

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Federman, Arthur B.	2. Court or Organization U.S. Bankruptcy Court	3. Date of Report 05/10/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Bankruptcy Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Charles Evans Whittaker Cthse. 400 East 9th St., Suite 6552 Kansas City, Mo. 64106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee, with no significant management duties - all assets are listed @VII 1990 and 1992 Federman Trusts, beneficiaries are the reporting person and Assets are disclosed in Part VII

2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Second Mortgage Investment Co., Inc - a 1.58% shareholder	A	Interest	J	W					
2. Commerce Bank NA, Lenexa Ks.- MMRkt	A	Interest	K	T					pers MMT -no detail req.
3. Commerce Bank NA, Lenexa Ks - CKG	A	Interest	J	T					pers chkg - no detail req
4. Commerce Bank NA, Lenexa Ks - MMRKT	B	Interest	M	T					per MMT - no detail req.
5. Vanguard Fed MMT Fund	B	Int./Div.	J	T					
6. Vanguard - 500 Index Fund Admiral Shares - Total Bal	D	Int./Div.	N	T					
7. - Vanguard - 500 Index Fund Admiral Shares					Buy (add'l)	12/23/13	J		Div reinvested for yr
8. - Vanguard - 500 Index Fund Admiral Shares					Buy (add'l)	12/31/13	K		Total of reg. mo. adds
9. Vanguard - Total Intern. Stock IDX Adm- Total Bal	C	Int./Div.	M	T	Buy (add'l)	12/19/13	J		Div reinvested for yr
10. Vanguard - Total Intern. Stock IDX	A	Int./Div.	K	T	Buy (add'l)	12/31/13	K		Total of Reg Mo. Adds
11. Vanguard - Total Stock Market Index Fund Admiral Shrs-Bal	D	Dividend	N	T					
12. - Vanguard - Total Stock Market Index Fund Admiral Shrs					Buy (add'l)	12/19/13	J		Div reinvested for yr
13. - Vanguard - Total Stock Market Index Fund					Buy (add'l)	12/31/13	K		Total of reg. mo. adds
14. Northwestern Mutual Life Ins Policy	B	Interest	N	T					
15. Federman Family L.P. - Limited Partnership Interest	E	Interest	P1	W	Open	12/31/13	N		Gift Rec. From Parents
16. Commerce Bank N.A. , Kansas City, Mo. - Ckg Acct.	A	Interest	J	T					
17. Bank of China (NY - CD	A	Interest			Matured	01/25/13	L		no gain (loss)

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 F ~\$50,001 - \$100,000	C ~\$2,501 - \$5,000 G ~\$100,001 - \$1,000,000	D ~\$5,001 - \$15,000 H1 ~\$1,000,001 - \$5,000,000	E ~\$15,001 - \$50,000 H2 ~More than \$5,000,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Goldman Sachs Bank USA - CD Matures 1-27-14	A	Interest	L	T					added mat date to descript
19. Goldman Sachs Bank USA - CD	A	Interest			Matured	07/25/13	L		no gain (loss)
20. Discover Bank - CD - Matures 5-9-14	A	Interest	M	T					added mat date to descript
21. State Bank of India - CD - Matures - 10-14-14	A	Interest	L	T					added mat date to descript
22. State Bank of India - CD Matures 1/26/15	A	Interest	J	T	Buy	01/25/13	L		
23. ALLY Bank - CD - Matures 7-24-15	A	Interest	L	T	Buy	07/25/13	L		
24. IRA Acct. -Total Assets in Accounts - Detail Follows	D	Dividend	M	T					
25. - IRA Acct. - (GNMA) Govt. Natl. Mtg. Ass Pool - Commerce					Matured (part)	12/31/13	J		normal principal paydowns
26. - IRA Acct - Vangaurd acct - Prime MM FD					Redeemed (part)	09/20/13	K		a MMKT acct-no detail req
27. - IRA Acct - Fidelity Select Health Care					Redeemed (part)	10/01/13	K		no gain (loss)
28. - IRA Acct - Fidelity Select Health Care					Buy (add'l)	12/23/13	J		dividens reinvested
29. - IRA Acct - T.Rowe Price New ERA					Buy (add'l)	12/18/13	K		dividends reinvested
30. - IRA Acct - spouse - Capital Federal Savings					Open	05/14/13	K		inherited IRA
31. Trust # 1 - Total Assets of Trust -Asset Detail Follows	E	Int./Div.	P1	T	Buy (add'l)	12/31/13	J		Inc includes Life Ins div.
32. - Trust # 1 - Guardian Life Ins. - Cash Value					Buy (add'l)	12/31/13	J		Incr. CV for Life INS DIV
33. - Trust # 1 - Northwestern Mutual Life - Cash Value					Buy (add'l)	12/31/13	K		Incr CV for Life Ins DIV
34. - Trust # 1 - Met Life Ins INC- stock corrected name for this asset									corrected name for this

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - Trust # 1 - Commerce Bank N.A., Ckg acct.									
36. - Trust # 1 - Comm Bank NA - Kansas City Mo									mmkt acct - no detail req
37. - Trust # 1 - GE Capital Bank - CD									
38. - Trust # 1 - Goldman Sachs Bank USA - CD					Buy	04/10/13	K		
39. Trust # 2 - Total Assets of Trust -Detail Follows	D	Int./Div.	P1	T					
40. - Trust # 2 - Vanguard Fed MM Portfolio, Philadelphia, Pa.									
41. - Trust # 2 - Vanguard Health Care Fund Inv					Buy (add'l)	12/31/13	J		reinvested div. only
42. - Trust # 2 - Vanguard Total Stock Mkt Idx FundFund					Buy (add'l)	12/31/13	J		reinvested div. only
43. - Trust # 2 - Goldman Sachs ILA Federal Portfolio					Sold	04/05/13	J		cashed out -no gain (loss)
44. - Trust # 2 - Commerce Bank NA - ckg acct.									
45. - Trust #2 - Johnson Cnty Ks Unified School GO					Redeemed	09/01/13	L		no gain (loss)
46. - Trust #2 - CD at Commerce Bank, KC, Mo					Matured	08/24/13	L		no gain (loss)
47. - Trust # 2 - GE Mony Bank - CD - 7-1-13					Matured	07/01/13	K		no gain (loss)
48. - Trust # 2 - CIT Bank CD 11/25/13					Matured	11/25/13	L		no gain (loss)
49. - Trust # 2 - Goldman Sachs Bk USA CD - 5-30-13					Matured	05/30/13	K		no gain (loss)
50. - Trust # 2 - Bank of China (NY) - CD - 9-30-13					Matured	09/30/13	M		no gain (loss)
51. - Trust # 2 - Sallie Mae Bk /Murray - CD 8-22-14 (corrected date)									

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52. - Trust # 2 - State Bank of India - 10-14-14									
53. - Trust # 2 - Ally Bank - CD - 12/05/14									
54. - Trust # 2 - Discover Bank - CD - Matures 07/10/14					Buy	07/10/13	K		
55. - Trust # 2 - Bank of India NY - CD - Matures - 09/30/14					Buy	09/30/13	L		
56. - Trust # 2 Bank of Baroda - CD - Matures 11/28/14					Buy	11/29/13	K		
57. - Trust # 2 FirstBank Puerto Rico - CD - Matures 02/27/15					Buy	09/03/13	L		
58. - Trust # 2 - FirstBank Puerto Rico - CD - Matures 05/29/15					Buy	11/29/13	L		
59. - Trust # 2 - FirstBank Puerto Rico - Matures 06/01/15					Buy	05/31/13	K		
60. - Trust # 2 - ALLY Bank - Matures 09/18/15					Buy	09/18/13	L		

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The assets and value amount references shown in Part VII which are identified as Trust # 1 are for the full value of the assets held in Trust even though I only own a 1/3 beneficial interest in the Trust. I am the trustee of the Trust.

The assets and value references shown in Part VII which are identified as Trust # 2 are for the full value of the assets held in Trust even though I only a beneficiary of a 1/3 interest in the Trust. I am the trustee of the Trust.

Asset # 15 is a limited partnership interest - I have received periodic gifts [REDACTED] of additional limited ownership interests in this limited partnership from January of 2003 through December 31, 2013. My ownership interest is 33% as of 12-31-13. The partnership's investments are almost exclusively in State Tax Exempt Bonds and Certificates of Deposit. I have no management responsibilities for the activities of this partnership.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Arthur B. Federman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544