T	Buy	03/28/17	J		
191. Gateway Fund CL Y	A	Dividend			Buy	03/21/17	J		
192.					Sold	05/08/17	J	A	
193. EOG Resources Inc common stock	A	Dividend	J	T	Buy	03/27/17	J		
194. Estee Lauder Cos Inc Cl A common stock		None	J	T					
195. Exxon Mobil Corp common stock	A	Dividend	K	T					
196. Fortune Brands Home & Sec common stock	A	Dividend	J	T					
197. General Electric Co common stock	A	Dividend	J	T	Sold (part)	11/14/17	J	A	
198.					Sold (part)	11/30/17	J	A	
199. General Mills Inc common stock	A	Dividend	J	T					
200. General Motors Co common stock	A	Dividend	J	T					
201. Gilead Sciences Inc common stock	B	Dividend	K	T					
202. Halliburton Co. common stock	A	Dividend	J	T	Buy	03/28/17	J		
203. Home Depot Inc common stock	A	Dividend	J	T					
204. Honeywell International Inc common stock	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Humana Inc common stock	A	Dividend	J	T					
206. Intercontinental Exchange Inc common stock	A	Dividend	J	T					
207. Invesco Ltd common stock	A	Dividend	J	T					
208. iShares Barclays Tips Bond ETF	A	Dividend	K	T					
209. iShares Core S&P Mid Cap ETF	A	Dividend			Buy	03/14/17	J		
210.					Sold	08/07/17	J	C	
211. iShares Currency Hedg Msci Eafe ETF	A	Dividend			Buy	03/14/17	J		
212.					Sold	08/07/17	J	A	
213. iShares Russell Mid-Cap Index ETF	A	Dividend	N	T					
214. iShares MSCI EAFE ETF	C	Dividend	N	T					
215. iShares Russell 2000 ETF	C	Dividend	M	T					
216. Ivy Larg Cap Growth Fund	A	Dividend	J	T					
217. J P Morgan Muni Incm I shares Fund	A	Dividend			Sold	05/08/17	N	A	
218. J P Morgan Global Research Enhan Index I Fund		None			Buy	03/21/17	J		
219.					Sold	08/04/17	J	B	
220. Johnson & Johnson common stock	A	Dividend	L	T					
221. Kar Auction Services common stock		None	K	T	Buy	12/21/17	K		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Buy (add'l)	12/22/17	J		
223.					Buy (add'l)	12/26/17	J		
224. Kroger Co common stock	A	Dividend	K	T					
225. Kraft Heinz Co. common stock	A	Dividend	K	T					
226. Lam Research Corp common stock		None	J	T					
227. Legg Mason BW Absolute Return Opp Fund CI Z	A	Dividend	K	T					
228. Lennar Corp CI A common stock		None	J	T					
229. Lennar Corp CI B comon stock	A	Dividend	J	T	Spinoff (from line 228)	11/27/17	J		
230. Lowes Companies Inc common stock	A	Dividend	J	T	Sold (part)	01/25/17	K	E	
231. Medtronic plc common stock	A	Dividend			Sold	01/25/17	K	A	
232. Managers AMG Funds - TimesSquare Mid Cap Growth fund		None	K	T					
233. Marathon Pete Corp common stock	A	Dividend	K	T					
234. Matthews Pacific Tier Instl Fund	A	Dividend	K	T					
235. MetLife Inc, common stock	A	Dividend	J	T	Buy	03/23/17	J		
236. McKesson Corp common stock	A	Dividend	L	T	Buy	01/25/17	L		
237. Microsoft Corp common stock	B	Dividend	K	T					
238. Monsanto Co common stock	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. Mondelez Intl Inc common stock	A	Dividend	J	T	Buy	03/22/17	J		
240. MFS Emerging Mkts Debt FD CL1	A	Dividend			Buy	03/21/17	J		
241.					Sold	05/08/17	J	A	
242. Mylan NV F		None			Buy	10/27/17	K		
243.					Sold	12/21/17	K	C	
244. NASDAQ OM X Group common stock	A	Dividend	K	T					
245. Neuberger Berman High Incm BD Inst	A	Dividend			Buy	03/21/17	J		
246.					Sold	05/08/17	J	A	
247. News Corp common stock	A	Dividend	K	T					
248. Nextera Energy Inc common stock	A	Dividend	K	T					
249. Nike Inc B common stock	A	Dividend	J	T					
250. Norfolk Southern Corp common stock	B	Dividend	L	T					
251. Occidental Petroleum Corp common stock	A	Dividend	J	T					
252. Oracle Corp common stock	A	Dividend	K	T					
253. Permanent Portfolio Fund		None	K	T					
254. Pfizer Inc common stock	B	Dividend	K	T					
255. Piccar Inc common stock	A	Dividend			Sold	05/31/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. PIMCO All Asset All Authority Fund		None	J	T					
257. Principal Pfd Secs Fd Instl C1	C	Dividend	L	T					
258. Procter & Gamble Co common stock	C	Dividend			Sold (part)	01/25/17	K	D	
259.					Sold	03/02/17	L	E	
260. Prudential Financial Inc common stock	A	Dividend	K	T					
261. Qualcomm Inc common stock	C	Dividend	M	T					
262. Schlumberger Ltd common stock	A	Dividend	J	T					
263. SPDR Gold Trust fund		None	J	T					
264. T Rowe Price Overseas Stock Fund 1		None			Buy	03/21/17	J		
265.					Sold	08/04/17	J	B	
266. Templeton Global Bd Fund	A	Dividend	K	T					
267. Target Corp common stock	B	Dividend	K	T					
268. Time Inc common stock	A	Dividend	J	T					
269. Time Warner Inc New common stock	A	Dividend	K	T					
270. Union Pacific Service common stock	A	Dividend	J	T					
271. United Technologies Corp common stock	A	Dividend	J	T					
272. Unitedhealth Group Inc common stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. US Bancorp Del common stock	A	Dividend	J	T					
274. Vanguard Emerging Market ETF	A	Dividend			Sold	08/07/17	J	A	
275. Vanguard REIT	A	Dividend	K	T					
276. Verizon Communications Inc common stock	B	Dividend	L	T	Buy (add'l)	04/28/17	K		
277. Vertex Pharmaceuticals Inc common stock		None	J	T					
278. Visa Inc.	A	Dividend	J	T	Buy	03/27/17	J		
279. Xilinx Corp common stock	A	Dividend	J	T					
280. Yum Brands Inc common stock	A	Dividend	J	T					
281. Yum China Hldgs Inc common stock	A	Dividend	J	T	Buy	03/23/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Investment and Trusts

Lines 24 and 25: Prior Disclosure Report combined same investment (T. Rowe Price Equity Index 500 Fund) owned seperately by self and spouse and now have been seperately reported.

Line 79 L-3 Communications Hldgs changed its name in 2017 to L-3 Technologies Inc.

Line 112 Wells Fargo Bk NA common stock was mistakenly shown as sold on 2016 report when it was only sold in part

Line 115 Schwab Gov Money Fund is now called Schwab US Treas Money Fund

Line 217 J P Morgan Muni Incm I Shares Fund was inadvertantly omitted from prior reports

Line 172 E I du Pont de Nemours & Co. merged with Dow Chemiical on 8/31/2017, to form DowDuPont Inc.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ James P. Jones**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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