

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) NEALON, WILLIAM J.	2. Court or Organization UNITED STATES DISTRICT COURT MIDDLE DISTRICT OF PA	3. Date of Report 05/14/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) JUDGE, SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address WILLIAM J. NEALON FEDERAL BUILDING AND U.S. COURTHOUSE POST OFFICE BOX 1146 SCRANTON, PA 18501-1146 IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. _____
2. _____
3. _____
4. _____
5. _____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1. _____
2. _____
3. _____

FINANCIAL DISCLOSURE REPORT

Page 2 of 6

Name of Person Reporting

NEALON, WILLIAM J.

Date of Report

05/14/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 6

Name of Person Reporting

NEALON, WILLIAM J.

Date of Report

05/14/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 6

Name of Person Reporting

NEALON, WILLIAM J.

Date of Report

05/14/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PNC BANK (ACCOUNT)		None	K	T					
2. PENN SECURITY BANK (PENSECO) COMMON STOCK	A	Dividend	K	T					
3. PENN SECURITY BANK (ACCOUNT) (Y)									
4. FIDELITY PA MUNICIPAL MONEY MARKET FUND (Y)									
5. FIDELITY PA MUNICIPAL INCOME FUND	C	Interest	L	T					
6. MORGAN STANLEY MUNICIPAL MONEY MARKET/ MUTUAL FUND	B	Int./Div.			Sold	12/31/11	L		
7. DREYFUS PA MUNICIPAL MONEY MARKET		None			Redeemed	05/10/11	J		
8. DREYFUS STATE MUNICIPAL BOND PA	C	Interest	L	T					
9. VANGUARD PA LT TAX EXEMPT INVESTOR (Y)									
10. VANGUARD PA LT TAX EXEMPT ADMIRAL	A	Interest	L	T					
11. WELLS FARGO (FORMERLY WACHOVIA) (SAVINGS ACCOUNT) (Y)									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 6

Name of Person Reporting

NEALON, WILLIAM J.

Date of Report

05/14/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, number 3. The Penn Security Bank Checking Account had no reportable interest for 2011 and the aggregate value on December 31, 2011 was below the reportable amount.

Part VII, number 4. The Fidelity Pa Municipal Money Market Fund was transferred into the Fidelity Pa Municipal Income Fund (number 5) on September 28, 2010. By mistake, this was not reported on the 2010 Financial Disclosure Form because prior to the transfer of funds there was dividend income from the Fidelity Pa Municipal Money Market Fund that was disclosed on the 2010 report. After the transfer, no income was received from the Fidelity Pa Municipal Money Market Fund to report.

Part VII, number 9. The Vanguard LT Pa Tax Exempt Investor Fund was converted into the Vanguard Pa LT Tax Exempt Admiral Fund (number 10) on December 7, 2010. By mistake, this was not reported on the 2010 Financial Disclosure Form because prior to the conversion there was dividend income from the Vanguard LT Pa Tax Exempt Investor Fund that was disclosed on the 2010 Report, but no additional income after the conversion.

Part VII, number 11. The Wells Fargo (formerly Wachovia Bank) Savings Account had no reportable interest for 2011 and the aggregate value on December 31, 2011 was below the reportable amount.

FINANCIAL DISCLOSURE REPORT

Page 6 of 6

Name of Person Reporting	Date of Report
NEALON, WILLIAM J.	05/14/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **WILLIAM J. NEALON**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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