

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BREEN, J. DANIEL	2. Court or Organization WESTERN DISTRICT OF TENNESSEE	3. Date of Report 4/25/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) CHIEF U.S. DISTRICT JUDGE/ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 444 UNITED STATES COURTHOUSE 111 SOUTH HIGHLAND AVENUE JACKSON, TN 38301		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Tennessee Bar Association	5/6/2016	Nashville, TN	Meeting	travel
2. American Bar Association	10/27/2016 - 10/29/2016	Cincinnati, OH	Meeting	travel, hotel and meals
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bancorp South	A	Interest	K	T					
2. IRA - Fidelity Mag.	A	Dividend	J	T					
3. CMA ACCT. - MERRILL LYNCH									
4. Idacorp, Inc.	A	Dividend	J	T					
5. Proctor & Gamble CS	A	Dividend	K	T					
6. AT&T CS	A	Dividend	J	T					
7. Coca-Cola CS	A	Dividend	J	T					
8. E.I. Dupont CS	A	Dividend	J	T					
9. Johnson & Johnson CS	A	Dividend	J	T					
10. Waddell & Reed Acct									
11. Waddell & Reed Strategy Port	A	Dividend	J	T	Sold (part)	01/20/16	J	A	
12. Waddell & Reed Balanced Port	A	Dividend	J	T	Sold (part)	03/16/16	J	A	
13. Waddell & Reed Bond Port	A	Dividend	J	T	Sold (part)	01/20/16	J	A	
14.					Sold (part)	03/16/16	J	A	
15. Waddell & Reed Core Equity	A	Dividend	J	T	Sold (part)	01/20/16	J	A	
16.					Sold (part)	03/16/16	J	A	
17. Waddell & Reed Growth Port	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. Waddell & Reed High Income Port	A	Dividend	J	T	Sold (part)	01/20/16	J	A	
19.					Sold (part)	03/16/16	J	A	
20. Waddell & Reed Science & Tech Port	A	Dividend	J	T	Sold (part)	01/20/16	J	A	
21. Waddell & Reed Small Cap Growth Port	A	Dividend	J	T	Sold (part)	01/20/16	J	A	
22. Waddell & Reed Trgt. Fd. Intl. Growth Port	A	Dividend	J	T					
23. Waddell & Reed Value Port	A	Dividend	J	T					
24. Waddell & Reed Global Ntrl. Res. Port	A	Dividend	J	T					
25. Waddell & Reed Mid Cap Gwth Port	A	Dividend	J	T					
26. Waddell & Reed Target Microcap Gwth	A	Dividend	J	T					
27. Waddell & Reed Target Energy Port	A	Dividend	J	T					
28. VOYA Acct.									
29. VOYA Gwth and Inc. Portfolio	A	Dividend	J	T					
30. VOYA Large Cap Gwth Portfolio	A	Dividend	J	T					
31. VOYA FMR SM Diversified Midcap	A	Dividend	J	T					
32. VOYA JP Morgan Smapp Cap Core Eq. Port	A	Dividend	J	T					
33. VOYA Am. Fds. Int'l Port	A	Dividend	J	T					
34. IRA ACCOUNT - MERRILL LYNCH									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Ivy Asset Strategy Fd.	A	Dividend	J	T					
36. J.P. Morgan Strategic Inc. Fd.	A	Dividend			Sold	02/24/16	J	A	
37. Dreyfus App. Fd.	A	Dividend			Sold	02/26/16	J	A	
38. Eaton Vance Floating Rate Fd.	A	Dividend			Sold	02/26/16	J	A	
39. Coca Cola - CS	A	Dividend	J	T					
40. Exxon Mobil - CS	A	Dividend	J	T					
41. General Electric - CS	A	Dividend	J	T					
42. Mainstay Large Cap Growth Fd.	A	Dividend	J	T					
43. Johnson & Johnson - CS	A	Dividend	J	T					
44. McDonalds - CS	A	Dividend	J	T					
45. Oakmark Select Fd.	A	Dividend	J	T					
46. Oppenheimer Int'l Growth Fd.	A	Dividend	J	T					
47. Permanent Portfolio Fd.	A	Dividend	J	T					
48. Pioneer Strategic Inc. Fd.	A	Dividend	J	T					
49. Pimco Foreign Bd Fd.	A	Dividend			Sold	10/28/16	J	A	
50. Prudential Short Term Corp. Fd.	A	Dividend	J	T					
51. Pimco Income Fd.	A	Dividend	J	T	Buy	10/28/16	J		

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52. TCW Total Returns Bd. Fd.	A	Dividend	J	T	Buy	02/24/16	J		
53. Wal-Mart Stores - CS	A	Dividend	J	T					
54. Wisdomtree Europe Equity Fd	A	Dividend	J	T	Buy	02/26/16	J		
55. Western Asset Core Plus Bd Fd.	A	Dividend	J	T	Buy	02/24/16	J		
56.					Buy (add'l)	10/20/16	J		
57.					Buy (add'l)	10/28/16	J		
58. IRA - MERRILL LYNCH									
59.					Buy (add'l)	10/20/16	J		
60.					Buy (add'l)	10/28/16	J		
61. Clearbridge Agg. Gwth Fd.	A	Dividend			Sold	02/25/16	J	A	
62. Blackrock Strategic Inc.	A	Dividend	J	T	Buy	02/24/16	J		
63. Blackrock Global	A	Dividend	J	T	Buy	10/20/16	J		
64. Blackrock Equity Div. Fd.	A	Dividend	J	T	Buy	05/05/16	J		
65. Boston Partners Research Fd.	A	Dividend	K	T	Buy	02/26/16	K		
66.					Buy (add'l)	10/20/16	J		
67. Consumer Discretionary SPDR	A	Dividend	J	T					
68. Deleware Value Fd.	A	Dividend	J	T	Buy	02/23/16	J		

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69. Federated Int'l Leaders Fd.	A	Dividend	J	T	Buy	02/23/16	J		
70. Glenmade Small Cap. Equity Fd.	A	Dividend	J	T	Buy	05/05/16	J		
71. iShares TIPS	A	Dividend	J	T					
72.					Buy (add'l)	5/15/16	J		
73. Hotchkis and Wiley Mid Cap	A	Dividend			Sold	02/25/16	J	A	
74. iShares MSCI CDA ETF	A	Dividend	J	T	Buy	02/26/16	J		
75. iShares MSCI Switzerland	A	Dividend	J	T	Buy	02/26/16	J		
76. iShares MSCI Sweden ETF	A	Dividend	J	T	Buy	02/26/16	J		
77. iShares MSCI Pacific	A	Dividend	J	T	Buy	02/26/16	J		
78. iShares MSCI Japan ETF	A	Dividend	J	T	Buy	02/26/16	J		
79. iShares MSCI UK ETF	A	Dividend	J	T	Buy	02/26/16	J		
80. iShares MSCI INC CORE	A	Dividend	J	T	Buy	02/26/16	J		
81. iShares 3-7 yr Treas. Bd	A	Dividend	J	T	Buy	02/26/16	J		
82. iShares U.S. Preferred ETF	A	Dividend	J	T	Buy	02/24/16	J		
83. iShares S&P 500 Gwth	A	Dividend	J	T	Buy	10/20/16	J		
84. iShares MBS ETF	A	Dividend	J	T					
85. iShares S and P Mid-Cap 400 ETF	A	Dividend	J	T	Buy	02/26/16	J		

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86.					Buy (add'l)	10/20/16	J		
87. Health Care Select SPDR	A	Dividend			Sold	02/23/16	J	A	
88. Market Vectors J.P.	A	Dividend	J	T					
89.					Buy (add'l)	02/26/16	J		
90. First Tr. Exchange Traded Fd.	A	Dividend	J	T					
91. Materials Select Sector	A	Dividend			Sold	02/26/16	J	A	
92. First Tr. ISE Cloud Comp	A	Dividend	J	T					
93. Powershares Global	A	Dividend			Sold	10/20/16	J	A	
94. First Eagle Global	A	Dividend	J	T					
95. Oppenheimer Senior Floating Rate Fd.	A	Dividend	J	T	Buy	10/20/16	J		
96. Powershares Preferred Portfolio	A	Dividend	J	T					
97.					Buy (add'l)	02/26/16	J		
98. Powershares EM Sovereign Fd.	A	Dividend	J	T	Buy	02/26/16	J		
99. iShares Russell 1000 Gwth	A	Dividend			Sold	02/25/16	J	A	
100. Real Estate Select Sector SPDR	A	Dividend	J	T	Buy	09/22/16	J		
101. Sector SPDR Consumers STPL	A	Dividend			Sold	02/16/16	J	A	
102. iShares NASDAQ Biotech	A	Dividend	J	T					

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103. Sector SPDR Energy	A	Dividend	J	T					
104.					Buy (add'l)	2/26/16	J		
105. iShares Russell Midcap	A	Dividend			Sold	02/25/16	J	A	
106. iShares IBOXX	A	Dividend	J	T	Buy	02/26/16	J		
107.					Buy (add'l)	6/22/16	J		
108. Metropolitan West Total Rtn Bond Fd.	A	Dividend	J	T	Buy	02/26/16	J		
109. Sector SPDR Industrial	A	Dividend			Sold	09/22/16	J	A	
110. iShares MSCI EAFE	A	Dividend			Sold	02/24/16	J	A	
111. Sector SPDR Utilities	A	Dividend			Sold	02/26/16	J	A	
112. Sector SPDR Real Estate Select	A	Dividend	J	T	Buy	09/22/16	J		
113. iShares RS 2000 Value	A	Dividend			Sold	02/26/16	J	A	
114. SPDR Barclays HiYield Bd	A	Dividend			Sold	02/23/16	J	A	
115. SPDR U.S. Dvnd Aristocrat ETF	A	Dividend	J	T	Buy	02/24/16	J		
116. iShares RS 2000 Gwth	A	Dividend			Sold	02/23/16	J	A	
117. iShares Russell 1000 Value	A	Dividend	J	T					
118.					Buy (add'l)	05/05/16	J		
119. Loomis Sayles Strategic Inc.	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Loomis Sayles Gwth Fd.	A	Dividend	J	T	Buy	05/05/16	J		
121. Nuveen Real Estate Fd.	A	Dividend	J	T					
122. MFS Value Fd Cl 1	A	Dividend	J	T	Buy	02/22/16	J		
123. Sector SPDR Financial	A	Dividend	J	T					
124. T. Rowe Price Diversified Sm Cap	A	Dividend			Sold	02/22/16	J	A	
125. T. Rowe Price Blue Chip Gwth Fd.	A	Dividend	J	T	Buy	02/23/16	J		
126.					Buy (add'l)	02/24/16	J		
127.					Buy (add'l)	02/26/16	J		
128. Templeton Gbl. Bond Fd.	A	Dividend	K	T					
129. TCW Total Rtn Bond Fd..	A	Dividend	J	T	Buy	02/23/16	J		
130.					Buy (add'l)	02/24/16	J		
131.					Buy (add'l)	02/26/16	J		
132. Oakmark Int'l. Fd.	A	Dividend	J	T					
133. Transamerica MidCap Fd.	A	Dividend	J	T	Buy	02/26/16	J		
134. Permanent Portfolio Fd.	A	Dividend	J	T					
135. Vanguard Consumer Staples	A	Dividend	J	T					
136. Vanguard Financials ETF	A	Dividend			Sold	02/22/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Vanguard Materials ETF	A	Dividend	J	T					
138. Vanguard Telecom SRVCS ETF	A	Dividend			Sold	02/22/16	J	A	
139. Vanguard Info. Tech.	A	Dividend	J	T					
140. Vanguard Intermediate Bd ETF	A	Dividend	J	T					
141.					Buy (add'l)	05/05/16	J		
142. Vanguard Energy ETF	A	Dividend			Sold	02/25/16	J	A	
143. Vanguard Short Term Bd	A	Dividend	J	T					
144. Merrill Lynch Money Market Fd.	A	Interest	L	T					
145. Vanguard Indust. ETF	A	Dividend	J	T					
146. Vanguard MSCI Emerg. Mkts.	A	Dividend			Sold	02/25/16	J	A	
147. Merrill Lynch Retirement Reserves	A	Interest	J	T					
148. VOYA Corp. Leaders Fd.	A	Dividend	J	T					
149. Prudential Short Term Bd. Fd.	A	Dividend	J	T					
150. Procter & Gamble CS	A	Dividend	J	T					
151. Waddell & Reed Advisors Small Cap	A	Dividend	J	T					
152. Waddell & Reed Accumulative	A	Dividend	J	T					
153. Waddell & Reed Core Investment	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Waddell & Reed Advisors Cash Management	A	Dividend	J	T					
155. BanCorp South CD	A	Interest	J	T					
156. Ivy VIP Asset Strategy	A	Dividend	K	T					
157. Ivy VIP Balanced Fd.	A	Dividend	J	T					
158. Ivy Energy Fd.	A	Dividend	J	T					
159. Ivy Global Nat'l Res. Fd.	A	Dividend	K	T					
160. Ivy Intl Grwth Fd.	A	Dividend	J	T					
161. Ivy Sci NC Tech Fd.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ J. DANIEL BREEN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544