

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Burris, Helen E.	2. Court or Organization US Bankruptcy Court, DSC	3. Date of Report 05/08/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Bankruptcy Judge- Full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Federal Courthouse 201 Magnolia Street Spartanburg, SC 29306		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Co-trustee Trust #1	See Part VII
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Burris, Helen E.

Date of Report

05/08/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	The Law Office of Eric K. Englehardt, LLC (this entity owns 50% of Wilson & Englehardt, LLC)(attorneys)
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. South Carolina Bar	1/9/17 to 1/21/17	Greenville, SC	CLE/Bar meeting	registration waived
2. South Carolina Bankruptcy Law Association	4/7/17 to 4/9/17	Asheville, NC	CLE/ speaker	lodging, food, registration waived
3. South Carolina Defense Trial Attorneys Association	11/9/17 to 11/12/17	Sea Island, Ga	CLE	lodging, food, registration waived
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Burris, Helen E.

Date of Report

05/08/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	credit card	J
2.	U.S. Department of Education/Navient	student loan (dependent child's obligation)	K
3.	U.S. Department of Education/Nelnet	student loan (dependent child's obligation)	K
4.	Bank of America	credit card	J
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Burris, Helen E.

Date of Report

05/08/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. South State Bank - Accounts	A	Interest	K	T					
2. Tr. #1 South State Bank	A	Interest			Closed	03/01/17	J		See VIII
3. Voya Financial, Inc. Annuity (ING USA)	A	Int./Div.			Sold	03/02/17	M		to line 4
4. Allianz 222 Annuity (H)									
5. -AnPtPtw/cap- Bloomberg US Dynamic Balance Index II	D	Interest	M	T	Buy	03/02/17	M		
6. NW Mutual Whole Life Cash Value #1	D	Dividend	L	T					
7. 401K Fidelity Freedom 2030 Fund	E	Int./Div.	N	T					
8. NetReit -now Presidio Property Trust, Inc. (name changed)	A	Int./Div.	K	T					See VIII
9. IRA American Funds (H)									
10. -American Funds-Income Fund of America A	A	Dividend			Distributed	07/31/17	J		
11. -American Funds-American Balanced Fund A	A	Dividend			Distributed	07/31/17	J		
12. Future Scholar529 College Savings Plan-FS Mod Conserv (no control) (H)	A	Int./Div.	J	T	Buy (add'l)	04/17/17	J		
13. -cont'd					Distributed (part)	07/27/17	J		
14. -cont'd					Distributed (part)	12/12/17	J		
15. Rental Property #1 Greenville SC	D	Rent	L	W					
16. Future Scholar 529 College Savings Plan (2nd) -FS Mod Conserv (2) (H)	B	Int./Div.	J	T	Buy (add'l)	04/17/17	J		
17. -cont'd					Distributed (part)	07/27/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Burris, Helen E.

Date of Report

05/08/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -cont'd					Distributed (part)	12/22/17	J		
19. TD Ameritrade Institutional (H)									
20. -Deutsche X-Trackers MSCI Europe Hedged Equity ETF	A	Int./Div.			Sold	02/10/17	K	A	
21. -iShares Intl Developed Real Estate ETF	A	Int./Div.	K	T	Buy	02/10/17	K		
22. -iShares Core S&P Mid-Cap ETF	A	Int./Div.	K	T					
23. -iShares Core S&P Small-Cap ETF	A	Int./Div.	J	T	Buy (add'l)	01/18/17	J		
24. -iShares Floating Rate Bond ETF	A	Int./Div.	J	T	Buy (add'l)	05/18/17	J		
25. -Powershares Var Rate PFD POR ETF	A	Int./Div.	J	T	Buy (add'l)	09/26/17	J		
26. -Vanguard FTSE Developed Markets ETF	A	Int./Div.	K	T	Sold (part)	03/13/17	J	A	
27. -Vanguard Emerging Markets ETF (X)	A	Int./Div.	J	T					see VIII
28. -Vanguard Index Funds S&P 500 ETF	A	Int./Div.	K	T	Buy (add'l)	09/26/17	J		
29. -SPDR Series Trust Short Term High Yield Bond ETF	A	Int./Div.	J	T					
30. -ALPS ETF Trust Alerian MLP	A	Int./Div.	J	T	Buy (add'l)	03/13/17	J		
31. -cont'd					Sold	05/17/17	J	A	
32. -Global X Funds MLP Index ETF	A	Int./Div.	J	T	Buy	05/17/17	J		
33. -cont'd					Buy (add'l)	09/26/17	J		
34. -Powershares Senior Loan Portfolio	A	Int./Div.			Sold	08/11/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Burris, Helen E.

Date of Report

05/08/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -Ishares 1-3 year credit BND ETF	A	Int./Div.	J	T	Buy (add'l)	05/18/17	J		
36. -Fidelity Covington Trust MSCI Finance ETF	A	Int./Div.	J	T					
37. -Ishares Core US Aggregate Bond ETF	A	Int./Div.	J	T	Buy (add'l)	08/14/17	J		
38. -cont'd					Buy (add'l)	09/26/17	J		
39. -Proshares Inflation Expectations ETF	A	Int./Div.	J	T	Buy (add'l)	05/18/17	J		
40. -cont'd					Sold	09/25/17	J	A	
41. Community National Bank IRA	A	Interest	J	T	Distributed (part)	02/06/17	K		converted in part to 42
42. Griffin Inst Success RE FdCLC	B	Int./Div.	K	T	Buy	02/06/17	K		
43. Buyout of partnership investment Turner Padget Graham&Laney		None	K	T					
44. 2nd home Boone, NC	B	Rent	M	R	Buy	08/18/17	M		see VIII

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Burris, Helen E.

Date of Report

05/08/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII Line 2- Trust #1 was a bank account containing nominal amounts that was closed within 2017 (est March). Entire trust has ended due to age of beneficiary.

VII. Line 8- NetReit on previous reports changed its name to Presidio Property Trust, Inc. in 2017.

VII. Line 43- Buyout of partnership interest in law firm payable upon withdrawal in 2016, paid back in monthly installments over 36 months.

VII Lline 44 2nd home purchased. Minimal rent did not exceed expenses. Sellers Peter and Cynthia Gaw \$220,000.

VII Line - 27 Vanguard Emerging Markets ETF was reported as sold in full on 9/19/16 but in fact it was a partial sale, with funds remaining in account.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Burris, Helen E.

Date of Report

05/08/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Helen E. Burris**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544