

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rogers, Judith W.	2. Court or Organization U.S.Court of Appeals for the D.C. Circuit	3. Date of Report 04/29/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address U.S. Court of Appeals for the D.C. Circuit 333 Constitution Av., N.W. Washington, D.C. 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2018	Judge's Income	\$111,303.94
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	C. Marvin	ship/tour	\$4,854.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SunTrust Bk.	A	Interest	M	T					
2. Cash (Schwab)	A	Interest	M	T					
3. Cash (Schwab) (IRA)	A	Interest	J	T					
4. Am.Fds: Inv. Co. of Amer.	E	Dividend	N	T					
5. Am.Fds.: Wash. Mut. Inv. Fd.	E	Dividend	N	T					
6. Am.Fds.: New Persp. Fd.	E	Dividend	N	T					
7. Am.Fds.: Growth Fd. Amer.	F	Dividend	O	T					
8. Vanguard Small Cap Value Index	C	Dividend	M	T	Buy (add'l)	02/06/18	J		
9. Am.Fds: New World Fund	C	Dividend	L	T					
10. Am.Fds: Capital World Bond Fd	A	Dividend	K	T					
11. Am.Skd: T.Rowe Price Lg Cap Growth	A	Dividend			Sold	03/06/18	M		
12. Am.Skd: Loomis Sayles Lg Cap Grwth	A	Dividend			Sold	03/06/18	M		
13. Am.Skd: Goldman Sachs Sm Cap Val	A	Dividend			Sold	03/06/18	L		
14. Am.Skd: Internat'l Growth	A	Dividend			Sold	03/06/18	L		
15. Am.Skd: T.Rowe Price Lg Cap Value	A	Dividend			Sold	03/06/18	L		
16. Am.Skd: Wells Fargo Adv. VT Small Cap Grth Class I	A	Dividend			Sold	03/06/18	L		
17. Am.Skd: Goldman Sachs Lg Cap Value	A	Dividend			Sold	03/06/18	M		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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18. Vanguard Intrm. Tax Exempt Admrl Class	D	Dividend	M	T					
19. Loomis Sayles Global Bond	A	Dividend	K	T					
20. T.Rowe Price New Horizons	D	Dividend	L	T					
21. First Eagle Overseas	C	Dividend	M	T					
22. Vanguard Emerging Market ETF	C	Dividend	L	T					
23. T.Rowe Price Real Estate	D	Dividend	M	T					
24. Am.Fds.: Inc. Fd. Am (IRA)	E	Dividend	M	T	Sold (part)	03/05/18	J		
25. Vanguard Extended Markets Index	C	Dividend	N	T	Buy (add'l)	02/06/18	K		
26. Vanguard Growth Index	C	Dividend	N	T	Buy (add'l)	02/06/18	K		
27. Vanguard Value Index	D	Dividend	N	T	Buy (add'l)	02/06/18	K		
28. Vanguard Small Cap Index	B	Dividend	L	T					
29. Vanguard Total International Index Admiral	D	Dividend	M	T	Buy (add'l)	02/06/18	K		
30.					Buy (add'l)	04/25/18	M		
31. Energy Select Sector ETF	C	Dividend	L	T					
32. Vanguard Equity Index Portfolio (Vanguard Variable Annuity)		None	M	T	Buy	03/06/18	M		
33. Vanguard Capital Growth Portfolio (Vanguard Variable Annuity)		None	M	T	Buy	03/06/18	M		
34. Vanguard Mid-Cap Index Portfolio (Vanguard Variable Annuity)		None	L	T	Buy	03/06/18	L		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Vanguard Small Company Growth Port. (Vanguard Variable Annuity)		None	L	T	Buy	03/06/18	L		
36. Vanguard Real Estate Index Portfolio (Vanguard Variable Annuity)		None	L	T	Buy	03/06/18	L		
37. Vanguard Total Int'l Stock Mkt Index Port. (Vanguard Variable Annuity)		None	M	T	Buy	03/06/18	M		

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part III A Net income reported.

Part VII The funds included in my Vanguard variable annuity subaccount (shown separately in Lines 32-37) do not pay income or dividends, nor do they reinvest any income or dividends into additional shares or accumulation units in my subaccount.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Judith W. Rogers**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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