

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2016Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Averitte, Clinton E.	2. Court or Organization USDC ND TX, Amarillo Division	3. Date of Report 12/06/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Magistrate Judge -- Full-Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address United States District Court, 205 S. E. 5th Avenue, Box 13246, Amarillo, TX 79101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Vice President	Boy Scouts of America - Golden Spread Council
2.	Executor	Estate #2
3.	Manager	Averitte Farms LLC
4.	Manager	Averitte Minerals LLC
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 12/18/14	Averitte Farms, LLC - Immediate family members (siblings).
2. 12/18/14	Averitte Minerals, LLC - Immediate family members (siblings)
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Averitte, Clinton E.

Date of Report

12/06/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Averitte, Clinton E.

Date of Report

12/06/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Averitte, Clinton E.

Date of Report

12/06/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. House #2, Lubbock County, TX		None	M	W	Sold	11/07/16	M	F	Joe and Tina Dalton
2. Farm #1, Randall Co., TX		None			Merged (with line 29)	01/20/16	L		Averitte Farms, LLC
3. Mineral Int.-Randall County Farm #1 (See #2 above)		None			Merged (with line 30)	01/20/16	J		Averitte Minerals LLC
4. Farm #1, Hill Co., TX		None			Merged (with line 29)	01/20/16	L		Averitte Farms, LLC
5. Mineral Int.-Hill County Farm #1 (See #4 above)		None			Merged (with line 30)	01/20/16	J		Averitte Minerals, LLC
6. Farm #1, Reeves Co., TX		None			Merged (with line 29)	01/20/16	J		Averitte Farms, LLC
7. Mineral Int.-Reeves Co., Farm #1 (See #6 above)		None			Merged (with line 30)	01/20/16	L		Averitte Minerals, LLC
8. Farm #2, Hill Co., TX		None			Merged (with line 29)	01/20/16	L		Averitte Farms, LLC
9. Mineral Int.-Hill County Farm #2 (See #8 above)		None			Merged (with line 30)	01/20/16	J		Averitte Minerals, LLC
10. Lot, Hillsboro, TX		None			Merged (with line 29)	01/20/16	J		Averitte Farms, LLC
11. Land, Whitney, Hill County, TX		None			Merged (with line 29)	01/20/16	J		Averitte Farms, LLC
12. Minerals, Whitney, Hill Co., TX (See #11 above)		None			Merged (with line 30)	01/20/16	J		Averitte Minerals, LLC
13. Mineral Int.-no surface, Hill County, TX		None			Merged (with line 30)	01/20/16	J		Averitte Minerals, LLC
14. LPL Financial, American Funds Invest. Co. of America	B	Dividend	L	T					
15. LPL Financial, American Funds Invest. Co. of America	B	Dividend	K	T					
16. Amarillo National Bank-Amarillo, TX	A	Interest	O	T					
17. Bank of America,-Amarillo, TX	A	Interest	K	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Averitte, Clinton E.

Date of Report

12/06/2017

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Amarillo Community Fed. Credit Union- Amarillo, TX	A	Interest	K	T					
19. Farm #2, Randall Co., TX	B	Rent	M	W					
20. Happy State Bank	A	Interest	M	T					
21. Education Credit Union, Amarillo, TX	A	Interest	L	T					
22. Mass Mutual Permanent Life	B	Dividend	L	T					
23. New York Life Adjustable Insurance Policy	B	Dividend	K	T					
24. Upton Co. Production		Royalty			Merged (with line 30)	01/20/16			Averitte Minerals, LLC
25. - (No. 24 Cont'd.) Pioneer Natl. Resources, USA, Inc.					Merged (with line 30)	01/20/16			Averitte Minerals, LLC
26. Wells Fargo Bank	A	Interest	K	T					
27. Chase Bank	A	Interest	J	T					
28. Riverbend Bank	A	Interest	M	T					
29. Averitte Farms LLC (Shareholder)	B		N	W					
30. Averitte Minerals LLC (Shareholder)	D		N	W					
31. LPL Financial Rio Tinto PLC	A	Dividend	J	T					
32. Annuity: Lincoln National Group									
33. - AF Growth					Merged (with line 32)	01/28/16	J		Annuity Lincoln Natl Group
34. - Del US Growth					Merged (with line 32)	01/28/16	J		Annuity Lincoln Natl Group

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FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Averitte, Clinton E.

Date of Report

12/06/2017

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35. - AF Growth-Inc					Merged (with line 32)	01/28/16	J		Annuity Lincoln Natl Group
36. Del Smid Cp Cir					Merged (with line 32)	01/28/16	J		Annuity Lincoln Natl Group
37. - LVIP Mon It Va					Merged (with line 32)	01/28/16	J		Annuity Lincoln Natl Group
38. Del High Yield					Merged (with line 32)	01/28/16	J		Annuity Lincoln Natl Group
39. - LVIP Del Bond					Merged (with line 32)	01/28/16	J		Annuity Lincoln Natl Group
40. LVIP Mny Mkt					Merged (with line 32)	01/28/16	J		Annuity Lincoln Natl Group
41. - LVIP Delaware Bond			J	T					
42. - LVIP SSgA Bond Index			J	T					
43. LVIP MFS Value			J	T					
44. LVIP Pimco Low Duration Bond			J	T					
45. LVIP T Rowe Price Growth Stock			J	T					
46. RBC Wealth Management Pioneer Natural Resources (X)	A	Dividend	K	T					
47. RBC Wealth Management Mutual Fund Putnam (X)	A	Dividend	J	T					
48. Estate #2: Amarillo National Bank		None			Distributed		K		Clinton E. Averitte, et al

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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Averitte, Clinton E.

Date of Report

12/06/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII:

1. Estate #2 came into legal existence and was admitted to Probate on June 17, 2013 and was open during 2016.
2. All assets in Estate #2 (Item #41) were distributed to the beneficiaries during 2016.
3. Averitte Farms LLC and Averitte Minerals LLC are [REDACTED] corporations that are 100% owned by four persons, [REDACTED].
4. Assets listed in lines 33-40 are assets that were held in the Lincoln National Annuity (Line 32) and which merged into other assets in that annuity (Items #41-45).
5. Assets listed in lines 41-45 are held in the Lincoln National Annuity, and income amounts of those assets are not available.
6. In response to the letter of November 7, 2017, from the committee, please be advised that the entries in Part VII, Lines 35, 37, 39, 40, and 42 of the 2015 Amended Report are holdings in the Lincoln National Group Annuity listed at Line 32 of that report. Those five funds (Lines 35, 37, 39, 40, 42) were inadvertently omitted from the 2016 Report and should have been included with items listed at Lines 33-40 and carried the Value Code J. All of the funds listed in the 2015 Amended Report for the Lincoln Annuity (Lines 33-45), were transferred in early 2016 into the funds listed in Lines 41-45 of Part VII of the 2016 Report, under the Lincoln National Group Annuity in that 2016 Report. There was no distribution of any funds to me or anyone else out of the annuity as a result of the 1/28/16 transfers. Instead, all funds remained in the Lincoln National Group Annuity.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Averitte, Clinton E.

Date of Report

12/06/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Clinton E. Averitte**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544